

**STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

2329 S. MacArthur Blvd.
Springfield, Illinois 62704-4503
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 W. Randolph St., Ste. 14-100
Chicago, Illinois 60601-3232
312/814-6440
Fax: 312/814-6485



**EXECUTIVE DIRECTOR
Steven S. Sandvoss**

BOARD MEMBERS
Charles W. Scholz, Chairman
Ernest L. Gowen, Vice Chairman
William J. Cadigan
Andrew K. Carruthers
Betty J. Coffrin
John R. Keith
William M. McGuffage
Casandra B. Watson

**AGENDA
STATE BOARD OF ELECTIONS
BOARD MEETING
Tuesday, October 18, 2016
10:30 a.m.**

James R. Thompson Center – Room 14-600
Office of Capital Development Board
and via videoconference
2329 S. MacArthur Blvd.
Springfield, Illinois

Roll call.

1. Recess the State Board of Elections and convene as the State Officers Electoral Board to approve the minutes from the September 19 meeting. (pgs.1-2)
2. Adjourn the State Officers Electoral Board and reconvene as the State Board of Elections.
3. Approval of the minutes from the September 19 meeting. (pgs.3-6)
4. Report of the General Counsel
 - a. Campaign Disclosure;
Request for settlement offer
 - 1) *SBE v. Committee to Elect Karen Elyea, 25371*; (pgs.7-8)
Appeal of campaign disclosure fines – hearing officer recommendation appeal be granted
 - 2) *SBE v. Pope County Democratic Central Committee, 1045*, 16JQ11; (pgs.9-11)
 - 3) *SBE v. Citizens for Sherwin, 12365*, 16AM041; (pgs.12-15)
 - 4) *SBE v. Committee to Elect Jim Soeldner, 21394*, 16DQ125; (pgs.16-21)
 - 5) *SBE v. Friends of Jillian Bernas, 31798*, 16AM058; (pgs.22-25)
Appeal of campaign disclosure fines – hearing officer recommendation appeal be denied
 - 6) *SBE v. White County Democratic Central Committee, 281*, 16JQ002; (pgs.26-30)
 - 7) *SBE v. Illinois Restaurateurs PAC, 543*, 16MA033; (pgs.31-36)
 - 8) *SBE v. Bradley A. Stephens Committeeman Fund, 7589*, 16MA002; (pgs.37-45)
 - 9) *SBE v. Kane County Conservative Coalition, 16931*, 16JQ029; (pgs.46-48)
 - 10) *SBE v. Rainey for Alderman, 18461*, 16JQ034; (pgs.49-51)
 - 11) *SBE v. International Association of Firefighters Local 37 PAC Fund, 20022*, 16JQ044; (pgs.52-56)
 - 12) *SBE v. Illinois Gunowner Rights Org. (IGRO), 21427*, 16JQ046; (pgs.57-59)
 - 13) *SBE v. Craig Taylor Campaign, 22812*, 16JQ057; (pgs.60-62)
 - 14) *SBE v. Schefflow for Kane 22, 23957*, 16JQ070; (pgs.63-74)
 - 15) *SBE v. 1 Cent for Children of LaSalle County Schools, 24657*, 16MA042; (pgs.75-78)
 - 16) *SBE v. Friends of Deborah Morelli, 25843*, 16JQ096; (pgs.79-81)

- 17) *SBE v. People for Change*, 30602, 16JQ126; (pgs.82-84)
- 18) *SBE v. Committee to Elect Elisabeth Dunbar*, 32153, 16JQ154; (pgs.85-92)
Appeal of campaign disclosure fines – hearing officer recommendation appeal be granted and denied
- 19) *SBE v. Friends of Jake Lee*, 32009, 16MA056; (pgs.93-106)
Status update
- 20) *Cooke v. Committee for Frank J. Mautino*, 16CD093; (oral report)
Other campaign disclosure items
- 21) Assessments/Board Orders; (pgs.107-111)
- 22) Random audits of political committees; (pg.112)
- 23) Payment of civil penalties – informational; (pgs.113-114)
Complaints following closed preliminary hearing – separate packet
- 24) *Castillo v. Friends of George Cardenas*, 16CD103; (pgs.1-43)
- 25) *Donnenwirth v. Rudd*, 16CD109; (pgs.44-52)
- 26) *Kraft v. Citizens for Towne State's Attorney*, 16CD148; (pgs.53-150)
- 27) *Ajster v. Citizens for Towne State's Attorney*, 16CD149; (pgs.151-161)
- 28) *Ajster v. Citizens for Towne State's Attorney*, 16CD156; (pgs.189-286)
- 29) *Ajster v. Citizens for Towne State's Attorney*, 16CD157; (pgs.287-303)
- 30) *Morris v. Committee for Michael Walkup*, 16CD152 & 153; (pgs.162-174)
- 31) *Durbin v. Henshaw*, 16CD154. (pgs.175-188)

- 5. Report of the Executive Director
 - a. Preparations for the November 8, 2016 General Election;
 - 1) Voting system pre-election testing; (oral report)
 - 2) Election judge training schools – informational; (pgs.115-117)
 - b. Consideration of certification of ES&S Software 5.3.1.0 update; (pgs.118-119)
 - c. Legislative update; (oral report)
 - d. Senate Bill 172/Database intrusion update; (oral report)
 - e. Fiscal status reports – informational;
 - 1) FY16 – month ending September 30; (pgs.120-122)
 - 2) FY16 – Help Illinois Vote Fund; (pgs.123-128)
 - 3) FY17 – month ending September 30; (pg.129)
 - 4) FY17 – Help Illinois Vote Fund; (pgs.130-135)
 - f. Two year plan of staff activity for the months of October & November – informational. (pgs.136-140)
- 6. Follow up. (pg.141)
- 7. Comments from the general public. (pg.141)
- 8. Next Board Meeting scheduled for Monday, November 21, 2016 at 10:30 a.m. in Springfield. (pg.141)
- 9. Executive Session. (pgs.142-156)

STATE OFFICERS ELECTORAL BOARD

Regular Meeting

Monday, September 19, 2016

MINUTES

PRESENT:

Charles W. Scholz, Chairman
Ernest L. Gowen, Vice Chairman
William J. Cadigan, Member
Andrew K. Carruthers, Member
John R. Keith, Member
Casandra B. Watson, Member

ABSENT:

Betty J. Coffrin, Member
William M. McGuffage, Member

ALSO PRESENT:

Steven S. Sandvoss, Executive Director
James Tenuto, Assistant Executive Director
Kenneth R. Menzel, General Counsel
Amy L. Calvin, Administrative Assistant II

The meeting of the State Officers Electoral Board was called to order via videoconference at 10:30 a.m. six Members present. Chairman Scholz, Vice Chairman Gowen and Members Carruthers and Keith were present in Springfield. Members Cadigan and Watson were present in Chicago. Members Coffrin and McGuffage were absent and Vice Chairman Gowen and Member Watson held their proxies respectively.

Member Carruthers moved to approve the August 26 meeting minutes as presented. Vice Chairman Gowen seconded the motion which passed by roll call vote of 8-0.

The General Counsel presented Agenda item 2.1, *Stocks v. Gill*, 16SOEBGE109 and noted that late last night the candidate filed a motion for recusal of electoral board members due to unacceptable risk of bias. He suggested the board consider this motion first and recommended it be denied. At the last meeting, the General Counsel recommended the candidate's name not be certified to the ballot but that the Board order be stayed under the federal court order. The board returned the matter to the hearing officer to conduct further proceedings on items that would have been moot, but might be relevant if the statutory signature requirement was unenforceable. John Fogarty was present on behalf of the objector and Sam Cahnman was present for the candidate. Mr. Cahnman said the motion was filed as quickly as possible and prior to the start of the meeting. Mr. Fogarty felt the motion should be denied because it was filed after the deadline and it lacked merit. Member Keith moved to deny the motion because it was not timely filed and contains no new information. Vice Chairman Gowen seconded the motion which passed by roll call vote of 8-0.

The General Counsel reviewed the objection and said it alleges a line by line challenge, pagination issues and circulator misconduct. The records examination found 2,755 of the 11,348 signatures submitted to be invalid, leaving the candidate with 8,953 valid signatures, which is 2,161 less than the minimum required. A hearing was conducted and the hearing officer found the pagination errors to be sufficiently minor. He also found that petition sheets circulated by Floyd Brown demonstrate a pattern of fraud and that all of those sheets be stricken. Furthermore, the General Counsel concurred with the hearing officer's supplemental recommendation that the

objection be sustained and the candidate's name not be certified to the general election ballot. Mr. Fogarty agreed and asked that the recommendation of the hearing officer and General Counsel be adopted. Mr. Cahnman said there was no evidence that a pattern of fraud existed and that the person who reviewed the signatures was not a handwriting expert. He asked the board to reject that portion of the supplemental recommendation and accept it on the other matters. Member Watson moved to adopt the supplemental recommendation of the hearing officer and General Counsel. Vice Chairman Gowen seconded the motion which passed by roll call vote of 8-0. Consistent with board past practice, Member Cadigan moved to refer the matter to the appropriate State's Attorneys. Member Carruthers seconded the motion which passed by roll call vote of 8-0.

The General Counsel presented Agenda item 2.2, *Wicklund v. Gill*, 16SOEBGE106 and reviewed the objection. He said that this matter was similar to the previous matter in its substance and suggested it be dismissed. Member Keith moved to consider the matter moot based on the finding in *Stocks v. Gill* and dismiss the objection. Member Carruthers seconded the motion which passed by roll call vote of 8-0.

With there being no further business before the State Officers Electoral Board, Member Carruthers moved to recess until Tuesday, October 18, 2016 in Chicago. Member Keith seconded the motion which passed by roll call vote of 8-0. The meeting recessed at 11:07 a.m.

Respectfully submitted,

Amy L. Calvin, Administrative Assistant II

Steven s. Sandvoss, Executive Director

STATE BOARD OF ELECTIONS
Regular Meeting
Monday, September 19, 2016

MINUTES

PRESENT:	Charles W. Scholz, Chairman Ernest L. Gowen, Vice Chairman William J. Cadigan, Member Andrew K. Carruthers, Member John R. Keith, Member Casandra B. Watson, Member
ABSENT:	Betty J. Coffrin, Member William M. McGuffage, Member
ALSO PRESENT:	Steven S. Sandvoss, Executive Director James Tenuto, Assistant Executive Director Kenneth R. Menzel, General Counsel Amy L. Calvin, Administrative Assistant II

The meeting convened at 10:30 a.m. via videoconference with six Members present. Chairman Scholz, Vice Chairman Gowen and Members Carruthers and Keith were present in Springfield. Members Cadigan and Watson were present in Chicago. Members Coffrin and McGuffage were absent and Vice Chairman Gowen and Member Watson held their proxies respectively.

The Chairman opened the meeting by leading everyone in the pledge of allegiance.

Member Carruthers moved to recess the State Board of Elections and reconvene as the State Officers Electoral Board. Member Keith seconded the motion which passed by roll call vote of 8-0. The meeting recesses shortly after 10:30 a.m. and reconvened at 11:07 a.m. with the same attended as noted in the initial roll call.

Member Carruthers moved to approve the minutes from the August 26 meeting as presented. Vice Chairman Gowen seconded the motion which passed by roll call vote of 8-0.

The General Counsel presented an appeal of campaign disclosure fines for Agenda item 4.a.1, *SBE v. Illinois Transportation Trade Association PAC*, 25914, 15AJ065 and concurred with the hearing officer recommendation to deny the appeal. Richard Toth was present on behalf of the committee and indicated the error was inadvertent due to a computer issue. He explained the bank changed ownership and the committee did not receive notification of the wire transfer. Member Keith moved to grant the appeal based on the electronic filing defense. Vice Chairman Gowen seconded the motion which passed by roll call vote of 8-0.

The General Counsel presented a motion to stay the public hearing for Agenda item 4.a.2, *Cooke v. Committee for Frank J. Mautino*, 16CD093 and explained that the respondent filed it on September 9. He noted that it was basically the same motion that was filed at the closed hearing and recommended it by denied. The complainant also filed a motion for additional time to respond to respondent's motion to stay in order to retain counsel. David Cooke was present representing himself and Anthony Jacob was present on behalf of the respondent committee. Mr.

Cooke indicated if the Board were to grant to the motion to stay then he would ask that his motion to request more time be granted. If the Board were to deny the motion to stay then he would not need that time to respond immediately. Mr. Jacob said the purpose of filing the motion to stay was outlined in his brief. After discussion, Member Watson moved to take the complainant's motion for additional time under advisement and continue right to a hearing date. Member Cadigan seconded the motion which passed by roll call vote of 8-0. Member Carruthers moved to deny the respondent's motion to stay. Vice Chairman Gowen seconded the motion which passed by roll call vote of 8-0. Discussion was had regarding the history of this matter and it was noted that the committee was first notified in January prior to the complaint that was filed in February. Member Keith moved to deny Mr. Cooke's motion for additional time and remand the matter to the hearing officer. Member Carruthers seconded the motion which passed by roll call vote of 8-0. Member Carruthers then moved to place the matter on the October meeting agenda for consideration. The motion passed by roll call vote of 8-0.

A listing of civil penalty assessments necessitating a final board order was presented. Member Keith moved to assess the civil penalty against the committee listed on page 33 of the board packet. Vice Chairman Gowen seconded the motion which passed by roll call vote of 8-0.

A listing of payment of civil penalties was presented for informational purposes.

Member Keith moved to recess to executive session to consider complaints following closed preliminary hearing. Vice Chairman Gowen seconded the motion which passed by roll call vote of 8-0. The meeting recessed at 11:50 a.m. and reconvened at 12:20 p.m. with the same attendance noted in the initial roll call.

As to Agenda item 4.a.5, *St. Clair County Republican Central Committee v. East St. Louis Republican Central Committee*, 16CD147, Member Keith moved to find that the complaint was filed on justifiable grounds and the committee ordered to file the amended reports within 60 days. Vice Chairman Gowen seconded the motion which passed by roll call vote of 8-0.

As to Agenda items 4.a.6-9 & 11: *SBE v. Alexander County Republican Central Committee*, 16CD116; *SBE v. Citizens to Elect Alvin Parks, Jr.*, 16CD118; *SBE v. Don Hall 4 Supervisor*, 16CD119; *SBE v. Friends of Amara Enyia*, 16CD128; *SBE v. Citizens to Elect Diante Johnson*, 16CD141, Member Keith moved to find that the complaints were filed on justifiable grounds and the matters proceed to a public hearing. Vice Chairman Gowen seconded the motion which passed by roll call vote of 8-0.

As to Agenda item 4.a.10, *SBE v. Friends Supporting Flora Digby*, 16CD131, Member Keith moved to continue the matter to the November Board Meeting. Vice Chairman Gowen seconded the motion which passed by roll call vote of 8-0.

As to Agenda item 4.a.12, *SBE v. Massac County Democratic Central Committee*, 16CD114, Member Keith moved to find that the complaint was filed on justifiable grounds; however, no further action ordered except referral to staff for imposition of civil penalties. Vice Chairman Gowen seconded the motion which passed by roll call vote of 8-0.

As to Agenda item 4.a.13, *SBE v. Friends for Frank Bucaro*, 16CD126, Member Keith moved to find that the complaint was not filed on justifiable grounds and no further action ordered except referral to staff for imposition of civil penalties. Vice Chairman Gowen seconded the motion which passed by roll call vote of 8-0.

The Executive Director presented a request from the U.S. Government Accountability Office (GAO) seeking to obtain poll watcher credentials issued by the State Board of Elections to conduct visits in polling places in Will County and the City of Rockford. The purpose of the visits is to observe randomly selected polling locations to determine accessibility for the disabled voters of those jurisdictions. The following representatives were present via teleconference: Rebecca Gambler, Director, Homeland Security and Justice; Jan Montgomery, Assistant General Counsel, Office of General Counsel; Sheila McCoy, Assistant General Counsel, Office of General Counsel; Alex Galuten, Staff Attorney, Office of General Counsel; Heidi Nielson, Staff Attorney, Office of General Counsel; and Rebecca Taylor, Senior Analyst, Education, Workforce & Income Security. The Executive Director explained that these credentials were issued to the GAO for the 2008 election acting as representatives of the SBE. Miss Gambler explained that they work at the request of Congress and they have been asked to conduct these visits in order to update their reports from the 2000 and 2008 elections. It was agreed that staff would contact Will County and the City of Rockford and that Mr. Menzel would communicate with the GAO representatives to individualize the credentials. Member Cadigan moved to approve the request to issue credentials to poll watch in the designated locations and staff be directed to work with those jurisdictions to facilitate mutually agreeable language. Member Carruthers seconded the motion which passed by roll call vote of 8-0.

The Executive Director presented an update on pre-election tabulation testing and referred to Kyle Thomas' memo included in the board packet. Mr. Thomas indicated that the Peoria Election Commission's pre-test was conducted last week and went very well. An update on the pre-testing will be provided at the next board meeting.

A listing of election judge training schools was presented for informational purposes.

Next was a report on the Advisory Committee Meeting that was held just prior to today's board meeting. The Executive Director said only five jurisdictions were in attendance – City of Chicago and Cook, Logan, Fulton and McDonough Counties. The majority of the meeting was a review of what was discussed at the previous meeting and a reminder to the election authorities to direct their election judges to be sure and count the write-in votes. Other items discussed included election day issues, early voting, election day registration, military ballots and post-election surveys. The next meeting is scheduled for a date to be determined in January 2017.

The Executive Director presented the legislative update and Cris Cray indicated she had nothing to report.

Kyle Thomas discussed Senate Bill 172 and said that the election authorities are now entering their early voting and grace period information directly through the IVRS website. Kevin Turner gave a brief update on the database breach and indicated staff is complying with the Personal Information Protection Act. He reviewed the method used by the intruders and said now staff is re-running the instructions against a copy of the database to determine whose voter information was retrieved. Tony Godar, a contractual employee, created a program to determine which voters' information was improperly accessed so notification letters can be sent to them. All available staff will be needed to work on the program and it is anticipated the process will take approximately seven to ten days. Jeremy Kirk is working on the process to produce the notification letters and get them mailed. The letter is modeled from a template from the Cyber Crimes Division of the Attorney General's office and will be revised to adapt to this situation. Mr.

Kirk said that the letter will be printed in-house and the envelopes will be printed by an outside vendor. The letters are expected to be mailed in early October.

The fiscal reports and two year plan of staff activity were presented for informational purposes.

Member Cadigan noted that Adam Andrzejewski, who was present earlier for the comments from the general public session of the meeting, submitted a written statement before leaving the meeting. Chairman Scholz indicated that his statement will be incorporated into the official record.

With there being no further business before the Board, Member Carruthers moved to adjourn until Tuesday, October 18, 2016 in Chicago. Vice Charmain Gowen seconded the motion which passed by roll call vote of 8-0. The meeting adjourned at 1:13 p.m.

Respectfully submitted,

Amy L. Calvin, Administrative Assistant II

Steven S. Sandvoss, Executive Director



Proposed Settlement Offer for Assessed Fines

Committee Name: Committee to elect Karen Elyea ID# 25371

Unpaid Fine Total: \$ 525.00 (F)

169Q090

Settlement Offer: \$ 262.50 (S)

Offer equals 50.00 % of Fine Total
 $(S \div F) \times 100$

☐ A check for the settlement amount is attached. (If the settlement offer is rejected, the check will be returned.)

☐ I waive appearance at any hearing regarding this settlement offer.

Information from Committee's 4 most recent Quarterly Reports:

Ending Balance: \$ 0.00 (B1)

Total Receipts: \$ 0.00 (R1)

\$ 0.00 (B2)

\$ 0.00 (R2)

\$ 0.00 (B3)

\$ 0.00 (R3)

\$ 0.00 (B4)

\$ 0.00 (R4)

Avg. Ending Balance: \$ 0.00 (AB)
 $(B1+B2+B3+B4) \div 4$

Avg. Total Receipts: \$ 0.00 (AR)
 $(R1+R2+R3+R4) \div 4$

Ratio of fine/balance: %
 $(F \div AB) \times 100$

Ratio of fine/receipts: %
 $(F \div AR) \times 100$

Legal considerations, additional information or special circumstances:

Verification

I declare that this settlement offer has been examined by me and to the best of my knowledge and belief is true, accurate and complete. I understand that failure to complete all parts of this form may result in the offer being summarily rejected. I also understand the Board is under no legal obligation to accept any settlement offer.

Karen Elyea
Signature and Printed Name of Committee's Chairman, Treasurer or Candidate

9/20/16
Date

Return to: State Board of Elections
2329 S. MacArthur Blvd.
Springfield, IL 62704-4503

or fax to: 217-782-5959

STATE BOARD OF ELECTIONS

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EXECUTIVE DIRECTOR
Steven S. Sandvoss
September 2, 2016
ID# 25371

Committee to Elect Karen Elyea
Karen Elyea
1302 Broadway
Rockford, IL 61104

7015 3010 0002 2990 0043

Dear Committee to Elect Karen Elyea:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	June Quarterly Report of Campaign Contribution and Expenditures
Report Period:	April 1, 2016 through June 30, 2016
Filing Period:	July 1, 2016 through July 15, 2016

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 7/26/2016, 7 day(s) late. As such, this committee has been assessed a fine of \$525.00.

Enclosed, please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 3, 2016 you forfeit the right to contest this assessment.*

Since this is a subsequent violation, if the above listed violation is not appealed, the previously assessed fine(s) for delinquent filing listed below must also be paid by the committee within 30 days of the issuance of the Final Board Order:

TOTAL AMOUNT NOW DUE

\$525.00

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express, for an additional fee.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in black ink, appearing to read "Tom Newman", is written over a horizontal line.

Tom Newman, Director,
Campaign Disclosure Division

TM: lm
Enclosure(s): appeal packet

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

16 JQ 11

Pope County Democratic Central Committee
Respondent

ID #1045

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing the June 2016 Quarterly Report

The Report was received by the Board on July 21, 2016, 4 days late, resulting in a civil penalty assessment of \$100.

Shelley Friend, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Friend states the report was mailed on time and that it must have gotten lost in the mail. She did not mail it by certified mail.

The original mailing from the Committee was never received by the Board. Section 100.125(b) states in part that if the envelope containing a Quarterly Report is not received by the Board, "...if the political committee is assessed a civil penalty for failing to file or delinquently filing either of the reports and, as part of the committee's appeal of the civil penalty assessment, it is alleged by the treasurer, chairman or candidate on a signed and notarized affidavit verifying that the report was mailed more than 72 hours prior to the filing deadline, and this is the first time the committee has made this claim as part of its appeal, the presumptive date of receipt will be rebutted by the testimony contained in the affidavit and the report will be deemed to have been timely received." Since this Committee has not previously made such a claim, I recommend the appeal be granted.

(As of 6/30/16, this Committee reported a funds available balance of \$2,028.40.)



Kim Patrick – Hearing Officer
October 3, 2016

State of Illinois)
County of Pope)

STATE BOARD OF ELECTIONS

2016 SEP 19 PM 2:30

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)

ILLINOIS STATE BOARD OF ELECTIONS,)

Complainant)

Vs.)

Case No. 1696011

Respondent(s).)

APPEAL AFFIDAVIT

I, Shelley Friend, the Treasurer of the
(Name) (Chairman/Treasurer)
Pope County Democratic Central Committee
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The report was mailed on time. It must have gotten
lost in the mail. I did not mail it by certified mail

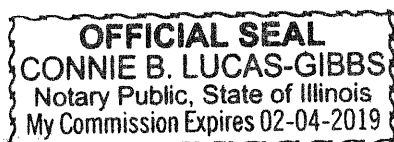
Signed and Sworn to by:

Connie B Lucas-Gibbs

before me this 13th Day of
September, 2016

Connie B Lucas-Gibbs
Notary Public

Shelley Friend
(Signature of Chairman/Treasurer)



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

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Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss
September 2, 2016

Pope County Democratic Central Cmte
Leslie G Hardin
382 William Hill Road
Herod, IL 62947

ID# 1045

7015 3010 0002 2990 8841

Dear Pope County Democratic Central Cmte:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: June Quarterly Report of Campaign Contributions and Expenditures
Report Period: April 1, 2016 through June 30, 2016
Filing Period: July 1, 2016 through July 15, 2016


Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on 7/21/2016, 4 days late. As such, this committee has been assessed a fine of \$100.00.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 3, 2016 you forfeit the right to contest this assessment.**

Since this is a first time violation, the assessed civil penalty will be *stayed*. Any subsequent violation of Article 9 of the Election Code or of a Board Order may result in the assessment of an additional civil penalty as provided in Section 125.425 of the Rules and Regulations. If that subsequent violation is one which results in the assessment of a civil penalty, that penalty, as well as the civil penalty previously stayed, shall become due and owing. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

If you have questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,


Tom Newman, Director
Campaign Disclosure Division

TM: lm
Enclosure(s): appeal packet

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections

Complainant

v.

16 AM 041

Citizens for Sherwin

ID #12365

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing Schedule A-1 Reports

The Committee received a \$1,000 contribution on 1/12/16 and a \$1,000 contribution on 1/14/16 and reported both on a Schedule A-1 received by the Board on 4/4/16, 50 and 48 days late, respectively resulting in a civil penalty assessment of \$1,000. Additionally, the Committee had previously been assessed a \$160 civil penalty (not appealed, paid) for delinquently filing three Schedule A-1s in the 1st quarter of 2014. The total assessment is \$1,000.

Jeffrey Sherwin, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

Mr. Sherwin states both contributions were double reported. The Committee entered the contributions twice into IDIS3, once when the Committee filed the Schedule A-1 and again when the Quarterly report was filed. The date from each check was used when filing the Quarterly report and the date of receipt was used on the Schedule A-1 report. The Committee has filed an Amended Quarterly report to correct the errors.

I recommend the appeal be granted. The Committee has amended the Quarterly report removing the duplicate contributions.

(As of 6/30/16, this Committee reported a funds available balance of \$12,759.56)



Kim Patrick – Hearing Officer
October 4, 2016

State of Illinois)
County of COOK)

STATE BOARD OF ELECTIONS

2016 SEP 19 PM 2:31

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
CITIZENS FOR SHERWIN)
Respondent(s).)

16AM041
Case No. ID# 12345

APPEAL AFFIDAVIT

I, JEFFREY T. SHERWIN, the CHAIRMAN/CANDIDATE of the
(Name) (Chairman/Treasurer)
CITIZENS FOR SHERWIN
(Name of the Committee)

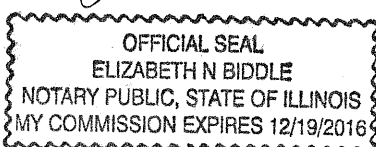
Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

THE CONTRIBUTIONS OF JAMES M. PAULETTE, PC
AND LEGACY PROFESSIONALS OF \$1,000 EACH WERE

DOUBLY REPORTED, ONCE WHEN THE REQUIRED A-1 WAS
FILED ON 1-22-16 AT 7:37 PM, RECEIPT # 599340
AND A SECOND TIME WHEN THE QUARTERLY REPORT WAS
FILED. THE DATES ON THE CHECKS WERE USED ON THE
QUARTERLY REPORT WHILE THE DATE OF ACTUAL RECEIPT
WAS USED ON THE A-1 REPORT. THE QUARTERLY
REPORT HAS SINCE BEEN AMENDED TO REFLECT
RECEIPT OF THE CONTRIBUTIONS ONLY ONCE EACH

Signed and Sworn to by:
Jeffrey T. Sherwin
before me this 16 Day of
September 2016
Elizabeth N. Biddle
Notary Public

(Signature of Chairman/Treasurer)



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Charles W. Scholz, Chairman
Ernest L. Gowen, Vice Chairman
William J. Cadigan
Andrew K. Carruthers
Betty J. Coffrin
John R. Keith
William M. McGuffage
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss

September 2, 2016

Citizens for Sherwin
PO Box 2010
Northlake, IL 60164-0010

ID# 12365

7015 3010 0002 2990 1125

Dear Citizens for Sherwin:

This committee has failed to timely report the following contributions of \$1000 or more (Schedule A-1) as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date Reported</u>	<u>Days Late</u>	<u>Fine Assessed</u>
James M. Pauletto PC	1/12/2016	\$1000	4/4/2016	50	\$500
Legacy Professionals	1/14/2016	\$1000	4/4/2016	48	\$500

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$1000.00 for the delinquent filing. This total *does not* reflect any previously assessed fines.

Under the Board's present policy, since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$500.00, (50% of the above referenced fine amount) regardless of whether you choose to file an appeal. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 3, 2016 you forfeit the right to contest this assessment.**

TOTAL AMOUNT NOW DUE

\$500

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by Mastercard, Discover or American Express, for an additional fee.

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in black ink, appearing to read "Tom Newman", with a long horizontal flourish extending to the right.

Tom Newman, Director
Campaign Disclosure Division

TN: lm

Enclosures: appeal packet

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

16 DQ 125

Committee to Elect Jim Soeldner
Cmte ID: 21394

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment

For Delinquently Filing the December 2015 Quarterly Report

The Report was received on July 8th, 2016, 119 days late, resulting in an assessment of \$5,000. The Committee was previously fined \$200 (not appealed, stayed) for delinquently filing the March 2014 Quarterly Report. The total assessment is \$5,200.

Jim Soeldner, the Candidate and Chairman of the Committee, filed a Waiver of Appearance and an Appeal Affidavit in this matter. On the Affidavit, Mr. Soeldner stated he had mailed the Report in adequate time for it to arrive by the January 18th, 2016, deadline. He included as part of his appeal a signed and notarized affidavit indicating the Report was mailed more than 72 hours in advance of the deadline.

The Report originally mailed by the Committee was never received by the Board. Section 100.125 b) of the Rules accompanying the Illinois Campaign Finance Act reads, in part, "If the political Committee is assessed a civil penalty for failing to file or delinquently filing (a) report and, as part of the Committee's appeal of the civil penalty assessment, it is alleged by the Treasurer, Chairman or Candidate on a signed and notarized affidavit verifying the Report was mailed more than 72 hours prior to the filing deadline, and this is the first time the Committee has made this claim as part of its appeal, the presumptive date of receipt will be rebutted by the testimony contained in the affidavit, and the Report will be deemed to have been timely filed." Accordingly, it is recommended the appeal be granted. If this recommendation is accepted by the Board, the stay will be returned to the previous \$200 penalty. As of June 30th, 2016, the Committee reported a cash balance of \$260.43.



John Levin – Hearing Officer
October 4th, 2016

State of Illinois)
County of _____)

STATE BOARD OF ELECTIONS

2016 SEP 23 AM 11: 22

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Committee to Elect Jim Soeldner)
Respondent(s).)

Case No. 16DQ125

APPEAL AFFIDAVIT

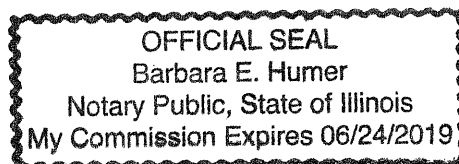
I, James Soeldner, the Chairman of the
(Name) (Chairman/Treasurer)
Committee to Elect Jim Soeldner
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The report was sent by U.S. mail with adequate time
to arrive by due date. When informed on June 29, 2016
of the delinquency, the report was hand delivered to the Springfield
Office on July 8th, 2016, showing my concern to repair this
issue. Thank you.

Signed and Sworn to by:
James Soeldner
before me this 20 Day of
September, 2016
Barbara E. Humer
Notary Public

[Signature]
(Signature of Chairman/Treasurer)



State of Illinois)

)

County of McLean)

Illinois State Board of Elections

Complainant

Vs.

Committee to Elect Jim Soeldner

AFFIDAVIT

I, James Soeldner, chairman of the Committee to Elect Jim Soeldner, do solemnly swear that the following statement is true and correct-

That the report in question (4th quarter of 2015) was mailed more than 72 hours prior to the filing deadline, and this is the first time the committee has made this claim as part of its appeal, the presumptive date of receipt will be rebutted by the testimony contained in the affidavit and the report will be deemed to be timely filed.

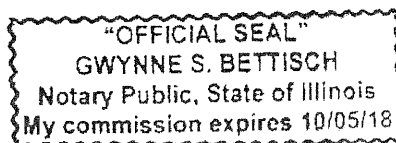
Signed and Sworn to by :

Before me this 3 Day of

October, 2016
Gwynne S. Bettisch

Notary Public

JS
Chairman, Committee to Elect Jim Soeldner



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Charles W. Scholz, Chairman
Ernest L. Gowen, Vice Chairman
William Cadigan
Andrew K. Carruthers
Betty J. Coffrin
John R. Keith
William M. McGuffage
Casandra B. Watson

EXECUTIVE DIRECTOR

~~Steven S. Sandvoss~~

June 29, 2016

ID# 21394

Committee to Elect Jim Soeldner
Jim Soeldner
201 S Main St
Ellsworth, IL 61737-7545

Dear Committee to Elect Jim Soeldner:


As you have been previously notified, your committee has failed to file the Quarterly Report(s): December 2015 of Campaign Contributions and Expenditures and as of today's date the report(s) remain unfiled.

Therefore, a pre-complaint conference has been scheduled for July 20, 2016 at 10:00 AM. This conference will give your committee an opportunity to appear and explain why you have failed to file the required report(s). You may appear in either the Springfield or Chicago office, whichever is most convenient for you. Please note: If the report(s) is filed on or before the date of the pre-complaint conference, you will not need to appear.

Furthermore, failure to appear or failure to file the required report(s) will necessitate the filing of an official complaint against your committee.

If you have any questions regarding this matter, please do not hesitate to contact our office at (217) 782-4141 or (312) 814-6440.

Sincerely,


Tom Newman, Director
Campaign Disclosure Division

TN: lm

7/11/2016

Committee to Elect Jim Soeldner
201 S Main St
Ellsworth, IL 61737-7545

State of Illinois
State Board of Elections
Campaign Disclosure Division

2329 S. MacArthur
Springfield, Illinois 62704

100 West Randolph St.
Suite 14-100
Chicago, Illinois 60601

Candidate 21394 14
Committee to Elect Jim Soeldner

PLEASE RETAIN THIS RECEIPT FOR YOUR RECORDS

The Illinois State Board of Elections has received the following document(s) from your committee.
If this information is incorrect, notify our office at (217)782-4141 or (312)814-6440.

7/8/2016 2:58:03PM	Received in Springfield	D-2 Quarterly Report
7/8/2016 2:59:01PM	Received in Springfield	D-2 Quarterly Report
	4th quarter	
7/8/2016 3:00:35PM	Received in Springfield	Letter/Correspondence

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
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217/782-4141
Fax: 217/782-5959

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Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Charles W. Scholz, Chairman
Ernest L. Gowen, Vice Chairman
William J. Cadigan
Andrew K. Carruthers
Betty J. Coffrin
John R. Keith
William M. McGuffage
Casandra B. Watson

EXECUTIVE DIRECTOR

Steven S. Sandvoss

September 2, 2016

ID# 21394

Committee to Elect Jim Soeldner
Jim Soeldner
201 S Main St
Ellsworth, IL 61737-7545

7015 3010 0002 2990 0562

Dear Committee to Elect Jim Soeldner:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	December Quarterly Report of Campaign Contribution and Expenditures
Report Period:	October 1, 2016 through December 31, 2016
Filing Period:	January 4, 2016 through January 15, 2016

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 7/8/2016, 119 day(s) late. As such, this committee has been assessed a fine of \$5000.00.

Enclosed, please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 3, 2016 you forfeit the right to contest this assessment.*

Since this is a subsequent violation, if the above listed violation is not appealed, the previously assessed fine(s) for delinquent filing listed below must also be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
2014 March	Quarterly	\$200
TOTAL AMOUNT NOW DUE		\$5200.00

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express, for an additional fee.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in black ink, appearing to read "Tom Newman", is written over a horizontal line.

Tom Newman, Director,
Campaign Disclosure Division

TM: lm

Enclosure(s): appeal packet

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

16 AM 058

Friends of Jillian Bernas ID# 31798
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing of a Schedule A-1 Report

The Committee received a \$5,400 contribution on 2/19/16, and reported it on a Schedule A-1 received by the Board on 2/28/16, resulting in a civil penalty assessment of \$2,700.

Robert Bernas, the Treasurer of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Bernas states that the wrong date was used for the contribution when filing the Schedule A-1. He says the Committee actually deposited the contribution into its bank account on 2/25/16, and he includes a copy of a bank deposit slip showing a 2/25/16 date. Mr. Bernas adds that he corrected the date in the IDIS system and filed an amended Quarterly Report showing the correct date of receipt.

Based on the explanation and evidence provided by Mr. Bernas, it appears the contribution in question was in fact timely reported on a Schedule A-1. I recommend the appeal be granted. (As of 6/30/16, this Committee reported a funds available balance of \$15,796.68)



Tom Newman – Hearing Officer
June 23, 2016

State of Illinois)
County of COOK)

STATE BOARD OF ELECTIONS

2016 SEP 19 PM 2:30

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
FRIENDS OF JILLIAN BERNAS)
Respondent(s).)

Case No. 16AM058

APPEAL AFFIDAVIT

I, ROBERT J. BERNAS, the TREASURER of the
(Name) (Chairman/Treasurer)
FRIENDS OF JILLIAN BERNAS
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

PLEASE SEE ATTACHED DOCUMENT

Signed and Sworn to by:

ROBERT BERNAS
before me this 15th Day of
September, 2016
Katarzyna Kwiatkowski
Notary Public

Robert J. Bernas
(Signature of Chairman/Treasurer)



I received a letter from the State Board Of Elections, Campaign Disclosure Division stating that the Friends of Jillian Bernas committee failed to timely report contributions of \$1000 or more (Schedule A-1) as required by the Illinois Campaign Disclosure Act. This was in regards to a contribution made by Richard Uihlein of \$5400 which was entered in the IDIS system and reported on the A-1 on 2/28/2016. The report showed the date of contribution as 2/19/2016.

After reviewing the report and my records I found the 2/19/2016 date to be entered in error. Based on the bank deposit slip for the contribution the date of contribution is and should have been entered in IDIS as 2/25/2016 and reported on the A-1 as such. With this correction, it is established the committee was within the 5 day reporting window and timely reported the contribution.

I also corrected the error in the IDIS system and the 2/25/2016 is documented in the amended quarterly reports generated by the IDIS system.

*COPY OF BANK DEPOSIT SLIP
\$5400 CONTRIBUTION INCLUDED IN THIS DEPOSIT*

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- UNDERSTANDING URLS

TR:99 16-1201 02/25/16 03:22 PM
~~██████~~ Ck Deposit \$7,950.00

Tell a Friend...Free Checking, Free Gift!!

THIS IS YOUR RECEIPT. When making a deposit at a teller's window, always obtain an official receipt. Checks and other items are received for deposit subject to the provisions of the Uniform Commercial Code or any applicable collection agreement. DEPOSITS MAY NOT BE AVAILABLE FOR IMMEDIATE WITHDRAWAL. BANK SYMBOL, TRANSACTION NUMBER AND AMOUNT OF DEPOSIT ARE SHOWN ABOVE. Your mobile carrier's text messaging and web access charges may apply. Check with your service provider for details on specific fees and charges. Not all phones or cell plans are compatible; please check with your service plan provider.

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Charles W. Scholz, Chairman
Ernest L. Gowen, Vice Chairman
William J. Carruthers
Andrew K. Cadigan
Betty J. Coffrin
John R. Keith
William M. McGuffage
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss
September 2, 2016

Friends of Jillian Bernas
Robert Bernas
212 E Beech Drive
Schaumburg, IL 60193

ID# 31798

7015 3010 0002 2990 1002

Dear Friends of Jillian Bernas:

This committee has failed to timely report the following contributions of \$1000 or more (Schedule A-1) as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date Reported</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Richard Uihlein	2/19/2016	\$5400	2/28/2016	4	\$2700


As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$2700.00 for the delinquent filings.

Under the Board's present policy, since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$270.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. Since your committee has no other civil penalty assessments and only one delinquent Schedule A-1 amount is listed above, the penalty will be *stayed* as a first violation and would only become due and owing upon any subsequent delinquent filings by the committee. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 3, 2016 you forfeit the right to contest this assessment.**

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,


Tom Newman, Director
Campaign Disclosure Division

TM: lm
Enclosures: appeal packet

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

16 JQ 002

White County Democratic Central Comm

Cmte ID: 281

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment

For Delinquently Filing the June 2016 Quarterly Report

The Report was filed on July 20th, 2016, 3 days late, resulting in an assessment of \$150. The Committee was previously fined \$75 (not appealed, stayed) for delinquently filing the March 2015 Quarterly Report.

Kelly Fulkerson, the Treasurer of the Committee, filed a Waiver of Appearance and an Appeal Affidavit in this matter. On the Affidavit, Ms. Fulkerson noted she is relatively new to the position, having been appointed Treasurer last April. She said she was unable to receive assistance in completing the Report from her predecessor as Treasurer and, in an effort to ensure the Report was accurate, did not place the Report in the mail until July 13th, 2016.

I recommend the appeal be denied for lack of an adequate defense. A review of the Report in question confirms a July 13th postmark. Accordingly, the Committee is unable to receive a waiver of the fine under Section 100.125 of the Rules accompanying the Act (allowing for fines to be waived when Reports are postmarked at least 72 hours in advance of deadlines). If the Board adopts this recommendation, the stay on the \$75 assessment for the late March 2015 Quarterly Report would be waived, and a total of \$225 would be due and owing. As of June 30th, 2016, the Committee reported a cash balance of \$2,302.87.



John Levin – Hearing Officer
September 27th, 2016

State of Illinois)
County of White)

STATE BOARD OF ELECTIONS

2016 SEP 26 PM 3:16

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
White County Democrat Central Committee)
Respondent(s).)

Case No. 1698002

APPEAL AFFIDAVIT

I, Kelly Lyn Fulkerson, the Treasurer of the
(Name) (Chairman/Treasurer)

White County Democrat Central

(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

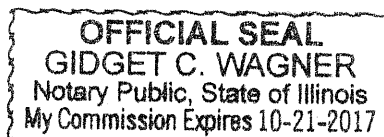
The Quarterly Report was placed in the mail on 07-13-16. I was appointed to the position of Treasurer on April 13, 2016 (minutes attached) and I did not receive the financial records, reports or checkbook until the end of May. I received the packet from State Board of Elections in June and began to work on balancing the checkbook. I was unable to explain where the expenditures and non-itemized receipts were without the help of the prior Treasurer who was no longer available to work with. At that time I switched our money to a new bank to save our committee monthly service charges. To be completely honest I was confused and wanted to make sure I submitted the report correctly and to the best of my ability with no errors. In doing that I submitted it in the time frame that I had which resulted in one day late.

Signed and Sworn to by:

Kelly L. Fulkerson
before me this 22nd Day of
September, 2016

Gidget C. Wagner
Notary Public

Kelly L. Fulkerson
(Signature of Chairman/Treasurer)



April 13, 2016

White County Democrat Re-organization Meeting

Meeting was called to order by Chairman Tom Scates, He then appointed Nancy Mitchell to conduct the meeting.

Nancy then called for a motion for chairman:

Tom Scates made motion to appoint Nancy Mitchell as Chairman.

Mitchell then asked for a second Kern Doerner second the motion.

Mitchell asked all those in favor say yes. Motion was carried.

Mitchell made a motion to appoint Tom Scates as vice chairman

Mitchell then asked for a second Kern Doerner second motion

Mitchell asked all those in favor say yes. Motion was carried.

Mitchell made a motion to appoint Kelly Fulkerson as
sec/treasure replacing Kari Starks

Mitchell asked for a second Tom Scates Second motion.

Mitchell asked all those in favor say yes. Motion was carried.

April 13, 2016 meeting page 2

Mitchell then appointed precinct committeemen with vote for all after motions:

Heralds prairie 22-David Hays, Razor Johnson motion, Ron Hargrave 2nd.

Carmi 18- Tim Scates, Razor Johnson motion, Ron Hargrave 2nd.

Phillipstown 27 Riley Matz, Ron Hargrave motion, Tom Scates 2nd.

Carmi 13- Dale Shular, Razor Johnson motion, Ron Hargrave 2nd.

Mitchell asked all those in favor say yes. Motion carried.

Mitchell asked for a motion to adjourn. Razor Johnson made motion and Tom Scates 2nd.

Meeting adjourned.

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Charles W. Scholz, Chairman
Ernest L. Gowen, Vice Chairman
William J. Cadigan
Andrew K. Carruthers
Betty J. Coffrin
John R. Keith
William M. McGuffage
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss
September 2, 2016
ID# 281

White County Democratic Central Committee
c/o Kelly Fulkerson
311 Third Street
Carmi, IL 62821

7015 3010 0002 2990 9794

Dear White County Democratic Central Committee:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	June Quarterly Report of Campaign Contribution and Expenditures
Report Period:	April 1, 2016 through June 30, 2016
Filing Period:	July 1, 2016 through July 15, 2016

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 7/20/2016, 3 day(s) late. As such, this committee has been assessed a fine of \$150.00.

Enclosed, please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 3, 2016 you forfeit the right to contest this assessment.*

Since this is a subsequent violation, if the above listed violation is not appealed, the previously assessed fine(s) for delinquent filing listed below must also be paid by the committee within 30 days of the issuance of the Final Board Order:

TOTAL AMOUNT NOW DUE

\$150.00

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express, for an additional fee.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

Tom Newman, Director,
Campaign Disclosure Division

TM: lm
Enclosure(s): appeal packet

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

16 MA 033

Illinois Restaurateurs PAC ID# 543
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing of Schedule A-1 Reports

In the first quarter of 2016, the Committee received a \$6,600 contribution on 1/22/16 and failed to report it on a Schedule A-1, resulting in a civil penalty assessment of \$3,300. In the second quarter of 2016, the Committee received a \$5,000 contribution on 4/14/16 and reported it on a Schedule A-1 received by the Board on 5/4/16, 9 days late, resulting in a civil penalty assessment of \$2,500. The Committee also received the following contributions in the second quarter: \$5,000 and \$1,000 received on 5/20/16, and three \$1,000 contributions received on 5/23/16; and reported all these on a Schedule A-1 received by the Board on 6/1/16, 2 and 1 days late respectively, resulting in a civil penalty assessment of \$4,000. The total assessment is \$9,800.

Sam Toia, the Treasurer of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Toia states that the late filings were the result of errors by the Committee's director of finance, who selected the incorrect entity when entering some contributions, an error which was not detected until preparation of the March Quarterly Report when totals were found to be incorrect. He says other late A-1 filings were the result of an error in the Committee's process of accounting for all deposits, which was also not detected until the Committee began preparing the June Quarterly Report. Mr. Toia adds that the Committee has implemented a new system which should prevent similar errors from occurring in the future.

I recommend the appeal be denied for lack of an adequate defense. Because it appears the late filings were all the result of bookkeeping or documentation errors by the Committee, rather than any specific problem with the IDIS system, I do not believe the Committee qualifies for an electronic filing defense. However, since there is no indication the violations were anything other than inadvertent and unintentional, I recommend the penalty for the 1/22/16 contribution, as a first violation, be reduced to 10% of the original assessment, or \$330. Because the later A-1 violations occurred in a later quarter, I recommend they be considered the second set of A-1 violations for the Committee, and the penalty be reduced to 50% of the original assessment, or \$3,250. If these recommendations are accepted by the Board, the total assessment of \$3,580 will be due and owing. (As of 6/30/16, this Committee reported a funds available balance of \$108,608.38)



Tom Newman – Hearing Officer
June 23, 2016

State of Illinois)
County of _____)

STATE BOARD OF ELECTIONS

2016 SEP 29 AM 10:23

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Illinois Restaurateurs PAC)
Respondent(s).)

Case No. 16MA033
543

APPEAL AFFIDAVIT

I, Sam Toia, the Treasurer of the
(Name) (Chairman/Treasurer)
Illinois Restaurateurs PAC
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

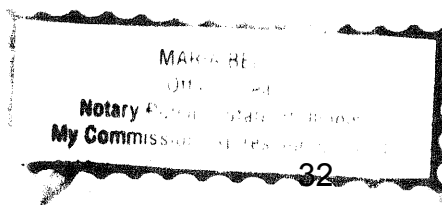
Our new Director of Finance, Jennifer Stahl, was unaware that there
two different types of entities set up for the Illinois Restaurant
Association. One entity is for fundraising and the other is set up
a traditional organization. Ms. Stahl selected the vendor name with
the full and correct name assuming the other entity in error.
(continued on additional page)

Signed and Sworn to by:

Sam Toia
before me this 27 Day of
September, 2016
MARIA Bello
Notary Public

Sam Toia
(Signature of Chairman/Treasurer)

Maria Bello




Continued from Appeal Affidavit of Sam Toia, Treasurer for the Illinois Restaurateurs PAC; Case No 543

While preparing the quarterly D-2 report, it was discovered the amounts received from the Illinois Restaurant Association were not reporting accurately as an individual contribution. Ms. Stahl immediately contacted the State Board of Elections and spoke to Jason to report the error. The gentleman explained to her that she may wait for the penalty assessment to communicate the misunderstanding of the entity configuration and the resultant late reporting. The reporting for both the first and second quarter amounts was then corrected and an A-1 report generated.

The amounts reported late on June 1st were an error in our process in not accounting for all amounts deposited to our account. There were many receipts for the Illinois Restaurateurs PAC in the latter part of May 2016 and some amounts unfortunately were missed and not discovered until the reconciliation of the account when preparing the quarterly report. A facsimile was immediately sent to Campaign Disclosure at 312-814-6485 at June 1st disclosing the error and requesting forgiveness of the penalty; copy enclosed. Since our last infraction, we have implemented a sign off on reporting for deposits to the Illinois Restaurateurs PAC and are working on developing a monthly reconciliation to the bank account as well.

I, Sam Toia, understand the importance of accurate and timely reporting to the Illinois Board of Elections and we at the Illinois Restaurateurs PAC are instituting processes to ensure our reporting is free from error going forward. We respectfully request forgiveness of the infractions and related penalties.

Signed and Sworn to by:



before me this 27 Day of Sept 20 16

Signature of Treasurer

Notary Public





Memo

To: Illinois State Board of Elections – Campaign Disclosure 312-814-6485

From: Jennifer Stahl

cc:

Date: June 1, 2016

Re: Illinois Restaurant Association PAC

Dear Campaign Disclosure –

Please accept my apologies for filing late an A-1, just filed today, June 1st. It was my oversight in not filing the report after inputting contribution amounts dated the 20th and 23rd of May.

Certainly hope you can forgive the tardiness and waive any penalty.

Thank you –

Jennifer Stahl
Director of Finance & Administration
jstahl@illinoisrestaurants.org
312-380-4119

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Charles W. Scholz, Chairman
Ernes L. Gowen, Vice Chairman
William J. Cadigan
Andrew K. Carruthers
Betty J. Coffrin
John R. Keith
William M. McGuffage
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss
September 2, 2016
ID# 543

Illinois Restaurateurs PAC
Sam Toia
33 W Monroe, Ste 250
Chicago, IL 60603

7015 3010 0002 2990 1439

Dear Illinois Restaurateurs PAC:

This committee has failed to timely report the following contributions of \$1000 or more (Schedule A-1) as required by the Illinois Campaign Disclosure Act in the 1st Quarter 2016:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date Reported</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Illinois Restaurant Association	1/22/2016	\$6600	4/15/2016	52	\$3300

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$3300.00 for the delinquent filing.

Under the Board's present policy, since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$330.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired.

In addition this committee has failed to timely report the following contributions of \$1000 or more (Schedule A-1) as required by the Illinois Campaign Disclosure Act in the 2nd Quarter 2016:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date Reported</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Illinois Restaurant Association	4/14/2016	\$5000	5/4/2016	9 ✗	\$2500
William Anton	5/20/2016	\$5000	6/1/2016	2 ✗	\$2500
Home Run Inn Frozen Foods Corp	5/20/2016	\$1000	6/1/2016	2 ✗	\$500
Manny's Deli	5/23/2016	\$1000	6/1/2016	1 ✗	\$500
The Fifty/50 Group	5/23/2016	\$1000	6/1/2016	1 ✗	\$500

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$6500.00 for the delinquent filing.

Under the Board's present policy, since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$3250.00, (50% of the above referenced fine amount) regardless of whether you choose to file an appeal. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 3, 2016 you forfeit the right to contest this assessment.**

TOTAL AMOUNT NOW DUE

\$3580

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by Mastercard, Discover or American Express, for an additional fee.

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,



Tom Newman, Director
Campaign Disclosure Division

TN: lm

Enclosures: appeal packet

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

Vs.

16 MA 002

Bradley A Stephens Committeeman Fund (ID# 7589)
Respondent

REPORT OF HEARING OFFICER

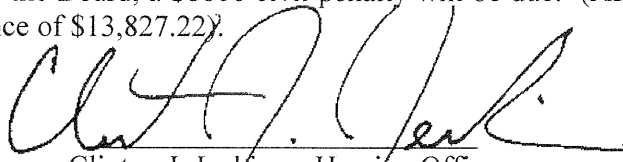
Appeal of Civil Penalty Assessment for Delinquent Filing Schedule A-1 Reports
For the 1st and 3rd Quarters of 2012 and the 3rd Quarter of 2013

The Committee received a \$12,329.93 contribution on 2/27/12, a \$10,205.33 contribution on 7/31/12 and a \$10,874.44 contribution on 7/31/13 and reported these on Amended Quarterly reports received by the Board on December 12, 2015, 935, 827, and 580 days late respectively. Those resulting in a civil penalties of \$6,165, \$5,103, and \$5437. The total assessment is \$16,705.

Grant Bailey, Chairman of the Committee, filed a Request for Hearing and submitted an Appeal Affidavit. The appeal hearing was held on May 10, 2016.

Attorney Thomas Bastian appeared on behalf of the Committee. Mr. Bastian stated the committee admits to having received the contributions in question. These contributions were In-kinds made by Leyden Township Regular Republican Organization paid to the Cook County Treasurer for real estate tax due on property owned by the Bradley Stephens Committeeman Fund. Each payment was made as an installment for 2011 and 2012 taxes. The Committee states that as a result of an internal error and oversight by committee members these transactions went un-reported. Subsequently, the Real Estate Tax Bill was reduced by the Cook County Assessor's Office due to petition and a refund was issued to the Committee. The Bradley Stephens Committeeman Fund returned \$3,016.29 to L.T.R.R.O. on March 30, 2016, for the 2012 tax installment paid. The Committee asked that the Board base the civil penalty levied on the net In-kind amount contributed, which is \$7,858.15 instead of \$10,874.44.

The Committee has the responsibility to report contributions of any kind in excess of \$1,000 in a required amount of time, the Committee admits it failed to do so. Therefore, I recommend the appeal be denied for lack of an adequate defense. It is also my opinion that an amount paid back to the contributor does not reduce the amount contributed originally, therefore, the civil penalty should not be altered. However, since there is no indication the violations were anything other than inadvertent and unintentional, I recommend that as a first violation, the penalty for the 2/27/12 contribution be reduced to 10% of the original assessment, or \$617; as a second violation, the penalty for the 7/31/12 contribution be reduced to 50% of the original assessment, or \$2,552, and as a third violation, the penalty for the 7/31/13 contribution remain at 100% of the original assessment, or \$5,437. If this recommendation is accepted by the Board, a \$8606 civil penalty will be due. (As of 6/30/16, this Committee reported a funds available balance of \$13,827.22).



Clinton J. Jenkins – Hearing Officer
August 22, 2016

State of Illinois)
)
County of Cook)

STATE BOARD OF ELECTIONS
2016 MAR 31 PM 2:01

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs.)
)
BRADLEY A. STEPHENS COMMITTEEMAN FUND)
)
Respondent(s).)

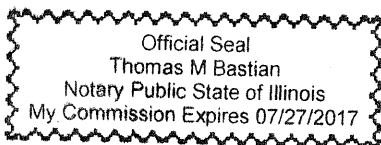
Case No. 16MA002

APPEAL AFFIDAVIT

I, Grant Bailey, the Chairman of the
(Name) (Chairman/Treasurer)
Bradley A. Stephens Committeeman Fund
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/~~she~~ represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

See Exhibit "A" attached hereto and made a part hereof.



Signed and Sworn to by:

Grant Bailey
before me this 30th Day of
MARCH, 2016
Notary Public

Grant Bailey
(Signature of Chairman/Treasurer)
Grant Bailey, Chairman

EXHIBIT "A"

The Bradley A. Stephens Committeeman Fund (the "**COMMITTEE**") submits the following response to the allegations of Campaign Disclosure Act violations and assessment of civil penalties in this cause.

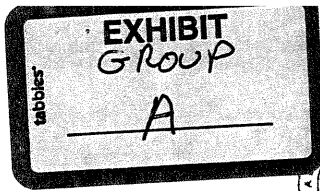
The **COMMITTEE** admits that on February 27, 2012, July 31, 2012 and July 31, 2013 the Leyden Township Regular Republican Organization/Regular Republican Voters League of Leyden Township ("**LEYDEN**") did make in-kind contributions on behalf of the **COMMITTEE** in those amounts as alleged in the complaint. Those in-kind contributions were paid to the Cook County Treasurer as and for real estate tax payments due and owing on real estate owned by the **COMMITTEE**. The February 27, 2012 in-kind contribution was for the first installment of the 2011 real estate taxes due and payable in March 2012. The July 31, 2012 in-kind contribution was for the second installment of the 2011 real estate taxes due and payable in August 2012, and the July 31, 2013 in-kind contribution was for the second installment of the 2012 real estate taxes due and payable in August 2013. The **COMMITTEE** paid the first installment of the 2012 real estate taxes. The **COMMITTEE** further acknowledges and admits that as a result of an internal error and oversight by **COMMITTEE** members, the appropriate Schedule A-1 reports for the in-kind contributions were not timely filed. Although the real estate tax payments were timely made, those tax bills were the subject of a Real Estate Tax Reduction Petition filed on behalf of the **COMMITTEE** with the Cook County Assessor's Office. Subsequent to the filing of the real estate tax reduction petitions, the real estate taxes for the subject real estate were reduced. A Certificate of Error was filed on behalf of the **COMMITTEE** and in December 2015 a refund check in the amount of \$6,417.64 was issued by

the Cook County Treasurer's Office to the **COMMITTEE** for the 2012 real estate taxes paid in 2013. (See Group Ex. A attached hereto and made a part hereof).

Although the refund check was issued to the Bradley A. Stephens Committeeman Fund, the **COMMITTEE** remitted a check in the amount of \$3,016.29 to **LEYDEN** which represents the proportionate refund for the 2012 second installment paid by LEYDEN on July 31, 2013; therefore, the net in-kind contribution of July 31, 2013 is in reality \$7,858.15 (\$10,874.44 minus the \$3,016.29 portion of treasurer's refund issued to **LEYDEN** by the **COMMITTEE** totals \$7,858.15). Therefore, any civil penalty that this Honorable Board may, in its discretion, levy for the January 31, 2013 failure of the **COMMITTEE** to file the required Schedule A-1 should be based on the net in-kind contribution of \$7,858.15 and not \$10,874.44 as alleged in the complaint.

In further defense of the **COMMITTEE** and by way of mitigation, the complaint filed against the **COMMITTEE** is a direct result of the **COMMITTEE**'s self-policing and self-reporting its failure to file timely Schedule A-1's to the Illinois State Board of Elections. At the time the Cook County Treasurer's Office issued its refund check to the **COMMITTEE**, Bradley A. Stephens, the Leyden Township Republican Committeeman, personally audited the **COMMITTEE'S** reports and realized A-1's had not been filed for the in-kind real estate tax payments. As a result of that internal audit, the Committeeman himself discovered that the Schedule A-1s in question were not timely filed. In the interest of honesty and transparency, Committeeman Stephens immediately contacted the State Board of Elections Springfield office to report the oversight. It was the Committeeman's proactive approach that resulted in the instant Board complaint for assessment of civil penalties.

In light of the treasurer's Certificate of Error payment being remitted to **LEYDEN** and in light of the Committeeman's immediate self-reporting to the Illinois State Board of Elections when the oversight was discovered, the Bradley A. Stephens Committeeman Fund respectfully prays that this Honorable Board abate or, in the alternative, further reduce any and all assessments levied for **COMMITTEE'S** inadvertent failure to timely file Schedule A-1 reports.



Maria Pappas, Cook County Treasurer
118 N. Clark St., Room 112
Chicago, IL 60602

Certificate of Error
OVER-ASSESSMENT
REFUND APPLICATION

Dear Taxpayer:

The Cook County Treasurer's Office is pleased to inform you that you MAY be entitled to a real-estate tax refund of **\$6,361.30** plus interest. It is a priority of this office to assist you in obtaining your refund. This application will help you to receive your refund in a timely and efficient manner.

A Certificate of Error resulted in a property-tax reduction for tax year 2012, paid in 2013. We will calculate your refund, with any interest, after you complete and return this application and proof of payment to our office. This application is for C of E#: Property Index Number Tax Year 2012 and Volume 066.

To help us get a refund to you, please follow these steps:

STEP
1

Fill in each blank below. (Please print clearly. This affects where the check is mailed).

Taxpayer BRADLEY STEPHENS COMMITTEEMAN FUND In care of MARK STEPHENS

Address PO BOX 533

Daytime Phone (847) 312-6010

City/State/Zip ROSEMONT IL 60018

Fax and/or e-mail (if available) BASFUND1@YAHOO.COM

I hereby certify under penalty of law that I am legally and equitably entitled to the refund based on the referenced Certificate of Error; that I have not previously received all or any part of a refund from the Office of the Cook County Treasurer or any other party for the same year, Property Index Number and reason; and that if I am not so entitled, I will indemnify and hold the Office of the Cook County Treasurer harmless from any and all adverse claims to such refund, including costs and attorney's fees.

Signature

MARK STEPHENS

Date

20/5/15

STEP
2

Please review the reverse side of this form and attach any and all proof of payment available.

STEP
3

Mail this completed application (with proof of payment attached) to the Treasurer's Office address above. Incomplete applications or those with insufficient proof of payment may be returned. Additional information on proof of payment can be found on the reverse side of this form. Contact us at www.cookcountytreasurer.com or call (312) 443-5100 with any questions.

BRADLEY A STEPHENS COM
14 CONTI PKWY
ELMWOOD PK, IL 60707-4505

For Office Use Only

Accepted by _____ Date _____

Proofed by _____ Date _____

Entered by _____ Date _____



Regular Republican Voters League of Leyden Township

P.O. Box 100
14 Conti Parkway
Elmwood Park, IL 60707

Phone: 708-453-0170

Bradley A. Stephens
Committeeman

Donna Geneva Roehri
Committeewoman

December 9, 2015

Cook County Treasurer
118 N. Clark St., Room 112
Chicago, IL 60602

Attn: Bill Kouruklis

Re: 2012 Real Estate Tax Refund for PIN# [REDACTED]

To Whom It May Concern:

Please issue refund for the 2012 Real Estate taxes, PIN# [REDACTED], to Bradley A. Stephens Committeeman Fund, P.O. Box 533, Rosemont, IL 60018. Should you need to reach me I can be reached at 708-453-0170.

Thank you.

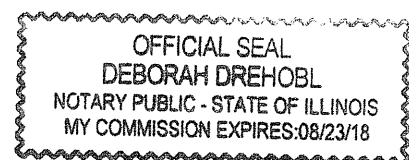
Sincerely,

REGULAR REPUBLICAN VOTERS
LEAGUE OF LEYDEN TOWNSHIP

Donna Geneva Roehri
Secretary

Signed and sworn to before me by Donna Geneva Roehri,
this 9th day of December, 2015

Notary Public



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Charles W. Scholz, Chairman
Ernes L. Gowen, Vice Chairman
William J. Cadigan
Andrew K. Carruthers
Betty J. Coffrin
John R. Keith
William M. McGuffage
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss

March 1, 2016

Bradley A Stephens Committeeman Fund
Mark Stephens
9921 Norwood St
Rosemont, IL 60018-4429

ID# 7589

7015 0640 0002 4567 2843

Dear Bradley A Stephens Committeeman Fund:

This committee has failed to timely report the following contributions of \$1000 or more (Schedule A-1) as required by the Illinois Campaign Disclosure Act in the 1st Quarter of 2012:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date Reported</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Reg. Republican Voters League of Leyden Township	2/27/2012	\$12329.93	12/12/2015	1136	\$6165

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$6165.00 for the delinquent filing.

Under the Board's present policy, since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$617.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired.

In addition this committee has failed to timely report the following contributions of \$1000 or more (Schedule A-1) as required by the Illinois Campaign Disclosure Act in the 3rd Quarter 2012:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date Reported</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Reg. Republican Voters League of Leyden Township	7/31/2012	\$10205.33	12/12/2015	1028	\$5103

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$5103.00 for the delinquent filing.

Under the Board's present policy, since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$2552.00, (50% of the above referenced fine amount) regardless of whether you choose to file an appeal. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired.

In addition this committee has failed to timely report the following contributions of \$1000 or more (Schedule A-1) as required by the Illinois Campaign Disclosure Act in the 3rd Quarter 2013:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date Reported</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Reg. Republican Voters League of Leyden Township	7/31/2013	\$10874.44	12/12/2015	1028	\$5437

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$5437.00 for the delinquent filing.

Under the Board's present policy, since this is the third delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$5437.00, (100% of the above referenced fine amount) regardless of whether you choose to file an appeal. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by April 1, 2016 you forfeit the right to contest this assessment.**

TOTAL AMOUNT NOW DUE

\$8606

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by Mastercard, Discover or American Express, for an additional fee.

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,



Tom Newman, Director
Campaign Disclosure Division

TN: lm

Enclosures: appeal packet

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

16 JQ 029

Kane County Conservative Coalition ID# 16931
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing of the
June 2016 Quarterly Report

The Report was received by the Board on 7/19/16, 2 days late, resulting in a civil penalty assessment of \$100. The Committee was previously assessed a \$250 civil penalty (not appealed, paid) for late filing of the March 2015 Quarterly Report. The total assessment is \$100.

Jon Zahm, the Treasurer of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Zahm states that he suffered a heart attack on July 5, open heart surgery on July 11, and remained hospitalized until July 18, leaving him unable to file the Report.

While I sympathize with Mr. Zahm, his explanation does not override the requirement for a political committee to meet all required filing deadlines. I therefore recommend the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board, the \$100 civil penalty will be due and owing. Based on the lack of activity on the Committee's part, Mr. Zahm may wish to consider closing the Committee to alleviate the need for future filings. (As of 6/30/16, this Committee reported a funds available balance of \$1.50)



Tom Newman – Hearing Officer
June 23, 2016

State of Illinois)
County of Henry)

STATE BOARD OF ELECTIONS

2016 SEP 12 AM 11:32

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)

Vs.)
Respondent(s).)
Kane County Conservative Coalition)

Case No. 169Q029

APPEAL AFFIDAVIT

I, Jon A Zahn, the Chairman of the
(Name) (Chairman/Treasurer)
Kane County Conservative Coalition
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

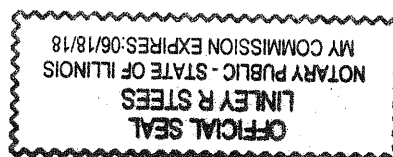
I had heart attack July 5, open heart surgery
July 11 and was hospitalized July 5-18
at Trinity Rock Central Hospital

Signed and Sworn to by:

Jon A Zahn
before me this 7 Day of
September, 2016

Lindley R. Stees
Notary Public

Jon A Zahn
(Signature of Chairman/Treasurer)



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Charles W. Scholz, Chairman
Ernest L. Gowen, Vice Chairman
William J. Cadigan
Andrew K. Carruthers
Betty J. Coffrin
John R. Keith
William M. McGuffage
Casandra B. Watson

EXECUTIVE DIRECTOR

Steven S. Sandvoss

September 2, 2016

ID# 16931

Kane County Conservative Coalition
PO Box 4
Batavia, IL 60510

7015 3010 0002 2990 9848

Dear Kane County Conservative Coalition:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	June Quarterly Report of Campaign Contribution and Expenditures
Report Period:	April 1, 2016 through June 30, 2016
Filing Period:	July 1, 2016 through July 15, 2016

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 7/19/2016, 2 day(s) late. As such, this committee has been assessed a fine of \$100.00.

Enclosed, please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 3, 2016 you forfeit the right to contest this assessment.*

Since this is a subsequent violation, if the above listed violation is not appealed, the previously assessed fine(s) for delinquent filing listed below must also be paid by the committee within 30 days of the issuance of the Final Board Order:

TOTAL AMOUNT NOW DUE

\$100.00

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express, for an additional fee.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

Tom Newman, Director,
Campaign Disclosure Division

TM: lm

Enclosure(s): appeal packet

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

16 JQ 034

Rainey for Alderman ID# 18461
Respondent

REPORT OF HEARING OFFICER
Appeal of Civil Penalty Assessment for Delinquent Filing of the
June 2016 Quarterly Report

The Report was received by the Board on 7/19/16, 2 days late, resulting in a civil penalty assessment of \$50.

Ann Rainey, the Candidate of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Rainey states that although the Quarterly Report deadline was on her calendar, she simply forgot to file it on time.

I recommend the appeal be denied for lack of an adequate defense. As a first violation, the \$50 civil penalty is stayed. (As of 6/30/16, this Committee reported a funds available balance of \$620.71)



Tom Newman – Hearing Officer
June 23, 2016

State of Illinois)
County of COOK)

STATE BOARD OF ELECTIONS

2016 SEP 19 PM 2:30

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Rainey for Alderman)
Respondent(s).)

Case No. 1699034

APPEAL AFFIDAVIT

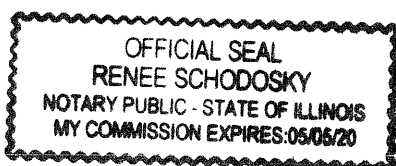
I, Ann Rainey, the Candidate of the
(Name) (Chairman/Treasurer)
Rainey for Alderman
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

I regret to advise that while
this was on my calendar, I simply
forgot. I apologize

Signed and Sworn to by:
Renee Schodosky
before me this 13 Day of
SEPTEMBER, 2016
Renee Schodosky
Notary Public

Ann Rainey
(Signature of Chairman/Treasurer)



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd., P.O. Box 4187
Springfield, Illinois 62708-4187
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Charles W. Scholz, Chairman
Ernest L. Gowen, Vice Chairman
William J. Cadigan
Andrew K. Carruthers
Betty J. Coffrin
John R. Keith
William M. McGuffage
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss
September 2, 2016

Rainey for Alderman
1209 Hull Ter #2
Evanston, IL 60202

ID# 18461

7015 3010 0002 2990 9039

Dear Rainey for Alderman:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: June Quarterly Report of Campaign Contributions and Expenditures
Report Period: April 1, 2016 through June 30, 2016
Filing Period: July 1, 2016 through July 15, 2016


Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on 7/19/2016, 2 days late. As such, this committee has been assessed a fine of \$50.00.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 3, 2016 you forfeit the right to contest this assessment.**

Since this is a first time violation, the assessed civil penalty will be ***stayed***. Any subsequent violation of Article 9 of the Election Code or of a Board Order may result in the assessment of an additional civil penalty as provided in Section 125.425 of the Rules and Regulations. If that subsequent violation is one which results in the assessment of a civil penalty, that penalty, as well as the civil penalty previously stayed, shall become due and owing. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

If you have questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,


Tom Newman, Director
Campaign Disclosure Division

TM: lm
Enclosure(s): appeal packet

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

16 JQ 044

International Assn of Firefighters
Local 37 PAC Fund

ID# 20022

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing the June 2016 Quarterly Report

The Quarterly Report was received by the Board on July 30, 2016, 11 days late, resulting in a civil penalty assessment of \$1,100. Additionally, the Committee had previously been assessed a \$100 civil penalty (not appealed, stayed) for delinquently filing the June 2015 Quarterly report. The total assessment is \$1,200.

Curtis Stinson, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Stinson stated that the late filing of the June 2016 Quarterly report was an error on his part. He adds that while he was in IDIS3 instead of pressing send, he logged out of the system. He admits that he never checked to see if the filing was completed until he logged back in at the end of the month.

He submitted the Quarterly report right away once he noticed this but he was already late. The Committee has set up a monthly reminder on the computer so this will not happen again.

I recommend the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board, the stay would be lifted from the previous \$100 assessment and the \$1,200 civil penalty will be due and owing.

(As of 6/30/16, this Committee reported a funds available balance of \$24,592.97.)



Kim Patrick – Hearing Officer
September 29, 2016

State of Illinois)
County of SANGAMON)

STATE BOARD OF ELECTIONS

2016 SEP 22 AM 8:07

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

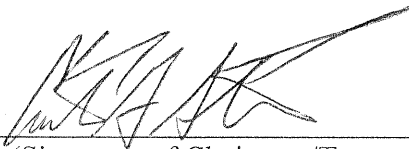
IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs. INTERNATIONAL ASSN of Firefighters)
LOCAL 37 PBC FUND)
Respondent(s).)

Case No. 1690044

WAIVER OF APPEARANCE

I, CURTIS A. STANSON, the TREASURER of the
(Name) (Chairman/Treasurer)
INTERNATIONAL ASSN of Firefighters LOCAL 37 PBC FUND
(Name of Committee)

Committee, appeals on behalf of the said committee the assessment of civil penalty proposed in this matter and submits in support of that appeal the accompanying affidavit. The said committee waives personal appearance before the State Board of Elections for hearing on this appeal and agrees that the State Board of Elections may enter its order with respect to this appeal in the absence of a representative of the committee.



(Signature of Chairman/Treasurer)

State of Illinois)
County of SANGAMON)

STATE BOARD OF ELECTIONS

2016 SEP 22 AM 8:07

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)

ILLINOIS STATE BOARD OF ELECTIONS,)

Complainant)

Vs.)

INTERNATIONAL ASSN of Firefighters
LOCAL 37 PAC FUND

Respondent(s).)

Case No. 1690044

APPEAL AFFIDAVIT

I, Curtis A. Stinson, the TREASURER of the
(Name) (Chairman/Treasurer)

INTERNATIONAL ASSN of Firefighters Local 37 PAC FUND
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

PLEASE SEE the attached document.

Thank you.

CURT STINSON, TREASURER

Signed and Sworn to by:

Curtis A. Stinson
before me this 20 Day of

September, 2016

[Signature]
Notary Public

Sangamon Co.

[Signature]
(Signature of Chairman/Treasurer)





Springfield Fire Fighters Local 37

825 East Capitol
Springfield, IL 62701

Gary Self
President

Nick Zummo
Secretary

Curt Stinson
Treasurer

Dave Bletcher
1st Shift Steward

Matt Raymond
2nd Shift Steward

Matt Wood
3rd Shift Steward

Ed Canny
40 hour Steward

Bill Carmean
Bryan McCubbin
Fred Herr
Donnie Richardson
Trustees

State Board of Elections
2329 S. MacArthur Blvd
Springfield, IL 62704

Appeal Committee:

I am writing in defense of the Civil Penalty of \$1,200 that has been assessed on our Local PAC Fund. Our Id# is 20022. I have been the Treasurer of the Union since January of 2012. As far as I know, June of 2015 was the first time I forgot to submit the quarterly report. It was one day late at the time. This time, I was 11 days late in the 2nd quarter of 2016. This was just an error on my part. While I was in the system submitting our deposit on 7-12-16 of \$408.28, I went to the reporting system and instead of pressing send, I logged out of the system and I never checked until I logged back in at the end of the month for another deposit. I submitted the quarterly report right away once I noticed it, but by that time I was already late.

This was an unintentional error on my part. I would appreciate your reconsideration on the assessment that has been applied to our fund. I have set up a monthly reminder for me on my computer, so I am hoping this will not happen again.

Thanks for your time in this matter. If you have any questions, please call me at 217-741-7000.

Sincerely,

Curtis A. Stinson
Treasurer Local 37

825 East Capitol
Springfield, IL 62701

217-741-7000 (cell)

e-mail:
treasurer@iaff37.com

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Charles W. Scholz, Chairman
Ernest L. Gowen, Vice Chairman
William J. Cadigan
Andrew K. Carruthers
Betty J. Coffrin
John R. Keith
William M. McGuffage
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss

September 2, 2016

International Assn of Firefighters Local 37 PAC Fund ID# 20022
Tom Roate, Curtis A Stinson
825 E. Capitol Ave
Springfield, IL 62701

7015 3010 0002 2990 9886

Dear International Assn of Firefighters Local 37 PAC Fund:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	June Quarterly Report of Campaign Contribution and Expenditures
Report Period:	April 1, 2016 through June 30, 2016
Filing Period:	July 1, 2016 through July 15, 2016

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 7/30/2016, 11 day(s) late. As such, this committee has been assessed a fine of \$1100.00.

Enclosed, please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 3, 2016 you forfeit the right to contest this assessment.***

Since this is a subsequent violation, if the above listed violation is not appealed, the previously assessed fine(s) for delinquent filing listed below must also be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
June 2015	A1	\$100
TOTAL AMOUNT NOW DUE		\$1200.00

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express, for an additional fee.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

Tom Newman, Director,
Campaign Disclosure Division

TM: lm
Enclosure(s): appeal packet

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

16 JQ 046

Illinois Gunowner Rights Org (IGRO) ID# 21427
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing of the
June 2016 Quarterly Report

The Report was received by the Board on 7/19/16, 2 days late, resulting in a civil penalty assessment of \$100. The Committee was previously assessed a \$250 civil penalty (not appealed, stayed) for late filing of the March 2015 Quarterly Report. The total assessment is \$350.

Jon Zahm, the Treasurer of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Zahm states that he suffered a heart attack on July 5, open heart surgery on July 11, and remained hospitalized until July 18, leaving him unable to file the Report.

While I sympathize with Mr. Zahm, his explanation does not override the requirement for a political committee to meet all required filing deadlines. I therefore recommend the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board, the \$100 civil penalty will be due and owing. Based on the lack of activity on the Committee's part, Mr. Zahm may wish to consider closing the Committee to alleviate the need for future filings. (As of 6/30/16, this Committee reported a funds available balance of \$1.35)



Tom Newman – Hearing Officer
June 23, 2016

State of Illinois)
County of Henry)

STATE BOARD OF ELECTIONS

2016 SEP 12 AM 11:32

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;

ILLINOIS STATE BOARD OF ELECTIONS,

Complainant

Vs.

Case No. 1690046

FL Groomer Rights Org.
Respondent(s).

APPEAL AFFIDAVIT

I, Jon A Zahm, the Chairman of the
(Name) (Chairman/Treasurer)

FL Groomer Rights Org.
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

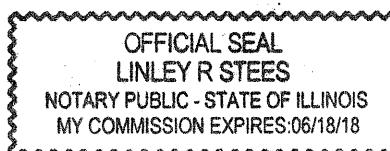
I had heart attack July 5 open heart
surgery July 11 and was hospitalized at
Trinity Rock Island hospital July 5-18

Signed and Sworn to by:

Jon A Zahm
before me this 7 Day of
September, 2016

Linley R Stees
Notary Public

Jon A Zahm
(Signature of Chairman/Treasurer)



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Charles W. Scholz, Chairman
Ernest L. Gowen, Vice Chairman
William J. Cadigan
Andrew K. Carruthers
Betty J. Coffrin
John R. Keith
William M. McGuffage
Casandra B. Watson

EXECUTIVE DIRECTOR

Steven S. Sandvoss

September 2, 2016

ID# 21427

Illinois Gunowner Rights Org (IGRO)

Jon A. Zahm

PO Box 1

Osco, IL 61274-0001

7015 3010 0002 2990 9893

Dear Illinois Gunowner Rights Org (IGRO):

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	June Quarterly Report of Campaign Contribution and Expenditures
Report Period:	April 1, 2016 through June 30, 2016
Filing Period:	July 1, 2016 through July 15, 2016

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 7/19/2016, 2 day(s) late. As such, this committee has been assessed a fine of \$100.00.

Enclosed, please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 3, 2016 you forfeit the right to contest this assessment.***

Since this is a subsequent violation, if the above listed violation is not appealed, the previously assessed fine(s) for delinquent filing listed below must also be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
March 2015	Quarterly	\$250
TOTAL AMOUNT NOW DUE		\$350.00

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express, for an additional fee.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

Tom Newman, Director,
Campaign Disclosure Division

TM: lm

Enclosure(s): appeal packet

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

16 JQ 057

Craig Taylor Campaign ID# 22812

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing of the
June 2016 Quarterly Report

The Report was received by the Board on 7/16/16, 1 day late, resulting in a civil penalty assessment of \$25. The assessment notice sent to the Committee listed a previous penalty in error – that penalty had expired prior to the current late filing, which also reduced the amount of the current assessment from \$50 to \$25. The total assessment is \$25.

Craig Taylor, the Treasurer of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Taylor asks for compassion and understanding, noting that the Report was filed less than 8 hours late. He adds that there has been no activity to report for several years, and because he does not plan to run again, he intends to close the Committee soon.

Regardless of the amount of activity to report, until the Committee files a Final Report it is required to meet all reporting deadlines. I recommend the appeal be denied for lack of an adequate defense. As a first violation, the \$25 civil penalty is stayed. (As of 6/30/16, this Committee reported a funds available balance of \$1,559.61)



Tom Newman – Hearing Officer
June 23, 2016

State of Illinois)
County of LAKE)

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

2016 SEP 15 PM 1:30

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
CRAIG TAYLOR CAMPAIGN)
Respondent(s).)

Case No. 1690057

APPEAL AFFIDAVIT

I, CRAIG W. TAYLOR, the TREASURER of the
(Name) (Chairman/Treasurer)
CRAIG TAYLOR CAMPAIGN
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

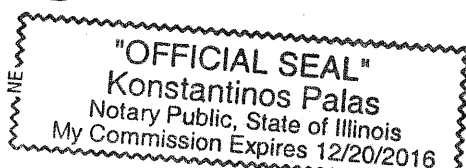
I'M REQUESTING YOUR UNDERSTANDING, AND^{ASKING} FOR COM-
PASSION IN THIS MATTER. THE REPORT WAS FILED ^{OF SIGNIFICANCE} MRS. WAINWRIGHT,
I ADMIT. THERE HAS BEEN NO ACTIVITY^{OF SIGNIFICANCE} TO REPORT SINCE BEGINNING
2013. THE CAMPAIGN OWES ME \$11,426.49 AND HAS ONLY \$1559.61
IN IT. I DON'T PLAN TO RUN AGAIN AND WILL CLOSE IT SHORTLY.

Signed and Sworn to by:

Craig W. Taylor
before me this 12th Day of
September, 2016

[Signature]
Notary Public

[Signature]
(Signature of Chairman/Treasurer)



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Charles W. Scholz, Chairman
Ernest L. Gowen, Vice Chairman
William J. Cadigan
Andrew K. Carruthers
Betty J. Coffrin
John R. Keith
William M. McGuffage
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss
September 2, 2016
ID# 22812

Craig Taylor Campaign
Craig Taylor
93 Golfview Rd
Lake Zurich, IL 60047-1274

7015 3010 0002 2990 9954

Dear Craig Taylor Campaign:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	June Quarterly Report of Campaign Contribution and Expenditures
Report Period:	April 1, 2016 through June 30, 2016
Filing Period:	July 1, 2016 through July 15, 2016

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 7/16/2016, 1 day(s) late. As such, this committee has been assessed a fine of \$50.00.

Enclosed, please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 3, 2016 you forfeit the right to contest this assessment.*

Since this is a subsequent violation, if the above listed violation is not appealed, the previously assessed fine(s) for delinquent filing listed below must also be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
September 2013	Quarterly	\$200
TOTAL AMOUNT NOW DUE		\$250.00

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express, for an additional fee.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in black ink, appearing to read "Tom Newman", is written over a horizontal line.

Tom Newman, Director,
Campaign Disclosure Division

TM: lm
Enclosure(s): appeal packet

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

16 JQ 070

Scheflow for Kane 22

Cmte ID: 23957

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment

For Delinquently Filing the June 2016 Quarterly Report

The Report was filed on July 26th, 2016, 7 days late, resulting in an assessment of \$350. The Committee was previously fined \$25 (not appealed, stayed) for delinquently filing the March 2014 Quarterly Report.

Douglas Scheflow, the Candidate, as well as Treasurer and Chairman of the Committee, filed a Waiver of Appearance and an Appeal Affidavit in this matter. On the Affidavit, Mr. Scheflow stated he was distracted from his filing responsibility by two hospitalizations of his elderly father. Mr. Scheflow submitted documentation related to his father's medical care. He also noted there was very little Committee activity during the second quarter of 2016 as a factor in mitigation.

I recommend the appeal be denied for lack of an adequate defense. I am sympathetic to Mr. Scheflow's situation, but there is no statutory basis for relief in this matter. If the Board accepts this recommendation, the stay on the \$25 fine for the delinquent March 2014 Quarterly Report would be lifted, and a total of \$375 would be due and owing. As of June 30th, 2016, the Committee reported a cash balance of \$2,473.98.



John Levin – Hearing Officer

September 28th, 2016

State of Illinois)
County of Kane)

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS 26 PM 3:16
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Scheflow for Kane 22)
Respondent(s).)

Case No. 11090070

APPEAL AFFIDAVIT

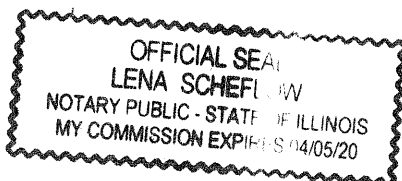
I, Douglas J. Scheflow, the Chairman of the
(Name) (Chairman/Treasurer)
Scheflow for Kane 22
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

My defense is that I was totally distracted from the political
committee due to two hospitalizations of my 91 year old father,
leaving my 90 year old mother at home, from June 18, 2016 when I
took him to Sherman Hospital Emergency for extreme back pain. He
was admitted to Presence St. Joseph on June 20, 2016 and discharged

Signed and Sworn to by:
Douglas J. Scheflow
before me this 2nd Day of
September, 2016
Lena Scheflow
Notary Public

(Signature of Chairman/Treasurer)
Douglas J. Scheflow



on June 28 into a rehab program until July 7, 2016 when he returned home but continued to need more attention. On July 18, 2016 he was re-admitted with pneumonia until July 23, 2016, then placed in rehab until August 8, 2016. My wife and I are the only family in the Elgin area and my law practice is very demanding at this time as well. All of this is to say that with little or no campaign/election activity, I was distracted from reporting requirements and respectfully request a reduction or waiver of the fines. (See medical summary enclosed.)



Medicare Summary Notice

for Part A (Hospital Insurance) and Part B (Medical Insurance)

The Official Summary of Your Medicare Claims from the Centers for Medicare & Medicaid Services

0009041 201698 LI2ZN401 MN 4 40Z DOM LI2ZN40000 * 002200 MM



RICHARD S. SCHEFLOW
975 LAWRENCE AVE
ELGIN IL 60123-3476



THIS IS NOT A BILL

Notice for Richard Scheflow

Medicare Number

Date of This Notice **September 06, 2016**

Claims Processed **June 07 -**
Between **September 05, 2016**

Your Claims & Costs This Period

Did Medicare Approve All Claims and Services? **YES**

See page 2 for how to double-check this notice.

Total You May Be Billed **\$1,348.68**

Facilities with Claims This Period

June 18, 2016

Sherman Hospital

June 20 - July 23, 2016

Presence Central and Suburban H

June 28 - August 08, 2016

Presence Central and Suburban H

Your Deductible Status

Your deductible is what you must pay each benefit period for most health services before Medicare begins to pay.

Part A Deductible: You have now met your **\$1,288.00** deductible for **inpatient hospital** services for the benefit period that began **June 21, 2016**.

Part B Deductible: You have now met your **\$166.00** deductible for 2016.

Be Informed!

Medicare eBooks give you fast and free information at your fingertips! They can be viewed on all types of eReaders, such as the iPad or Kindle, and we add new eBooks all the time. Find them at <https://www.medicare.gov/pubs/ebook/ebooks.html>.

¿Sabía que puede recibir este aviso y otro tipo de ayuda de Medicare en español? Llame y hable con un agente en español.

如果需要国语帮助, 请致电联邦医疗保险, 请先说“agent”, 然后说“Mandarin”.

1-800-MEDICARE (1-800-633-4227)

Making the Most of Your Medicare

How to Check This Notice

Do you recognize the name of each facility? Check the dates. Did you have a service or visit that day?

Did you get the claims listed? Do they match those listed on your receipts and bills?

If you already paid the bill, did you pay the right amount? Check the maximum you may be billed. See if the claim was sent to your Medicare supplement insurance (Medigap) plan or other insurer. That plan may pay your share.

How to Report Fraud

If you think a facility or business is involved in fraud, call us at 1-800-MEDICARE (1-800-633-4227).

Some examples of fraud include offers for free medical services, or billing you for Medicare services you didn't get. If we determine that your tip led to uncovering fraud, you may qualify for a reward.

Don't get scammed by phone calls, ads, and people who come door-to-door offering you free or cheap Medicare items and services! Only trust Medicare-approved suppliers and doctors!

How to Get Help with Your Questions

1-800-MEDICARE (1-800-633-4227)

Ask for "hospital services." Your customer service code is 06101.

TTY 1-877-486-2048 (for hearing impaired)

Contact your State Health Insurance Program (SHIP) for free, local health insurance counseling. Call 1-800-548-9034.

Your Benefit Periods

Your hospital and skilled nursing facility (SNF) stays are measured in **benefit days** and **benefit periods**. Every day that you spend in a hospital or SNF counts toward the benefit days in that benefit period. A benefit period begins the first day you receive inpatient hospital services or, in certain circumstances, SNF services, and ends when you haven't received any inpatient care in a hospital or inpatient skilled care in a SNF for 60 days in a row.

Inpatient Hospital: You have **53 out of 90 covered benefit days** remaining for the benefit period that began June 21, 2016.

See your "Medicare & You" handbook for more information on benefit periods.

Your Messages from Medicare

You can now get your Medicare Summary Notices (MSNs) **online!** Receive your electronic MSNs (eMSNs) every month by signing up at <https://www.medicare.gov/forms-help-and-resources/e-delivery.html>.

Protect yourself from the sun! The sun's UV rays are the leading cause of skin cancer. Prevent skin cancer - wear sunscreen and sunglasses, and see your doctor if you notice any changes to your skin.

Want to see your claims right away? Access your Original Medicare claims at www.MyMedicare.gov, usually within 24 hours after Medicare processes the claim. You can use the "Blue Button" feature to help keep track of your personal health records.

Depending on where you live, you may have to use Medicare contract suppliers to get certain medical equipment, including mail-order diabetes supplies. To find out which suppliers you can use, go to www.medicare.gov/supplier/ or call 1-800-MEDICARE.

Your Inpatient Claims for Part A (Hospital Insurance)

Part A Inpatient Hospital Insurance helps pay for inpatient hospital care, inpatient care in a skilled nursing facility following a hospital stay, home health care, and hospice care.

Definitions of Columns

Benefit Days Used: The number of covered benefit days you used during each hospital and/or skilled nursing facility stay. (See page 2 for more information and a summary of your benefit periods.)

Claim Approved?: This column tells you if Medicare covered the inpatient stay.

Non-Covered Charges: This is the amount Medicare didn't pay.

Amount Medicare Paid: This is the amount Medicare paid your inpatient facility.

Maximum You May Be Billed: The amount you may be billed for Part A services can include a deductible, coinsurance based on your benefit days used, and other charges.

For more information about Medicare Part A coverage, see your "Medicare & You" handbook.

June 20 – June 28, 2016

Presence Central and Suburban H, (847) 595-3200

77N Airlite St, Elgin, IL 60123-4912

Referred by Elio Vento

	Benefit Days Used	Claim Approved?	Non-Covered Charges	Amount Medicare Paid	Maximum You May Be Billed	See Notes Below
Benefit period starting June 21, 2016	7 days	Yes	\$0.00	\$12,255.90	\$1,288.00	A,B
Total for Claim			\$0.00	\$12,255.90	\$1,288.00	C,D,E

Continued

Notes for Claims Above

- A** Days are being subtracted from your total inpatient hospital benefits for this benefit period.
- B** \$1,288.00 was applied to your inpatient deductible.
- C** The amount Medicare paid the provider for this claim is \$12,255.90.
- D** We have sent your claim to HCSC-BCBS OF IL-STD A & B. Send any questions regarding your Medigap benefits to them.
- E** After your deductible and coinsurance were applied, the amount Medicare paid was reduced due to Federal, State and local rules.

June 28–July 07, 2016**Presence Central and Suburban H, (847) 695-3200**

77N Airlite St, Elgin, IL 60123-4912

Referred by Merrie L. Viscarra

	Benefit Days Used	Claim Approved?	Non- Covered Charges	Amount Medicare Paid	Maximum You May Be Billed	See Notes Below
Benefit period starting June 21, 2016	9 days	Yes	\$0.00	\$15,354.84	\$0.00	F
Total for Claim			\$0.00	\$15,354.84	\$0.00	G,H

July 18–July 23, 2016**Presence Central and Suburban H, (847) 595-3200**

77N Airlite St, Elgin, IL 60123-4912

Referred by Elio Vento

	Benefit Days Used	Claim Approved?	Non- Covered Charges	Amount Medicare Paid	Maximum You May Be Billed	See Notes Below
Benefit period starting June 21, 2016	5 days	Yes	\$0.00	\$9,196.19	\$0.00	F
Total for Claim			\$0.00	\$9,196.19	\$0.00	H,I

Continued →**Notes for Claims Above**

- F** Days are being subtracted from your total inpatient hospital benefits for this benefit period.
- G** The amount Medicare paid the provider for this claim is \$15,354.84.
- H** After your deductible and coinsurance were applied, the amount Medicare paid was reduced due to Federal, State and local rules.
- I** The amount Medicare paid the provider for this claim is \$9,196.19.

July 23 - August 08, 2016**Presence Central and Suburban H, (847) 695-3200**

77N Airlite St, Elgin, IL 60123-4912

Referred by Laura C. Shaikh

	Benefit Days Used	Claim Approved?	Non- Covered Charges	Amount Medicare Paid	Maximum You May Be Billed	See Notes Below
Benefit period starting June 21, 2016	16 days	Yes	\$0.00	\$21,286.01	\$0.00	J
Total for Claim :			\$0.00	\$21,286.01	\$0.00	K,L

Continued -**Notes for Claims Above**

- J** Days are being subtracted from your total inpatient hospital benefits for this benefit period.
- K** The amount Medicare paid the provider for this claim is \$21,286.01.
- L** After your deductible and coinsurance were applied, the amount Medicare paid was reduced due to Federal, State and local rules.

Your Outpatient Claims for Part B (Medical Insurance)

Part B Medical Insurance helps pay for outpatient care provided by certified medical facilities, such as hospital outpatient departments, renal dialysis facilities, and community health centers.

Definitions of Columns

Service Approved? This column tells you if Medicare covered the outpatient service.

Amount Facility Charged: This is your facility's fee for this service.

Medicare-Approved Amount: This is the amount a facility can be paid for a Medicare service. It may be less than the actual amount the facility charged. The

facility has agreed to accept this amount as full payment for covered services. Medicare usually pays 80% of the Medicare-approved amount.

Amount Medicare Paid: This is the amount Medicare paid the facility. This is usually 80% of the Medicare-approved amount.

Maximum You May Be Billed: This is the total amount the facility is allowed to bill you and can include a deductible, coinsurance, and other charges not covered. If you have Medicare Supplement Insurance (Medigap policy) or other insurance, it may pay all or part of this amount.

June 18, 2016

Sherman Hospital, (847) 742-9800

1425N Randall Rd, Elgin, IL 60123-2300

Referred by William Sandoval

Service Provided & Billing Code	Service Approved?	Amount Facility Charged	Medicare-Approved Amount	Amount Medicare Paid	Maximum You May Be Billed	See Notes Below
X-ray of lower and sacral spine, 2 or 3 views (72100)	Yes	\$657.00	\$657.00	\$0.00	\$0.00	M

(continued)

Continued →

Notes for Claims Above

M Payment is included in another service received on the same day.

June 18, 2016/Sherman Hospital continued...

Service Provided & Billing Code	Service Approved?	Amount Facility Charged	Medicare-Approved Amount	Amount Medicare Paid	Maximum You May Be Billed	See Notes Below
Hospital outpatient clinic visit for assessment and management of a patient (G0463)	Yes	341.00	341.00	81.48	20.79	
Total for Claim		\$998.00	\$998.00	\$81.48	\$20.79	N,O,F

June 18, 2016

Sherman Hospital, (847) 742-9800

1425N Randall Rd, Elgin, IL 60123-2300

Referred by Mohammad M. Zaman

Service Provided & Billing Code	Service Approved?	Amount Facility Charged	Medicare-Approved Amount	Amount Medicare Paid	Maximum You May Be Billed	See Notes Below
Pharmacy	Yes	\$14.00	\$14.00	\$0.00	\$0.00	Q
Insertion of needle into vein for collection of blood sample (36415)	Yes	30.00	30.00	0.00	0.00	Q
Blood test, comprehensive group of blood chemicals (80053)	Yes	253.00	253.00	0.00	0.00	Q
Complete blood cell count (red cells, white blood cell, platelets), automated test (85025)	Yes	123.00	123.00	0.00	0.00	Q
Lab/Hematology	Yes	91.00	91.00	0.00	0.00	Q

Claim

(continued)

Continued -

Notes for Claims Above

- N The amount Medicare paid the provider for this claim is \$81.48.
- O We have sent your claim to HCSC-BCBS OF IL-STD A & B. Send any questions regarding your Medigap benefits to them.
- P After your deductible and coinsurance were applied, the amount Medicare paid was reduced due to Federal, State and local rules.
- Q Payment is included in another service received on the same day.

June 18, 2016/Sherman Hospital continued...

Service Provided & Billing Code	Service Approved?	Amount Facility Charged	Medicare-Approved Amount	Amount Medicare Paid	Maximum You May Be Billed	See Notes Below
Emergency department visit, moderately severe problem (99283)	Yes	535.00	535.00	156.37	39.89	
		\$1,046.00	\$1,046.00	\$156.37	\$39.89	R,S,T

Notes for Claims Above

- R** The amount Medicare paid the provider for this claim is \$156.37.
- S** We have sent your claim to HCSC-BCBS OF IL-STD A & B. Send any questions regarding your Medigap benefits to them.
- T** After your deductible and coinsurance were applied, the amount Medicare paid was reduced due to Federal, State and local rules.

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



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Casandra B. Watson

EXECUTIVE DIRECTOR

Steven S. Sandvoss

September 2, 2016

ID# 23957

Schefflow for Kane 22
Douglas Schefflow
215 Coventry Ct
Elgin, IL 60123

7015 3010 0002 2990 9992

Dear Schefflow for Kane 22:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	June Quarterly Report of Campaign Contribution and Expenditures
Report Period:	April 1, 2016 through June 30, 2016
Filing Period:	July 1, 2016 through July 15, 2016

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 7/26/2016, 7 day(s) late. As such, this committee has been assessed a fine of \$350.00.

Enclosed, please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 3, 2016 you forfeit the right to contest this assessment.*

Since this is a subsequent violation, if the above listed violation is not appealed, the previously assessed fine(s) for delinquent filing listed below must also be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
2014 March	Quarterly	\$25
TOTAL AMOUNT NOW DUE		\$375.00

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express, for an additional fee.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

Tom Newman, Director,
Campaign Disclosure Division

TM: lm
Enclosure(s): appeal packet

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections

Complainant

v.

16 MA 042

1 Cent for Children of LaSalle County Schools

ID #24657

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing
the December 2015 and March 2016 Quarterly reports

The 2015 March Quarterly report was received by the Board on July 15, 2016, 124 days late resulting in a \$3,100 civil penalty. The 2016 March Quarterly report was received by the Board on July 15, 2016, 63 days late resulting in a \$3,150 civil penalty. The total civil penalty assessment is \$6,250.

Helio Ruvalcaba, the Treasurer of the Committee filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

Mr. Ruvalcaba states that the Committee did not receive notice to file and the Committee is being dissolved.

I recommend the appeal be denied for lack of an adequate defense. Our records indicate notice to file the December 2015 Quarterly report was sent to the Committee on 12/15/15 and notice to file the March 2016 Quarterly report was sent to the Committee on 3/11/16. If this recommendation is accepted by the Board, the \$6,250 civil penalty will be due and owing.

(As of 6/30/16, this Committee reported a funds available balance of \$682.52.)



Kim Patrick – Hearing Officer
October 4, 2016

State of Illinois)
County of _____)

STATE BOARD OF ELECTIONS

2016 OCT -3 PM 1:28

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;

ILLINOIS STATE BOARD OF ELECTIONS,

Complainant

Vs.

Case No. 16MA042

1 Cent for Children of LaSalle County
Respondent(s).

APPEAL AFFIDAVIT

I, Helio Ruvalcaba, the Treasurer of the
(Name) (Chairman/Treasurer)
1 Cent for Children of LaSalle County
(Name of the Committee)

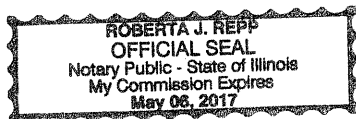
Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Did not receive notices to file. Committee is
being dissolved.

Signed and Sworn to by:

Roberta J. Repp
before me this 29th Day of
Sept. 29th, 2016
Roberta J. Repp
Notary Public

[Signature]
(Signature of Chairman/Treasurer)



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Steven S. Sandvoss
September 2, 2016

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Andrew K. Carruthers
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John R. Keith
William M. McGuffage
Casandra B. Watson

1 Cent for Children of LaSalle County Schools ID# 24657

Helio Ruvalcaba

612 Grafton St

Ottawa, IL 61350-3106

7015 3010 0002 2990 1286

Dear 1 Cent for Children of LaSalle County Schools:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	December Quarterly Report of Campaign Contributions and Expenditures
Report Period:	October 1, 2015 through December 31, 2015
Filing Period:	January 4, 2016 through January 15, 2016

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on 7/15/2016, 124 day(s) late. As such, this committee has been assessed a fine of \$3100.00.

In addition, this committee failed to file the following documents during the requisite filing period:

Report Type:	March Quarterly Report of Campaign Contribution and Expenditures
Report Period:	January 1, 2016 through March 31, 2016
Filing Period:	April 1, 2016 through April 15, 2016

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 7/15/2016, 63 day(s) late. As such, this committee has been assessed a fine of \$3150.00.

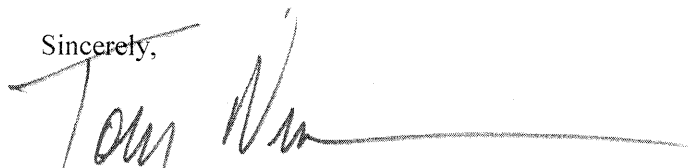
The total for all new assessments is \$6250.00.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 3, 2016, you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by American Express, MasterCard, or Discover.

If you have questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in dark ink, appearing to read "Tom Newman", with a long horizontal flourish extending to the right.

Tom Newman, Director
Campaign Disclosure Division

TN: lm

Enclosure(s): appeal packet

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

16 JQ 096

Friends of Deborah Morelli

Cmte ID: 25843

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment

For Delinquently Filing the June 2016 Quarterly Report

The Report was filed on July 27th, 2016, 8 days late, resulting in an assessment of \$1,600. The Committee was previously fined \$100 (not appealed, paid) for delinquently filing the September 2014 Quarterly Report and \$200 (not appealed, paid) for delinquently filing the March 2015 Quarterly Report.

Sara Morelli, the Chairperson of the Committee, filed a Waiver of Appearance and an Appeal Affidavit in this matter. On the Affidavit, Ms. Morelli stated the individual given the responsibility of filing the June 2016 Quarterly Report did so delinquently. The Committee has since hired another individual to handle its reporting. Ms. Morelli said the delinquent nature of the filing was unintentional.

I recommend the appeal be denied for lack of an adequate defense. I am sympathetic to the Committee's situation and appreciate the Chairperson's candor, but there is no statutory basis for relief in this matter. If the Board accepts this recommendation, a total of \$1,600 would be due and owing. As of June 30th, 2016, the Committee reported a cash balance of \$18,434.10.



John Levin – Hearing Officer
September 28th, 2016

State of Illinois)
County of Cook)

STATE BOARD OF ELECTIONS

2016 OCT -3 PM 1:28

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;

ILLINOIS STATE BOARD OF ELECTIONS,

Complainant

Vs.

Friends of Deborah Morelli

Respondent(s).

Case No. 25843

1690096

APPEAL AFFIDAVIT

I, Sara Morelli, the ~~Chairman~~ of the
(Name) ~~Chairman~~ Treasurer

Friends of Deborah Morelli

(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The attorney responsible for filing the document in question failed to do so within the required time frame. Upon learning of said failure, the committee promptly took steps necessary to correct the mistake and ensure that the document was filed as timely as possible. This error occurred without the knowledge of the committee, was unintentional, and steps have been taken to ensure that it will not be repeated. Specifically, the committee has hired another professional to handle its campaign finance disclosure reporting.

Signed and Sworn to by:

Sara Morelli
before me this 29th Day of
September, 2016

Notary Public

JENNIFER J SCACCIA

Official Seal

Notary Public - State of Illinois
My Commission Expires Jun 7, 2020

Sara Morelli

(Signature of Chairman/Treasurer)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
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William J. Cadigan
Andrew K. Carruthers
Betty J. Coffrin
John R. Keith
William M. McGuffage
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss
September 2, 2016
ID# 25843

Friends of Deborah Morelli
Sara Morelli, Michael Morelli
4404 Oak Park Ave
Stickney, IL 60402

7015 3010 0002 2990 0098

Dear Friends of Deborah Morelli:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	June Quarterly Report of Campaign Contribution and Expenditures
Report Period:	April 1, 2016 through June 30, 2016
Filing Period:	July 1, 2016 through July 15, 2016

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 7/27/2016, 8 day(s) late. As such, this committee has been assessed a fine of \$1600.00.

Enclosed, please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 3, 2016 you forfeit the right to contest this assessment.*

Since this is a subsequent violation, if the above listed violation is not appealed, the previously assessed fine(s) for delinquent filing listed below must also be paid by the committee within 30 days of the issuance of the Final Board Order:

TOTAL AMOUNT NOW DUE

\$1600.00

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express, for an additional fee.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

Tom Newman, Director,
Campaign Disclosure Division

TM: lm
Enclosure(s): appeal packet

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

16 JQ 126

People for Change

Cmte ID: 30602

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment

For Delinquently Filing the June 2016 Quarterly Report

The Report was filed on July 26th, 2016, 7 days late, resulting in an assessment of \$350. The Committee was previously fined \$250 (not appealed, stayed) for delinquently filing the June 2015 Quarterly Report.

Craig Kelley, the Chairman of the Committee, filed a Waiver of Appearance and an Appeal Affidavit in this matter. On the Affidavit, Mr. Kelley said he and some other college students formed the Committee in an effort to increase voter activism and help elect fresh faces to the Illinois legislature. However, he acknowledged communication broke down after Committee members were graduated and moved to different states. He cited that as the reason for the two delinquently-filed Reports on the Committee's record and asked for leniency with respect to any fine amount imposed.

I recommend the appeal be denied for lack of an adequate defense. If the Board accepts this recommendation, the stay on the \$250 fine for the delinquent June 2015 Quarterly Report would be lifted, and a total of \$600 would be due and owing. Mr. Kelley has indicated the Committee intends to dissolve and is willing to dedicate its remaining funds to a reduced fine amount, at the Board's discretion. As of June 30th, 2016, the Committee reported a cash balance of \$192.00.



John Levin – Hearing Officer

September 27th, 2016

State of Illinois)
County of _____)

STATE BOARD OF ELECTIONS

2016 SEP 26 PM 3:16

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
PEOPLE FOR CHANGE)
Respondent(s).)

Case No. ID #30602

APPEAL AFFIDAVIT

I, CRAIG KELLEY, the CHAIRMAN of the
(Name) (Chairman/Treasurer)
PEOPLE FOR CHANGE
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

PEOPLE FOR CHANGE WAS CREATED AS A PAC POST GRAD STUDENTS AT THE HARVARD HARVARD SCHOOL OF GOVT TO INCREASE VOTER ACTIVENESS AND HELP ELECT RASIN RACES TO THE ILLINOIS LEGISLATURE, UNFORTUNATELY POST-GRADUATION WE SPLIT APART, WENT TO DIFFERENT STATES, AND DID NOT COMMUNICATE AND COORDINATE AS EFFICIENTLY AS WE HAD HOPED, THE RESULT WAS BOTH MISSED FILING DEADLINES AND A LACK OF EFFECTIVENESS IN THE PAC'S CORE MISSION, ACCORDINGLY WE INTEND TO DISSOLVE THE PAC ONCE THIS APPEAL PROCESS IS THROUGH WE REQUEST THE FINE BE LOWERED AND THE PAC WILL DONATE ITS 195th BALANCE TO A SIMILAR CAUSE, ALTERNATELY WE REQUEST THE FINE BE LOWERED TO 195th WHICH THE PAC WOULD PAY FROM ITS BALANCE PRIOR TO DISSOLVING.

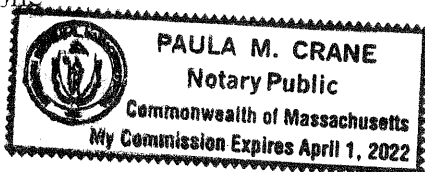
Signed and Sworn to by:

CRAIG KELLEY
before me this 19th Day of

September 2016

Paula M. Crane
Notary Public

[Signature]
(Signature of Chairman/Treasurer)



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Charles W. Scholz, Chairman
Ernest L. Gowen, Vice Chairman
William J. Cadigan
Andrew K. Carruthers
Betty J. Coffrin
John R. Keith
William M. McGuffage
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss
September 2, 2016
ID# 30602

People for Change
Andrew Gill
2 Peabody Terr #614
Cambridge, MA, IL 02138

7015 3010 0002 2990 0234

Dear People for Change:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	June Quarterly Report of Campaign Contribution and Expenditures
Report Period:	April 1, 2016 through June 30, 2016
Filing Period:	July 1, 2016 through July 15, 2016

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 7/26/2016, 7 day(s) late. As such, this committee has been assessed a fine of \$350.00.

Enclosed, please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 3, 2016 you forfeit the right to contest this assessment.*

Since this is a subsequent violation, if the above listed violation is not appealed, the previously assessed fine(s) for delinquent filing listed below must also be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
2015 June	Quarterly	\$250
TOTAL AMOUNT NOW DUE		\$600.00

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express, for an additional fee.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in black ink, appearing to read "Tom Newman", is written over a horizontal line.

Tom Newman, Director,
Campaign Disclosure Division

TM: lm
Enclosure(s): appeal packet

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

16 JQ 154

Committee to Elect Elisabeth Dunbar ID# 32153
Respondent

REPORT OF HEARING OFFICER
Appeal of Civil Penalty Assessment for Delinquent Filing of the
June 2016 Quarterly Report

The Report was received by the Board on 7/28/16, 9 days late, resulting in a civil penalty assessment of \$225.

Elisabeth Dunbar, the Treasurer of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Dunbar states that the Committee was formed on 6/30/16 at 3:37pm. She says the intention was for the Committee's creation date to be July 1, but she did not realize it had been dated 6/30/16.

The Committee's Statement of Organization shows a creation date of 6/30/16 and was prepared and filed on that same date. Based on this creation date, the Committee was required to file a June Quarterly Report by July 15. I recommend the appeal be denied for lack of an adequate defense. As a first violation, the \$225 civil penalty is stayed. (As of 6/30/16, this Committee reported a funds available balance of \$100)



Tom Newman – Hearing Officer
June 23, 2016

State of Illinois)
County of Kankakee)

STATE BOARD OF ELECTIONS

2016 SEP 19 PM 2:31

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)

ILLINOIS STATE BOARD OF ELECTIONS,)

Complainant)

Vs. Committee to Elect)
Elisabeth Dunbar)
Respondent(s).)

Case No. 1690154

APPEAL AFFIDAVIT

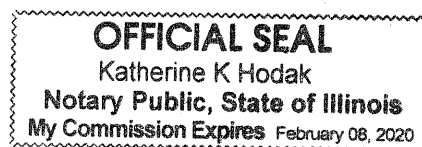
I, Elisabeth Dunbar, the Treasurer of the
(Name) (Chairman/Treasurer)
Committee to Elect Elisabeth Dunbar
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Committee was formed 6/30/16 at 3:37pm.
D-2 Quarterly Report was received in Chicago
7/28/16 at 1:05pm. Committee Chairman and
Treasurer intended committee start date to
be 7/1/16 and did not realize it had been
dated 6/30/16 - copies enclosed.

Signed and Sworn to by:
Katherine K Hodak
before me this 15 Day of
September, 2016
Katherine K Hodak
Notary Public

Elisabeth Dunbar
(Signature of Chairman/Treasurer)



6/30/2016

Committee to Elect Elisabeth Dunbar
761 S. Chicago Ave
Kankakee, IL 60901

Candidate 32153 08
Committee to Elect Elisabeth Dunbar

PLEASE RETAIN THIS RECEIPT FOR YOUR RECORDS

The Illinois State Board of Elections has received the following document(s) from your committee:
D-1 Statement of Organization. Received in our office at (217)782-4141 or (312)814-6440.

Received in Springfield D-1 Statement of Organization

State of Illinois
State Board of Elections
Campaign Disclosure Division

2329 S. MacArthur
Springfield, Illinois 62704

100 West Randolph St.
Suite 14-100
Chicago, Illinois 60601

Candidate 32153 08
Committee to Elect Elisabeth Dunbar

PLEASE RETAIN THIS RECEIPT FOR YOUR RECORDS

The Illinois State Board of Elections has received the following document(s) from your committee.
If this information is incorrect, notify our office at (217)782-4141 or (312)814-6440.

6/30/2016 3:37:29PM

Received in Springfield D-1 Statement of Organization



FORM

D-1

STATEMENT OF ORGANIZATION

PLEASE TYPE OR PRINT IN BLACK INK

FOR OFFICE USE ONLY

STATE BOARD OF ELECTIONS

2016 JUN 20 PM 3:15

Full name and complete mailing address of Political Committee:

Committee to Elect Elisabeth Dunbar

761 S. Chicago Ave.

Kankakee, IL 60901

E-MAIL ADDRESS: dunbar4district13@gmail.com

POLITICAL COMMITTEE
IDENTIFICATION No.

32153-08

☐ CHECK HERE IF ADDRESS CHANGE

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

1.	DATE COMMITTEE CREATED: 6/30/2016	2.	AMOUNT OF FUNDS AVAILABLE AS OF CREATION DATE: \$ 100
3.	<input checked="" type="checkbox"/> NEW COMMITTEE (MUST BE FILED WITHIN 10 DAYS OF CREATION OR WITHIN 2 DAYS IF CREATED WITHIN 30 DAYS BEFORE AN ELECTION.) <input type="checkbox"/> AMENDMENT (MUST BE FILED WITHIN 10 DAYS OF ANY CHANGES. ENTER ONLY THOSE CHANGES FROM LAST D-1 ON FILE.) <input type="checkbox"/> REACTIVATING		
4.	POLITICAL COMMITTEE'S DESIGNATION: ALL COMMITTEES CHOOSE ONLY ONE: <input checked="" type="checkbox"/> CANDIDATE POLITICAL COMMITTEE* *For purposes of contribution limits and reporting requirements a Candidate Political Committee supporting a candidate for multiple offices elected at different elections must designate an election cycle by listing the appropriate office. This office is: _____ <input type="checkbox"/> POLITICAL ACTION COMMITTEE <input type="checkbox"/> POLITICAL PARTY COMMITTEE <input type="checkbox"/> BALLOT INITIATIVE COMMITTEE <input type="checkbox"/> INDEPENDENT-EXPENDITURE-ONLY PAC** ** May not make direct contributions or coordinated expenditures.		
5.	POLITICAL COMMITTEE'S AREA OF ACTIVITY, SCOPE, AND PARTY AFFILIATION. A. THIS COMMITTEE WILL PRIMARILY OPERATE IN THE FOLLOWING COUNTY(IES) OR DISTRICT(S): (not applicable if operating statewide or supporting/opposing statewide candidates or ballot initiatives) Kankakee County B. POLITICAL PARTY AFFILIATION: Democrat C. NAME AND ADDRESS OF EACH SPONSORING ENTITY:		
6.	PURPOSE OF THE POLITICAL COMMITTEE. To support the candidacy of Elisabeth Dunbar.		
7.	CANDIDATE(S) THE COMMITTEE IS SUPPORTING OR OPPOSING. (IF AMENDING, LIST ALL AS OF TODAY'S DATE.)		

NAME AND ADDRESS	SUPPORT	OPPOSE	OFFICE	PARTY AFFILIATION
Elisabeth Dunbar	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Kankakee County Board District 13 Representative	Democrat

IF MORE SPACE FOR INFORMATION IS REQUIRED, PLEASE ATTACH ADDITIONAL SHEETS.

THIS FORM MAY BE REPRODUCED

PAGE 1 OF 2

88

Revised 7/9/12

COMMITTEE NAME: Friends of Elisabeth Dunbar

POLITICAL COMMITTEE IDENTIFICATION No.:

32153

8. REQUIRED COMMITTEE OFFICERS.

POSITION	NAME	MAILING ADDRESS, DAYTIME PHONE NUMBER, AND E-MAIL ADDRESS
CHAIRMAN	Samuel Brooks Donavon Dunbar	761 S. Chicago Ave., Kankakee IL 60901 815-549-1294 dunbar814@gmail.com
TREASURER	Elisabeth Dunbar	761 S. Chicago Ave., Kankakee IL 60901 815-549-6467 dunbar4district13@gmail.com

9. POSITION, NAME & MAILING ADDRESS OF EACH CUSTODIAN OF THE COMMITTEE'S BOOKS AND ACCOUNTS.

POSITION	NAME	MAILING ADDRESS, DAYTIME PHONE NUMBER, AND E-MAIL ADDRESS
Treasurer	Elisabeth Dunbar	761 S. Chicago Ave., Kankakee IL 60901 815-549-6467 dunbar4district13@gmail.com

10. LIST OF ALL FINANCIAL INSTITUTIONS AND OTHER REPOSITORIES OF THE COMMITTEE FUNDS.
(IF AMENDING, LIST ALL AS OF TODAY'S DATE.)

NAME	MAILING ADDRESS AND PHONE NUMBER
Peoples Bank of Kankakee County	333 E. Court St., Kankakee IL 60901 815-932-5000

11. DISPOSITION OF RESIDUAL FUNDS IN THE EVENT OF DISSOLUTION OR TERMINATION OF THE COMMITTEE:

- ☐ RETURN TO CONTRIBUTORS IN AMOUNTS NOT TO EXCEED THEIR INDIVIDUAL CONTRIBUTIONS.
- ☐ TRANSFER TO ANOTHER POLITICAL COMMITTEE:
- ☒ TRANSFER TO A CHARITABLE ORGANIZATION: Kankakee County Democratic Party

IF MORE SPACE FOR INFORMATION IS REQUIRED, PLEASE ATTACH ADDITIONAL SHEETS.

VERIFICATION- BALLOT INITIATIVE COMMITTEES ONLY

I DECLARE THAT THIS BALLOT INITIATIVE COMMITTEE IS FORMED FOR THE PURPOSE OF SUPPORTING OR OPPOSING A QUESTION OF PUBLIC POLICY. ALL CONTRIBUTIONS AND EXPENDITURES OF THE COMMITTEE WILL BE USED FOR THE PURPOSE DESCRIBED IN THIS STATEMENT OF ORGANIZATION. THE COMMITTEE MAY ACCEPT UNLIMITED CONTRIBUTIONS FROM ANY SOURCE, PROVIDED THAT THIS BALLOT INITIATIVE COMMITTEE DOES NOT MAKE CONTRIBUTIONS OR EXPENDITURES IN SUPPORT OF OR OPPOSITION TO A CANDIDATE OR CANDIDATES FOR NOMINATION FOR ELECTION, ELECTION, OR RETENTION, AND FAILURE TO ABIDE BY THESE REQUIREMENTS SHALL DEEM THIS COMMITTEE IN VIOLATION OF THIS ARTICLE. (10 ILCS 5/9)

PRINTED AND WRITTEN SIGNATURE OF COMMITTEE CHAIRPERSON

DATE

VERIFICATION: INDEPENDENT EXPENDITURE COMMITTEES ONLY

I DECLARE THAT (i) THIS INDEPENDENT EXPENDITURE COMMITTEE IS FORMED FOR THE EXCLUSIVE PURPOSE OF MAKING INDEPENDENT EXPENDITURES, (ii) ALL CONTRIBUTIONS AND EXPENDITURES OF THE COMMITTEE WILL BE USED FOR THE PURPOSE DESCRIBED IN THE STATEMENT OF ORGANIZATION, (iii) THE COMMITTEE MAY ACCEPT UNLIMITED CONTRIBUTIONS FROM ANY SOURCE, PROVIDED THAT THE INDEPENDENT EXPENDITURE COMMITTEE DOES NOT MAKE CONTRIBUTIONS TO ANY CANDIDATE POLITICAL COMMITTEE, POLITICAL PARTY COMMITTEE OR POLITICAL ACTION COMMITTEE, AND (iv) FAILURE TO ABIDE BY THESE REQUIREMENTS SHALL DEEM THE COMMITTEE IN VIOLATION OF THIS ARTICLE.

PRINTED AND WRITTEN SIGNATURE OF COMMITTEE CHAIRPERSON

DATE

VERIFICATION: ALL POLITICAL COMMITTEES

I DECLARE THAT THIS STATEMENT OF ORGANIZATION (INCLUDING ANY ACCOMPANYING SCHEDULES AND STATEMENTS) HAS BEEN EXAMINED BY ME AND, TO THE BEST OF MY KNOWLEDGE AND BELIEF, IS A TRUE, CORRECT, AND COMPLETE STATEMENT OF ORGANIZATION AS REQUIRED BY ARTICLE 9 OF THE ELECTION CODE. I UNDERSTAND THAT WILLFULLY FILING A FALSE OR INCOMPLETE STATEMENT IS SUBJECT TO A CIVIL PENALTY OF AT LEAST \$1001 AND UP TO \$5000.

Elisabeth Dunbar

Elisabeth Dunbar

6/30/2016

PRINTED AND WRITTEN SIGNATURE OF TREASURER OR CANDIDATE

DATE

THE ILLINOIS STATE BOARD OF ELECTIONS REQUIRES THE DISCLOSURE OF INFORMATION THAT IS NECESSARY IF YOU QUALIFY AS A POLITICAL COMMITTEE AS OUTLINED UNDER PUBLIC ACT 78-1183. WILLFUL FAILURE TO FILE OR WILLFUL FILING OF FALSE OR INCOMPLETE INFORMATION REQUIRED BY THIS ARTICLE SHALL CONSTITUTE A BUSINESS OFFENSE SUBJECT TO A FINE OF UP TO \$5000. THIS FORM IS IN COMPLIANCE WITH THE FORMS MANAGEMENT PROGRAM ACT.

ALL POLITICAL COMMITTEES RETURN TO:

STATE BOARD OF ELECTIONS
2329 S MACARTHUR BLVD
SPRINGFIELD, IL 62704-4503
fax: 217-557-5630

e-mail: D1@ELECTIONS.IL.GOV (D-1s ONLY)

STATE BOARD OF ELECTIONS
JAMES R. THOMPSON CENTER
100 W RANDOLPH ST, STE 14-100
CHICAGO, IL 60601-3232
fax: 312-814-6485

e-mail: D1@ELECTIONS.IL.GOV (D-1s ONLY)

8/1/2016

Committee to Elect Elisabeth Dunbar
761 S. Chicago Ave
Kankakee, IL 60901

State of Illinois
State Board of Elections
Campaign Disclosure Division

2329 S. MacArthur
Springfield, Illinois 62704

100 West Randolph St.
Suite 14-100
Chicago, Illinois 60601

Candidate 32153 08
Committee to Elect Elisabeth Dunbar

PLEASE RETAIN THIS RECEIPT FOR YOUR RECORDS

The Illinois State Board of Elections has received the following document(s) from your committee.
If this information is incorrect, notify our office at (217)782-4141 or (312)814-6440.

7/28/2016 1:05:53PM

Received in Chicago

D-2 Quarterly Report

**FORM****D-2****REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES**
(CHECK APPROPRIATE BOXES) (PLEASE TYPE OR PRINT IN BLACK INK)

- ☒ Quarterly Report:
(check one) ☐ 1st, ☒ 2nd, ☐ 3rd, ☐ 4th
- ☐ Final Report
- ☐ Amendment of the Report Indicated Above

FOR OFFICE USE ONLY

Full name and complete mailing address of Political Committee:

Committee to Elect Elisabeth Dunbar
761 S. Chicago Ave.
Kankakee, IL 60901

32153

e-mail address:

☐ CHECK IF ADDRESS CHANGE

COMMITTEE ID#

REPORTING PERIOD4/1/16 6/30/16
FROM THRUCASH AVAILABLE AT THE
BEGINNING OF THE REPORTING
PERIOD: \$
Repeat this amount in SECTION D line (A).**ALL POLITICAL COMMITTEES RETURN TO:**STATE BOARD OF ELECTIONS
2329 S MACARTHUR BLVD
SPRINGFIELD, IL 62704-4503

OR

STATE BOARD OF ELECTIONS
JAMES R. THOMPSON CENTER
100 W RANDOLPH ST, STE 14-100
CHICAGO, IL 60601-3232**SECTION A - RECEIPTS****1. Individual Contributions**a. Itemized (from Schedule A): \$ 0 (1a)
b. Not-Itemized: \$ 100 (1b)**2. Transfers In**a. Itemized (from Schedule A): \$ 0 (2a)
b. Not-Itemized: \$ 0 (2b)**3. Loans Received**a. Itemized (from Schedule A): \$ 0 (3a)
b. Not-Itemized: \$ 0 (3b)**4. Other Receipts**a. Itemized (from Schedule A): \$ 0 (4a)
b. Not-Itemized: \$ 0 (4b)**TOTAL RECEIPTS (1a thru 4b)** \$ 100

5. In-Kind Contributionsa. Itemized (from Schedule I): \$ 0 (5a)
b. Not-Itemized: \$ 0 (5b)**TOTAL IN-KIND (5a+5b)** \$ 0Name & address of person submitting this report if other than the
committee's chairman or treasurer:

VERIFICATIONI DECLARE THAT THIS QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES (INCLUDING ACCOMPANYING SCHEDULES AND STATEMENTS)
HAS BEEN EXAMINED BY ME AND TO THE BEST OF MY KNOWLEDGE AND BELIEF IS A TRUE, CORRECT AND COMPLETE REPORT AS REQUIRED BY ARTICLE 9 OF
THE ELECTION CODE. I UNDERSTAND THAT WILLFULLY FILING A FALSE OR INCOMPLETE STATEMENT IS SUBJECT TO A CIVIL PENALTY OF AT LEAST \$1001 AND
UP TO \$5000.**SECTION B - EXPENDITURES****6. Transfers Out**a. Itemized (from Schedule B): \$ 0 (6a)
b. Not-Itemized: \$ 0 (6b)**7. Loans made**a. Itemized (from Schedule B): \$ 0 (7a)
b. Not-Itemized: \$ 0 (7b)**8. Expenditures**a. Itemized (from Schedule B): \$ 0 (8a)
b. Not-Itemized: \$ 0 (8b)**9. Independent Expenditures**a. Itemized (from Schedule B-9): \$ 0 (9a)
b. Not-Itemized: \$ 0 (9b)**TOTAL EXPENDITURES (6a thru 9b)** \$ 0**SECTION C - DEBTS AND OBLIGATIONS**

(Include previously reported unpaid debts)

10. a. Itemized (from Schedule C): \$ 0 (10a)
b. Not-Itemized: \$ 0 (10b)**TOTAL DEBTS & OBLIGATIONS:** \$ 0**SECTION D - CASH BALANCE**

Cash available at the beginning of the

the reporting period: \$ 0 (A)

Total Receipts from Section A: \$ 100 (B)

Total Cash (A) plus (B): \$ 100 (C)

Total Expenditures from Section B: \$ 0 (D)

Funds available at the close of the

reporting period (C) minus (D): \$ 100 (E)

INVESTMENTS TOTAL: \$ 0 (F)**SIGNATURE OF COMMITTEE'S TREASURER OR CANDIDATE ONLY****DATE**

THIS FORM MAY BE REPRODUCED

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd., P.O. Box 4187
Springfield, Illinois 62708-4187
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Charles W. Scholz, Chairman
Ernest L. Gowen, Vice Chairman
William J. Cadigan
Andrew K. Carruthers
Betty J. Coffrin
John R. Keith
William M. McGuffage
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss
September 2, 2016

Committee to Elect Elisabeth Dunbar ID# 32153
Samuel Brooks Donavon Dunbar, Elisabeth Dunbar
761 S. Chicago Ave
Kankakee, IL 60901

7015 3010 0002 2990 9695

Dear Committee to Elect Elisabeth Dunbar:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: June Quarterly Report of Campaign Contributions and Expenditures
Report Period: April 1, 2016 through June 30, 2016
Filing Period: July 1, 2016 through July 15, 2016


Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on 7/28/2016, 9 days late. As such, this committee has been assessed a fine of \$225.00.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 3, 2016 you forfeit the right to contest this assessment.**

Since this is a first time violation, the assessed civil penalty will be *stayed*. Any subsequent violation of Article 9 of the Election Code or of a Board Order may result in the assessment of an additional civil penalty as provided in Section 125.425 of the Rules and Regulations. If that subsequent violation is one which results in the assessment of a civil penalty, that penalty, as well as the civil penalty previously stayed, shall become due and owing. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

If you have questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,


Tom Newman, Director
Campaign Disclosure Division

TM: lm
Enclosure(s): appeal packet

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

16 MA 056

Friends of Jake Lee

ID #32009

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing
the March and June 2016 Quarterly reports

The 2016 March Quarterly report was received by the Board on July 19, 2016, 63 days late resulting in a \$1,575 civil penalty. The 2016 June Quarterly report was received by the Board on July 19, 2016, 2 days late resulting in a \$100 civil penalty. The total civil penalty assessment is \$1,675.

Jacob "Jake" Lee, the Chairman of the Committee filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

Mr. Lee states that the Committee has not met the \$5,000 threshold and had no obligation to file a D-1 Statement of Organization. He adds the Committee's D-1 that was filed on 2/22/16 with the Board was to provide financial transparency and a learning opportunity for report filing. He respectfully requests the 'first violation' be rescinded and that the 'second violation' become the 'first violation' and that the penalty be stayed.

The Committee's D-1 Statement of Organization filed with the Board on 2/22/16 shows an amount of funds available of \$0. The Committee's March 2016 Quarterly report shows an amount of funds available of \$783.88 and the June 2016 Quarterly report shows an amount of funds available of \$573.38. The Committee has subsequently amended their D-1 Statement of Organization to reflect a creation date of 4/1/16 with an amount of funds available of \$783.88. It is clear the Committee has not reached the \$5,000 threshold within a 12 month period. Therefore, I recommend the appeal in regards to the March Quarterly Report be granted. I recommend the appeal in regards to the June Quarterly Report be denied for lack of an adequate defense. If these recommendations are accepted by the Board, the penalty assessment for a first violation would be reduced to \$50 and the penalty be stayed.

(As of 6/30/16, this Committee reported a funds available balance of \$573.88.)



Kim Patrick – Hearing Officer
September 29, 2016

STATE BOARD OF ELECTIONS

2016 SEP 21 AM 8:28

of Illinois

y of:

KANAKALEE

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

(E MATTER OF;

IOIS STATE BOARD OF ELECTIONS,

Complainant

Case No. 16 MA056FRIENDS OF JAKE LEE

Respondent(s).

APPEAL AFFIDAVIT

JACOB M. "JAKE" LEE, the

(Name)

CHAIRMAN

(Chairman/Treasurer)

of the

FRIENDS OF JAKE LEE

(Name of the Committee)

intee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good
or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

(SEE ATTACH-
MENTS AS
WELL

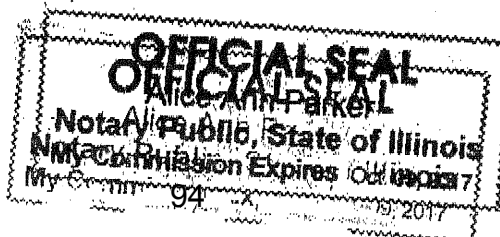
- 1) FRIENDS OF JAKE LEE DOES NOT YET MEET
THE DEFINITION OF A COMMITTEE, BUT FORMED IN HOPES
- 2) PROVIDE FINANCIAL TRANSPARENCY + LEARNING OPPORTUNITY
FOR REPORT FILING SHOULD WE REACH REQUIRED
THRESHOLD OF \$5,000. 2) NO MALICE IN FILINGS
- 3) FILED ELECTRONICALLY - by E-MAIL
- 4) SENT ^{hard} ~~MAILED~~ COPY AS WELL.

Signature of Chairman/Treasurer

Sworn to by:

Alice Ann Parkere this 20 Day of September, 2016

lic



Dear Sir or Ma'am,

Pursuant of the Campaign Disclosure rules, I respectfully submit an appeal that that penalties assessed against "Friends of Jake Lee" be rescinded for our first violation and that the second violation become, by default the "first violation" and that those fees are "stayed". I want to pause here to acknowledge that we understand that rules are in place for a reason, and that there are a lot of committees and such throughout the state that the board has to hold accountable and keep track of. I appreciate your consideration for allowing us to explain our cause and objections to any claims of violation. I will concede the fact that, if there was a violation, it was not due to malice or any intention to defraud but through processes that were not clearly posed or understood, in which case, I implore your objective indulgence and consideration.

I have been in service to my county and my community for all of my adult life. And, under the advisement of our central committee, I formed a campaign committee for a county-wide office that I am seeking to help our community get back on track after over a decade of mismanagement. As a new candidate to public office, we believed it in our best interest to have an account separate from my personal finances to manage the funds associated with the campaign. I would like to submit in greater detail the reasons I believe the assessments levied against our committee should be rescinded.

- 1) At the time of filings Quarter 1 & 2 our campaign did not qualify as a committee under the rules of Section 5/9-10. (b) "Candidate political committee" means the candidate himself or herself or any natural person, trust, partnership, corporation, or other organization or group of persons designated by the candidate that accepts contributions or makes expenditures during any 12-month period in an aggregate amount exceeding \$5,000 on behalf of the candidate." At no point had we accepted \$5k in contributions or made \$5k in expenditures. We did, however, form the committee as a precautionary measure so that we would have the information already regularly filed in the event that we meet the criteria of a committee and then have to go back and verify all of the records. To prevent the latter, we created the former.
- 2) There was a "good faith effort" made to file within the deadline of the Quarterly Filings; however, there was procedural confusion as well. Enclosed you will find e-mail verification that submitted the Quarter 1 reports electronically via Richard "RJ" Tyson the Treasurer of our campaign committee. He submitted the electronic version of the reports on April 15th. Ten days later on the 25th of April, I received a letter in the mail that the filings had not been made; I contacted my treasurer who in turn

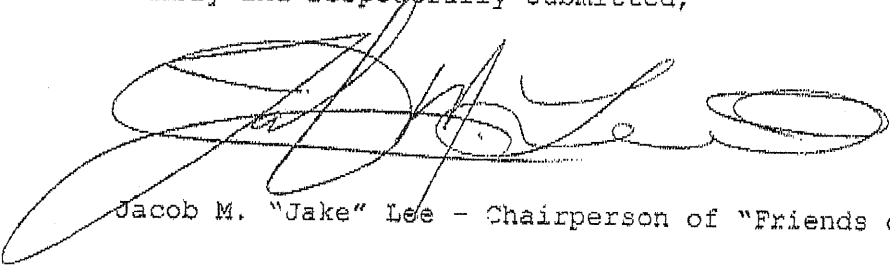
contacted the Campaign Disclosure office. RJ was advised on the requirement that the filings needed to be post-marked 72-hours before the last day of filing to be considered on time. We were not informed that the original filing was rejected either. We were not informed at this point that the definition of "filing electronically" was that there is a log in provided from the Campaign Disclosure Division that will allow for documents to be "uploaded" opposed to mailed. After I received another notification in the mail, on or about Friday, July 1, that our Q1 filings had not been submitted. On July 1st RJ and I corresponded with one another (I received the letter when I arrived home from work) and he called the office the next business day. RJ was assured that everything was taken care of and that I nor he would have to appear in Springfield for a conference.

- 3) The documents were actually sent on the 15th of April via e-mail. We were not told that there was any penalty for e-mailing the documents. We were not given a confirmation or denial that the documents were not received (until well after the filing date), which means that it was reasonable to assume that the documents were received. The clerical error that has the box checked of the 2nd quarterly report is simply an inaccuracy for the second date range does show that it was a report from the period of creation of our committee until the end of the filing period, which is the first quarter. We not receive any communication that the report was rejected and we should immediately submit an amended report that has the first box checked opposed to the second box. Please refer to Enclosures 1-6.
- 4) There was no malicious intent to fail to file. The first filing we did was via e-mail which we thought was an acceptable form of submission. We received no notification that the e-mail address was invalid or that the forms would not be received as presented.
- 5) The assertion that the first filing was 63 days late is not accurate in our opinion. We respectfully refer to the enclosures to demonstrate the point that they were submitted. Secondly, if this infraction is accurate, it should be considered a first time violation and thus the assessed civil assessment should be stayed.
- 6) We sent copies of the original filing forms in the mail after we received the first notification. Due to the budgetary crisis that was going on, we believe there may have been some disruption in service that may have contributed to our mailed filings not making it to the office.

- 7) Our follow up demonstrates our desire to be in compliance although there were no clear responses as to whether or not our efforts made us compliant and in applying the "reasonability test" we had a reasonable cause to assume that our documents were received and accepted in the manner in which we presented them. It was not until much later (in July) that we were informed otherwise with specifics as to what our "failures" were.

We appreciate the time of the board in reviewing our positions and considering our arguments as it relates to the penalty that has been levied against our campaign. We urge the board to reconsider the assessment under the grounds aforementioned and rescind the initial violation and subsequently default the second violation to the first violation as the first would have been deemed invalid. It is my contention that our campaign should be, at a maximum, subject only to a fine of \$100, and that the amount of \$100 would be stayed as a first time offense. Furthermore, I would refer your indulgence to the enclosure that does show that our 2nd Quarter filing was submitted "timely" (please see enclosure 7). We look forward to the ruling on this matter and again, we appreciate the opportunity to write our appeal and have it considered in the determination.

Humbly and respectfully submitted,



Jacob M. "Jake" Lee - Chairperson of "Friends of Jake Lee"

9/18/2016

Gmail - Committee ID 32009 QUESTIONS



Jake Lee <lee4auditor@gmail.com>

Committee ID 32009 QUESTIONS

2 messages

Jake Lee <lee4auditor@gmail.com>

To: webmaster@elections.il.gov

Sat, Jul 16, 2016 at 12:03 PM

Good afternoon, and I hope this message reaches you well. My treasure and I have had a hard time with having confirmation that the report filings have been submitted and accepted in a timely manner to stay within compliance. As I understand it, I can have a log-in to submit the forms electronically so that we do not have any more issue with getting the paperwork turned in wrong. We are are first time campaign, and I do not want to be exposed to the fees or any of the other issues that come along with failing to file things properly, especially when we were not required to form a committee yet, but we wanted to make sure we got everything done the right way and learned all of the issues that we needed to learn so that when we did meet the requirements of filing and reporting it was a smooth process. At any rate, can you advise on the following:

- 1) What are the steps to set up electronic filing?
- 2) How do I get a user name and password to submit filing electronically?
- 3) Since there were attempts to get the filing done, can we have the fee associated with the late filing waived?

Thank you, in advance, for your time and attention.

I do plan to call on Tuesday to follow up on this matter. Take care and have a great weekend!

Regards,

Jake Lee

Candidate for Kankakee County Auditor

Miller, Katie <KMiller@elections.il.gov>

To: "lee4auditor@gmail.com" <lee4auditor@gmail.com>

Mon, Jul 18, 2016 at 8:50 AM

Good morning. We received your Quarterly Reports this morning and the 2nd Quarterly is clearly timely. However, you sent another report with the same dates, but differing amounts. Was that intended to be your 1st Quarterly Report and the date is simply wrong? Fines will be calculated and assessed for the late filing of the 1st Quarterly Report, but they may be appealed and/or be stayed until a 2nd late filing offense has occurred. This should be discussed at a later date with a hearing officer. You will receive notice in the mail regarding the late 1st Quarterly filing, should this second report you filed indeed be the 1st Quarterly Report.

To set up a filer account for electronically filling, you will need to call 217-782-4141. Otherwise, you may find the D-2 form and accompanying Schedules on our website www.elections.il.gov and submit your report on paper. Paper forms can be faxed to 217-782-5959

Looking forward to hearing from you . 217-558-2075

Thank you.

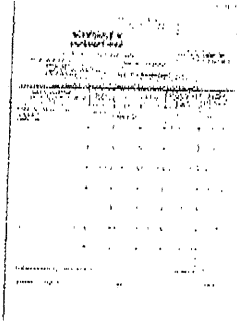
Katie Miller, MPA
Campaign Disclosure Specialist
Illinois State Board of Elections
2329 S. MacArthur Blvd.
Springfield, IL 62704
(217)-558-2075


From: Jake Lee [mailto:lee4auditor@gmail.com]


ENCL (987)

9/18/2016

Gmail - Fwd: D-2 report



 ATT00001.txt
1K

 ATT00002.txt
2K

Jake Lee <lee4auditor@gmail.com>
To: RJ Tyson <rjtyson@tysoneng.com>

Tue, Sep 13, 2016 at 4:29 AM

Excellent!

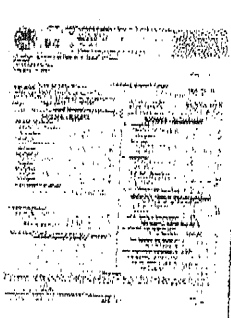
Kind regards,
Jake Lee - Candidate for K3 County Auditor
We must ACT!

Sent from iPhone

On Mon, Sep 12, 2016 at 9:07 PM -0500, "RJ Tyson" <rjtyson@tysoneng.com> wrote:

These are two screen shots that show the date
This e-mail is intended for the use of the individual to whom it is addressed. The message may contain information that is privileged, confidential, and exempt from disclosure under applicable law. If the reader of this message is not the intended recipient, you are hereby notified that any dissemination, distribution or copying of this communication is strictly prohibited. Please notify the sender of this e-mail by reply if you have received this message in error. Further, Tyson Engineering makes no representation as to the long term compatibility, usability, or readability of any attached digital or electronic file.

2 attachments



D-2 4-15.jpeg
919K

Schedule B 4-15.jpeg

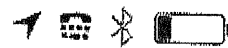
ENCLOSURE

9/18/2016

image2.PNG

●●●○○ Verizon LTE

9:04 PM



< Sent

Thread

Edit

D-2 report

2 items

rjtyson@tysoneng.com 5:59 PM >

Fwd: D-2 report

Sent from my iPhone Begin forwarded message: From: "RJ Tyson" <rjtyson@...

rjtyson@tysoneng.com 4/15/16 >

D-2 report

Good Evening, I hope this message reaches you well. Please find attached...

Updated Just Now
1 Unsent Message



100

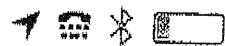
End (1)

9/18/2016

Image1.PNG

●○○○○ Verizon 

9:05 PM



◀ Thread

2 of 2



To: D2@elections.il.gov >

Hide

From: rjtyson@tysoneng.com >

D-2 report

April 15, 2016 at 11:20 PM

Good Evening,

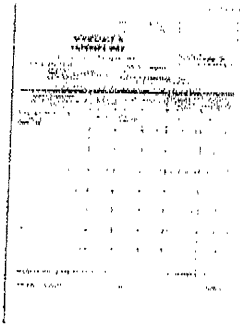
I hope this message reaches you well.

Please find attached the D-2 report for the Political Committee "Friends of Jake Lee"



9/18/2016

Gmail - Fwd: D-2 report



RJ Tyson <rjtyson@tysoneng.com>
To: "lee4auditor@gmail.com" <lee4auditor@gmail.com>

Mon, Sep 12, 2016 at 9:07 PM

These are two screen shots that show the date
[Quoted text hidden]

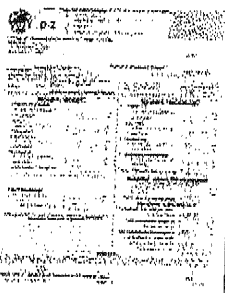
6 attachments

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74K

image2.PNG
74K

image1.PNG
80K

image1.PNG
80K



D-2 4-15.jpeg
919K

Schedule B 4-15.jpeg
548K

102
ENCL (4)


FORM
D-2

(CHECK APPROPRIATE BOXES) (PLEASE TYPE OR PRINT IN BLACK INK)

- ☒ Quarterly Report:
 (check one) ☒ 1st ☒ 2nd ☐ 3rd ☐ 4th
☐ Final Report
☐ Amendment of the Report Indicated Above

Full name and complete mailing address of Political Committee:
 Jake Lee Friends of Jake Lee
 753 S Wildwood Ave
 Kankakee, IL 60901

32009 11

e-mail address: lee4auditor@gmail.com

☐ CHECK IF ADDRESS CHANGE

COMMITTEE ID#

REPORTING PERIOD
 02-22-16 | 04-15-16
 FROM THRU

CASH AVAILABLE AT THE
 BEGINNING OF THE REPORTING
 PERIOD: \$ 1033.38
 Repeat this amount in SECTION D line (A).

ALL POLITICAL COMMITTEES RETURN TO:

STATE BOARD OF ELECTIONS
 2320 S MACARTHUR BLVD
 SPRINGFIELD, IL 62704-4503

OR

STATE BOARD OF ELECTIONS
 JAMES R. THOMPSON CENTER
 100 W RANDOLPH ST, STE 14-100
 CHICAGO, IL 60601-3232

SECTION A - RECEIPTS**1. Individual Contributions**

- a. Itemized (from Schedule A): \$ 0 (1a)
 b. Not-Itemized: \$ 0 (1b)

2. Transfers In

- a. Itemized (from Schedule A): \$ 0 (2a)
 b. Not-Itemized: \$ 0 (2b)

3. Loans Received

- a. Itemized (from Schedule A): \$ 0 (3a)
 b. Not-Itemized: \$ 0 (3b)

4. Other Receipts

- a. Itemized (from Schedule A): \$ 0 (4a)
 b. Not-Itemized: \$ 0 (4b)

TOTAL RECEIPTS (1a thru 4b) \$ 0

5. In-Kind Contributions

- a. Itemized (from Schedule I): \$ 0 (5a)
 b. Not-Itemized: \$ 0 (5b)

TOTAL IN-KIND (5a+5b) \$ 0

Name & address of person submitting this report if other than the
 committee's chairman or treasurer:

SECTION B - EXPENDITURES**6. Transfers Out**

- a. Itemized (from Schedule B): \$ 0 (6a)
 b. Not-Itemized: \$ 0 (6b)

7. Loans made

- a. Itemized (from Schedule B): \$ 0 (7a)
 b. Not-Itemized: \$ 0 (7b)

8. Expenditures

- a. Itemized (from Schedule B): \$ 250 (8a)
 b. Not-Itemized: \$ 0 (8b)

9. Independent Expenditures

- a. Itemized (from Schedule B-9): \$ 0 (9a)
 b. Not-Itemized: \$ 0 (9b)

TOTAL EXPENDITURES (6a thru 9b) \$ 250

SECTION C - DEBTS AND OBLIGATIONS

(Include previously reported unpaid debts)

10. a. Itemized (from Schedule C): \$ 0 (10a)
 b. Not-Itemized: \$ 0 (10b)

TOTAL DEBTS & OBLIGATIONS: \$ 0

SECTION D - CASH BALANCE

Cash available at the beginning of the

the reporting period: \$ 1033.38 (A)

Total Receipts from Section A: \$ (B)

Total Cash (A) plus (B): \$ (C)

Total Expenditures from Section B: \$ 250 (D)

Funds available at the close of the

reporting period (C) minus (D): \$ 783.38 (E)

INVESTMENTS TOTAL: \$ (F)

I DECLARE THAT THIS QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES (INCLUDING ACCOMPANYING SCHEDULES AND STATEMENTS)
 HAS BEEN EXAMINED BY ME AND TO THE BEST OF MY KNOWLEDGE AND BELIEF IS A TRUE, CORRECT AND COMPLETE REPORT AS REQUIRED BY ARTICLE 9 OF
 THE ELECTION CODE. I UNDERSTAND THAT WILLFULLY FILING A FALSE OR INCOMPLETE STATEMENT IS SUBJECT TO A CIVIL PENALTY OF AT LEAST \$1001 AND
 UP TO \$5000.

SIGNATURE OF COMMITTEE'S TREASURER OR CANDIDATE ONLY
 THIS FORM MAY BE REPRODUCED

PAGE 1 of 2

DATE

REVISED 5/1/15

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Steven S. Sandvoss
September 2, 2016

BOARD MEMBERS
Charles W. Scholz, Chairman
Ernest L. Gowen, Vice Chairman
William J. Cadigan
Andrew K. Carruthers
Betty J. Coffrin
John R. Keith
William M. McGuffage
Casandra B. Watson

Friends of Jake Lee
Jacob M Lee
753 S Wildwood Ave
Kankakee, IL 60901

ID# 32009

7015 3010 0002 2990 1408

Dear Friends of Jake Lee:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	March Quarterly Report of Campaign Contributions and Expenditures
Report Period:	January 1, 2016 through March 31, 2016
Filing Period:	April 1, 2016 through April 15, 2016

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on 7/19/2016, 63 day(s) late. As such, this committee has been assessed a fine of \$1575.00.

In addition, this committee failed to file the following documents during the requisite filing period:

Report Type:	June Quarterly Report of Campaign Contribution and Expenditures
Report Period:	April 1, 2016 through June 30, 2016
Filing Period:	July 1, 2016 through July 15, 2016

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 7/19/2016, 2 day(s) late. As such, this committee has been assessed a fine of \$100.00.

The total for all new assessments is \$1675.00.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 3, 2016, you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by American Express, MasterCard, or Discover.

If you have questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in dark ink, appearing to read "Tom Newman", with a long horizontal flourish extending to the right.

Tom Newman, Director
Campaign Disclosure Division

TN: lm

Enclosure(s): appeal packet

STATE BOARD OF ELECTIONS
2329 S MacArthur Blvd
Springfield, Illinois 62704
217/782-4141

Tom Newman

Director, Campaign Disclosure Division

To: Members of the Board; Steven Sandvoss, Executive Director; Ken Menzel, General Counsel

Re: Civil Penalty Assessments Necessitating a Final Board Order

Date: October 5, 2016

Listed below are committees that have been assessed a civil penalty for the delinquent filing of the D-1 Statement of Organization, the December 2015, March 2016 and June 2016 Quarterly reports, Schedule A-1's and "multi-assessments". These violations were not appealed & should be issued a Final Board Order.

December 2015 Quarterly Report			
Cmte No	Cmte Name	Amt of Fine	Prev. Violations
25490	Friends of Tom Handyside **	25	None
March 2016 Quarterly Report			
Cmte No	Cmte Name	Amt of Fine	Prev. Violations
13163	Riverside Community Caucus	5000	2 Q's
18183	Citizens for Spitz	900	3 Q's
22403	Equal Justice Party (EJP)	3600	3 Q's (pd)
22446	Friends of Kari Steele	550	1 Q
23017	Friends for Talley	450	None
25977	Jorge Mujica for Alderman	650	1 Q
31673	Citizens for Wilhelm **	500	None
31678	Friends of Blair	1500	None
31721	Southside Democracy for America (SDFA)	1350	1 Q's
31959	Friends of Jeff Deppe	675	None
31974	Friends of Fred Carrizales	425	None
31977	Amy Beeding for Circuit Clerk **	2800	None
31993	Citizens for Glazar	3300	None
32001	Citizens to Bring Illinois Back	575	None
32145	Citizens for Steven S. Graves **	1300	None
June 2016 Quarterly Report			
Cmte No	Cmte Name	Amt of Fine	Prev. Violations
143	DeKalb County Democrats Central Committee	25	None
321	Adams County Democratic Central Comm	175	None
404	Manufacturers PAC (MPAC)	50	None
451	Committee on Political Education	250	None
574	People for Jerry Washington	450	2 Q's
759	Republican Women of Rock Island County	175	None
881	Northern IL Home Builders Assn PAC	150	None
1358	County Clerks & Records Special Action Fund	50	None

4337	New Trier Citizens League	25	None
4743	Committee for Respected Leadership	75	None
7988	Citizens for Dart	50	None
10076	Citizens for Bob Huston	25	None
10536	Worth Twp Regular Republican Org	75	None
12446	Committee to Elect Evan Owens	350	None
12698	Worth Township Coalition Party-John F Murphy	150	None
14566	Friends of Maureen Murphy	150	None
14884	Friends of Dean Viverito	50	None
15371	Bellwood First Party	50	None
15622	Citizens to Elect Emma Mitts	100	None
15728	Citizens for John Beck	125	None
16108	Citizens for Tim Baldermann	25	None
17037	Neighbors for Rey Colon	200	None
18697	Republican Candidates for Milton Twp Offices	175	None
18704	Citizens to Elect O Chris Heidorn	400	None
18730	Comm to Elect DuPage Twp's Responsive Officials	150	None
19066	Country Oaks Committee to Elect Robert G Abboud	100	None
19282	ILCA PAC (IL Landscape Contractors Assn)	50	None
19521	The Committee to Elect Donald G Wiseman Treasurer **	75	None
19620	Hanover Twp Democrats & Independents **	25	None
19749	Citizens for Mary L Donner **	275	None
19880	Citizens to Elect Willie B Cochran	200	Q's, A-1s
20928	Champaign County's Business Empowered PAC	50	None
21499	Friends of Rick Mervine	150	None
22267	Citizens to Elect Al Murphy	25	None
22296	Committee to Elect Carol Clark	450	2 Q's (pd)
22333	Lake County Life PAC	300	3 Q's (pd)
22490	Committee to Elect Michael Brendan Barrett	25	None
22550	Citizens for Kramer	150	A-1
22787	Fred Galey for Sheriff	25	None
22802	Friends of Dave Heilmann	450	None
22911	Citizens for Stewart	50	A-1s, Q
23130	Friends of Enrique	75	Semi, Q's, A-1
23385	Chicago Police Sergeants' Assn PAC	50	None
23563	Friends of Brian Coleman	150	None
23653	Working Forward PAC	50	None
23670	Vance Wyatt Election Campaign	25	None
23754	Joe Navarro for Judge	100	None
23773	Mike Smiddy for State Representative	50	None
23799	Cliff Surges for State Senate **	50	None
23847	Friends of Tom Hartwell	400	None
23894	Citizens for Corey Brackmann	200	None
23925	Friends of Ed Erwin	75	2 Q's
23959	Citizens for Tony Giles	150	A-1
23965	Friends of Ramon Escapa	150	None

24154	Ricardo Garcia for a Better 12 th Ward	50	None
24275	Mary Ross Cunningham Campaign	25	None
24496	Friends of Giuseppe Zerillo	25	None
24528	Friends for Warren L Dixon	200	4 Q's (pd)
24592	The JOBS PAC	50	None
24717	44 th Ward GOP	75	Q, A-1 (pd)
24785	Village Improvement Party	150	None
24810	Citizens for One Wauconda	25	None
24865	Concerned Citizens	175	None
24877	Friends of Vivian Covington	25	None
24884	Friends of Jamie Getchius	25	None
25278	Mike Kozel Campaign Fund	50	1 Q
25284	Friends of Natasha Holmes	50	None
25332	7 th Ward Regular Democratic Organization	50	1 Q
25371	Committee to Elect Karen Elyea	525	3 Q's (pd)
25397	Citizens to Elect Dorothy D Armstrong	375	2 Q's (pd)
25518	Friends for Michelle Baert	250	A-1
25602	Elect Maria Rodriguez	25	None
25873	Friends of Laddi K Singh	250	None
25913	Citizens to Elect Scott Davis	50	A-1, Q (pd)
25944	Retain Ruth 2014 **	150	None
25978	Friends to Elect Vetress Boyce	75	None
25987	Friends of Shaun Murphy	75	None
26005	Citizens for Rose Martinez	150	1 Q
26021	Carlos for Chicago	200	2 Q's, A-1 (pd)
26102	Friends of Sean Starr	500	None
26137	Citizens' United Party **	25	None
26139	Friends of Dominic Elijah Watson	75	3 Q's
26149	Residents Voice Party	25	None
26216	Illinois Family Action PAC	75	None
26229	Friends of Patricia Horton for 3rd Ward Alderman **	50	None
27325	Y.U.P. Pac **	50	1 Q
27335	United Working Families PAC	25	None
29456	Roads For Illinois	150	None
29475	Citizens for Daniel J Staackmann	25	None
29554	Citizens to Elect Jim Petrakos	25	None
29601	Citizens to Elect Robin D Shoffner	50	None
31633	The Southland Political Organization	50	None
31649	Carl for Coroner **	150	None
31737	S4 PAC	25	None
31741	Friends of Joe Cook	25	None
31800	Friends of Jeff Hackley	25	None
31849	Committee to Elect Andrew Killian	25	None
31850	Jason for Illinois	150	None
31856	Friends for Betty Roliardi **	200	None
31882	Citizens for Nancy Gonsiorek	50	None

31887	Citizens for Powers	500	D1, Q
31890	Friends of Robert Zwolinski (Bob Z) **	150	None
31920	New Vision Democrat Organization	900	1 Q
31923	Friends for Tinker Parker	50	None
31924	Citizens for Scobbie **	300	None
31976	Gasparini for Coroner	325	None
31988	Matt Schutte for State's Attorney	500	1 Q
31992	The Organization for Positive Action & Leadership (OPAL)	150	None
32092	Citizens for Voting	275	None
32100	DOBO for Dupage County Board	250	None
32114	Elect Dallas Bigham Commissioner	175	None
32138	Stop Longmeadow	275	None
32150	Citizens for Ken Miller	25	None
32151	Citizens for Dominique Miller	25	None
32159	Citizens for Dollinger	600	None
32164	Rockford Apartment Association	150	None
Schedule A-1 (16 AD)			
Cmte No	Cmte Name	Amt of Fine	A-1 Percentage
16518	Friends of Robert Martwick	5000	10%
Schedule A-1 (16 AM)			
Cmte No	Cmte Name	Amt of Fine	A-1 Percentage
4320	Citizens For Davis	500	50%
4870	Lake Forest Caucus	2000	10%
17120	Citizens for Chuck Tokar	500	10%
22664	Palatine Township Republican Org	2000	10%
23150	Friends of Jason Barickman	500	10%
23720	Barbara Wheeler 64	500	10%
24004	Friends of Carlos Claudio	14350	10%
24838	Riley's Friends	1000	100%
25450	Friends of Josina Morita	500	100%
25573	Zannis for DuPage	500	10%
31640	Friends for Foxx	500	50%
31643	Citizens for Seo	1000	10%
31682	Committee to Elect D Renee Jackson Judge	500	10%
31855	16 th Ward Organization	545	10%
31913	Illinois Voter Education Project	3100	10%
31925	Committee to Elect Louis Apostol Judge **	2500	10%
Schedule A-1 (16 AJ)			
Cmte No	Cmte Name	Amt of Fine	A-1 %
59	Bureau County Democrat Central Committee	509	10%
508	Committee to Elect David Harris	1250	10%
4852	IMHA - PAC	500	10%
5566	Whiteside County Democratic Central Cmte	766	10%
5774	Granite City Township Precinct Committeemen	1103	10%
12048	Citizens for Jim Langfelder	500	100%
14081	Pro-Life Victory Committee	1500	50%

14985	Illinois Small Loan Association	575	10%
19747	Citizens for Cappleman	500	10%
20563	State Univ. Annuitants Assn Action Cmte/SUA ACTION	500	10%
23677	Illinois Liberty PAC- Matthew Besler	5400	10%
24169	Citizens for Ronald Duebbert	3750	100%
25364	Brendan O'Brien for Judge	1030	10%
31749	Friends of Sheila Simon	500	10%
MA "Multi-assessments" (Quarterly & A-1)			
Cmte No	Cmte Name	Amt of Fine	A-1 Percentage
27376	Il Coalition for New Leadership PAC	4125/1250	50%
31755	Cmte to Elect Lisa Copeland **	175/500	10%
31922	Witt for IL	575/650	10%
MA "Multi-assessments" (2 Quarterly)			
Cmte No	Cmte Name	Amt of Fine	Previous Violations
929	Local 143 IUOE PAC	10000	4 Q's
10532	Franklin County Republican Boosters	5825	1 Q
12966	Denning Township Republicans	5825	1 Q
18010	Rey Colon 35 th Ward Committeeman	6975	None
22191	Citizens for Collins	1350	7 Q's
22323	Friends of Chris Ward	9575	3 Q's
22944	Friends of Wendell Mosby	5825	Semi, 3 Q's
25196	Friends for Frank Bucaro	9725	4 Q's
29530	P.O.W.E.R C/O Joseph Barton	22,925	None
30614	Citizens to Elect Terry Morris	11,600	1 Q
31679	Taxpayers for Meister	5025	1 Q
31950	Friends of Eddie Johnson	850	None
D1 Statement of Organization			
Cmte No	Cmte Name	Amt of Fine	Previous Violations
32139	Community Assoc Institute PAC	550	None

(** - DENOTES COMMITTEES THAT HAVE FILED A FINAL REPORT)

STATE BOARD OF ELECTIONS
2329 South MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141

John Levin

Division of Campaign Disclosure

To: Members of the Board
Steve Sandvoss, Executive Director
Ken Menzel, General Counsel

Re: Random Audits

Date: October 3, 2016

10 ILCS 5/9-13 (c) requires the Board to randomly order no more than 3% of registered political committees to conduct internal audits of their accounts. For 2016, the Board maintained a requirement that 2.0% of all active committees conduct said audits. With the assistance of the IT Division, we randomly generated the list and sent notice to the 72 selected committees, as well as their officers and any associated candidates, on May 2, 2016.

All notices were sent via certified mail. The statute does not require a certified public accountant to conduct the audit, but Section 100.175d(1)(A) states the auditor, “(s)hall have the proper training and experience to perform a financial analysis of campaign finance committees. Such training may be the result of possession of a CPA license, possession of a degree in accounting from an accredited accounting or business school and/or experience as an accountant gained from past employment.” The committees are prohibited from selecting as auditors anyone who has contributed to their committees in the last four years.

The audits are to cover a two-year period ending on the date of the original correspondence from the Board; in this instance, May 2, 2014, through May 2, 2016. They are required to be submitted within 60 days of the original correspondence; in this case, by July 5, 2016 (July 2nd having fallen on a Saturday this year and July 4th being a holiday). Selected committees may avoid the requirement by filing Final Reports but are required to remain out of the system for at least four years if they choose to do so.

Campaign disclosure staff prepared an Auditor Selection Form that was sent to each committee. While there is no statutory requirement the form be completed and returned, it was prepared in order to allow the Board to oversee and approve selected auditors in advance of the July 2nd deadline for submission of the audits. The statute does not prescribe specific forms on which the audits must be submitted.

In 2016, 68 of the 72 selected committees fully complied in a timely manner with the random audit statute. 16 of those committees certified they were unable to afford audits and filed Final Reports in lieu of audits. Four of the selected committees failed to file required audit reports. Under 10 ILCS 5/9-13 (f), failure to deliver a certified audit in a timely manner is a business offense punishable by a fine of up to \$250 per day the audit is late, up to a maximum of \$5,000. Board staff contacted state’s attorneys in whose counties non compliant committees are located for possible enforcement.

STATE BOARD OF ELECTIONS

2329 S. MacArthur Blvd

Springfield, Illinois 62708

217/782-4141

Tom Newman

Director, Campaign Disclosure Division

To: Steven S. Sandvoss, Executive Director, Members of the Board

Re: Payment of Civil Penalty Assessments - Informational

Date: October 5, 2016

The following committees have made payment of outstanding civil penalties for the period 9/8/2016 - 10/5/2016

- MetLife, Inc Political Fund C - \$500.00
- Illinois Home Builders Political Education Cmte - \$390.00
- Jones for State Representative - \$1817.00 (\$42.70 credit card fee)
- McDonough County Democratic Coalition - \$250.00
- Citizens for Linda Pael - \$1200.00
- Downers Grove Education Assoc. - \$800.00
- Lockport Democratic Org - \$125.00
- Personal PAC - \$175.00
- Committee to Elect Joe Mutz - \$75.00
- Voter's Improvement Party - \$100.00
- Service Employees Local #1 - \$125.00
- Fox Valley Republican Women - \$200.00 (\$4.70 credit card fee)
- Kelly for Council - \$350.00
- Friends of Rob Russell - \$250.00
- Peoria Fire Fighters Local 50 - \$336.00
- Committee to Elect Rhonda Crawford - \$450.00
- Citizens for Michael Bram - \$75.00
- Hanover Park United Park - \$350.00
- Chicagoland Chamber of Commerce PAC - \$825.00
- Friends of John Bradley, Inc - \$437.50
- Citizens to Elect Cary J. Collins - \$125.00
- Friends for Pam Warford - \$75.00
- Saline County Democrat Central Committee - \$175.00
- Area Labor Education Committee - \$200.00
- Elect Michael A. Urban - \$75.00 (\$1.76 credit card fee)
- Franco for Mayor - \$200.00
- Illinois Petroleum Marketers Association - \$3561.00
- Sangamon County Farm Bureau - \$473.00
- Friends for Franco - \$75.00
- Franklin County Republican Central Committee - \$161.00
- Friends of Mo - \$25.00
- Balanoff Political Fund - \$200.00
- Friends of Rickie Thomas - \$750.00
- Friends of Patricia VanPelt - \$1250.00
- Illinois Citizens for Life Pac State - \$250.00
- Citizens to Elect Harold Noonie Ward - \$175.00

- Illinois Coalition for Jobs, Growth & Prosperity, PAC - \$75.00
- Anderson for Illinois - \$12968.00
- Citizens to Elect Andre Thapedi - \$200.00
- Tom Armstrong for Kane County - \$725.00
- Friends to Elect Nesvacil - \$100.00
- Citizens to Elect Mary T. McCann - \$154.00

TOTAL : \$30,822.50

Monthly Total:

1/1/16 to 2/4/16: \$42,670.82
 2/5/16 to 3/2/16: \$8,122.91
 3/3/16 to 4/5/16: \$36,337.00
 4/6/16 to 5/4/16: \$10,251.00
 5/5/16 to 6/1/16: \$26,798.00
 6/1/16 to 6/27/16: \$26,420.08
 6/28/16 to 8/15/16: \$43,135.00
 8/16/16 to 9/7/16: \$14,598.00
 9/8/16 to 10/5/16: \$30,822.50

Year to Date Total: \$239,155.31

Election Judge Training

2016 GE

Date	Jurisdiction and Zone	Schools	Presenter(s)	Attendance
7/25/2016	STEPHENSON (4)	2	Kelly	114
7/26/2016	STEPHENSON (4)	1	Kelly	39
8/22/2016	CLAY (1)	2	Sims	121
8/30/2016	WOODFORD (3)	2	Kelly	277
9/7/2016	McLEAN (3)	3	Sims	77
9/8/2016	McLEAN (3)	2	Sims	27
9/14/2016	JoDAVIESS (4)	2	Davis	106
9/15/2016	SALINE (1)	2	Sims	96
9/15/2016	COLES (3)	3	Kelly/Pryor	184
9/19/2016	ST. CLAIR (1)	3	Davis/Mills/Pryor	189
9/19/2016	KANKAKEE (3)	2	Kelly/Evans	147
9/20/2016	ST. CLAIR (1)	3	Davis/Mills	211
9/20/2016	JACKSON (1)	2	Sims	95
9/20/2016	KANKAKEE (3)	1	Kelly/Evans	43
9/21/2016	ST. CLAIR (1)	3	Davis/Pryor	166
9/21/2016	JACKSON (1)	1	Sims	50
9/21/2016	CALHOUN (2)	2	Kelly/Mills	41
9/22/2016	ST. CLAIR (1)	3	Davis/Pryor	117
9/26/2016	JASPER (1)	2	Sims	48
9/27/2016	MONTGOMERY (2)	4	Kelly	128
9/27/2016	WABASH (1)	2	Sims	85
9/28/2016	RICHLAND (1)	2	Sims	90
9/29/2016	LAWRENCE (1)	2	Sims	105
9/29/2016	BUREAU (4)	2	Pryor/Evans	140
10/3/2016	HARDIN (1)	1	Sims	0
10/3/2016	POPE (1)	1	Sims	0
10/3/2016	CITY OF GALESBURG (2)	3	Mills/Pryor	0

Date	Jurisdiction and Zone	Schools	Presenter(s)	Attendance
10/4/2016	FRANKLIN (1)	3	Sims	0
10/4/2016	LOGAN (3)	1	Kelly	0
10/4/2016	HENDERSON (2)	2	Mills	0
10/5/2016	CHRISTIAN (3)	2	Sims	0
10/5/2016	LOGAN (3)	1	Kelly	0
10/5/2016	WHITESIDE (4)	2	Mills/Pryor/Davis	0
10/6/2016	LOGAN (3)	1	Kelly	0
10/6/2016	CHRISTIAN (3)	2	Sims	0
10/10/2016	JEFFERSON (1)	3	Sims	0
10/11/2016	GALLATIN (1)	2	Sims	0
10/12/2016	BROWN (2)	2	Kelly/Mills	0
10/12/2016	UNION (1)	2	Sims	0
10/13/2016	EDWARDS (1)	2	Sims	0
10/13/2016	CASS (2)	2	Davis/Pryor	0
10/14/2016	MARSHALL (3)	2	Davis	0
10/17/2016	MENARD (2)	2	Kelly	0
10/17/2016	FAYETTE (1)	2	Sims	0
10/18/2016	CUMBERLAND (3)	2	Sims	0
10/18/2016	PIATT (3)	2	Davis	0
10/18/2016	JERSEY (2)	2	Kelly/Mills	0
10/19/2016	CLINTON (1)	2	Sims	0
10/20/2016	WASHINGTON (1)	2	Sims	0
10/20/2016	SHELBY (3)	3	Davis/Pryor	0
10/25/2016	GREENE (2)	2	Kelly/Mills	0
10/26/2016	MORGAN (2)	3	Kelly/Pryor	0
10/26/2016	PUTNAM (4)	2	Mills/Davis	0
10/27/2016	PIKE (2)	2	Kelly	0
10/27/2016	SCOTT (2)	2	Pryor	0
10/27/2016	IROQUOIS (3)	3	Davis/Rodriguez	0
10/27/2016	EFFINGHAM (1)	3	Sims	0

Date	Jurisdiction and Zone	Schools	Presenter(s)	Attendance
10/27/2016	MORGAN (2)	1	Pryor	0
10/28/2016	IROQUOIS (3)	1	Rodriguez	0
10/28/2016	CITY OF EAST ST. LOUIS (1)	2	Davis/Pryor	0
11/1/2016	WILLIAMSON (1)	3	Sims	0
11/2/2016	KANKAKEE (3)	1	Kelly/Davis	0

STATE BOARD OF ELECTIONS



From the desk of.... Kyle Thomas
Director of Voting and Registration Systems
Phone: 217-782-1590
Email: kthomas@elections.il.gov

To: Steven S. Sandvoss; Executive Director

Re: Election Systems & Software 5310 Approval Request

Date: October 5, 2016

Election Systems & Software (ES&S), an Illinois election equipment vendor, has requested a two-year interim approval for a modification to their previously approved EVS 5.3.0.0. The modified system is designated the EVS 5.3.1.0 which main components include the DS200 digital scan tabulator (version 2.13.1.0), the DS850 central count digital scan tabulator (version 2.10.1.0), the ExpressVote ballot marking device (version 1.4.1.0), and the AutoMark ballot marking device (version 1.8.6.0) with the ElectionWare (version 4.7.2.0) election management system.

Key features of the 5310 update:

- 1) Added enhancement to view or print write-in votes on the DS200Design improvements on the ExpressVote kiosks
- 2) RSA Crypto algorithm updated
- 3) Improved results processing time between the SFTP Server and ERM Client
- 4) ExpressPass Printer and Software name change to ExpressLink.
- 5) Updates to COTS SFTP Server and COTS Cisco Firewall firmware (ECO 938) antivirus protection
- 6) Acquired ballot image handling – ensuring that a user can load ballot images into ElectionWare when a single USB was used to close multiple DS200's
- 7) ExpressVote Yes-No enhancement – ensuring that offices with a yes-no response button appear together on the same screen
- 8) Minor changes to ECanvass and ballot style counts

Prior testing of this modification was performed by National Technical Systems (NTS) Huntsville, an Election Assistance Commission (EAC) accredited Voting Systems Test Laboratory (VSTL). NTS found that the modifications met the requirements of the EAC's Voluntary Voting System Guidelines (VVSG) but did recommend "jurisdictions perform acceptance tests on all systems prior to implementation within their jurisdiction."

Our test for this modification consisted of the Consolidated Election which was run in Election Day, Early Voting, and Absentee modes across the 100 mock precincts. The DS850 was utilized for the Absentee ballots with 48,000 ballots cast. The DS200 was used for Election Day with 43,200 ballots cast and again for Early Voting with 4,800 ballots casts.

The total ballots cast for this test was 96,000, creating 2,048,920 votes within approximately 14,880,000 ballot positions. All votes were tabulated correctly through both the DS200s and the DS850 and ElectionWare successfully tabulated and merged results with no errors.

The only issue to note was one DS200 tabulator displayed an error message that the "Power Management Board crashed." That piece of equipment was removed from testing. No other equipment or system issues were encountered.

As the Director of the Voting and Registration Systems Division, it is my recommendation that the Board grant ES&S a two-year Interim Approval for their EVS 5.3.1.0 voting system, as described above.

**STATE BOARD OF ELECTIONS
INTER-OFFICE MEMORANDUM**

From the desk of:

Jeremy Kirk, Director of Administrative Services/CFO

TO: Members of the Board & Steve Sandvoss
SUBJECT: FY16/FY17 Fiscal Notes
DATE: October 5th 2016

FY16 (as of 9/30/16) Invoices still outstanding and unpaid:

General Revenue Fund (001)

Town and Country	\$302,197.50
Communication Revolving Fund	\$106,871.42
CDW-G	\$ 94,484.62
Anchor Computer	\$ 19,923.47
Statistical Revolving Fund	\$ 19,506.25
CDS Office Technology	\$ 19,375.62
Midwest Litigation	\$ 11,169.50
*ESRI	\$ 10,900.00
Various other vendors	<u>\$ 97,906.28</u>
**Total (GRF)	\$682,334.66

* ESRI, the contractual vendor who provides our District Locator on the website, is unwilling to renew our FY17 contractual agreement without receiving payment for our FY16 agreement. A request to pay this invoice was sent to the Chairman and Vice Chairman on 10/5/16.

** Prompt pay interest is not included in the above total. Total interest is unknown at this time.

HAVA Fund (206)

Prompt Pay Interest	\$15,860.63
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All FY16 HAVA payments have been processed, with the exception of interest.

FY17 (as of 9/30/16) Invoices still outstanding and unpaid:

General Revenue Fund (001)

Town & Country	\$135,360.00
CDW	\$ 19,275.44
Various other vendors	<u>\$ 48,165.15</u>
Total (GRF)	\$202,800.59

NOTE: All Travel vouchers are being processed on an ongoing basis as they are received. See Financial Statements for amounts.

HAVA Fund (206)

NOTE: All HAVA invoices are being processed on an ongoing basis as they are received. See Financial Statements for amounts.

STATE BOARD OF ELECTIONS
FY16 MONTHLY FISCAL REPORT
General Revenue Fund

AGENCY TOTALS MONTH ENDING: Sept. 30, 2016 (FINAL)		FY16 ORIGINAL APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	*	\$4,747,900.00	\$4,320,014.70	\$0.00	\$427,885.30	90.99%
STATE PAID RETIREMENT	*	\$190,100.00	\$166,191.36	\$0.00	\$23,908.64	87.42%
RETIREMENT (inc. supplemental)	*	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
SOCIAL SECURITY	*	\$363,400.00	\$316,883.95	\$0.00	\$46,516.05	87.20%
SUB-TOTAL (OPERATIONS)		\$5,301,400.00	\$4,803,090.01	\$0.00	\$498,309.99	90.60%
GENERAL REVENUE FUND (STOP GAP) - FY16		\$250,000.00	\$205,749.62	\$0.00		
GENERAL REVENUE FUND (STOP GAP) - FY17			\$16,613.07	\$0.00	\$27,637.31	88.95%
BUDGET STABILIZATION FUND (STOP GAP)		\$80,000.00	\$0.00	\$0.00	\$80,000.00	0.00%
SUB-TOTAL (STOP GAB BUDGET)		\$330,000.00	\$222,362.69	\$0.00	\$107,637.31	67.38%
HAVA MAINTENANCE OF EFFORT		\$0.00	\$0.00	\$0.00	\$0.00	0.00%
CO CLERK & RECORDER STIPENDS (FUND 802)		\$799,500.00	\$799,500.00	\$0.00	\$0.00	100.00%
ELECTION JUDGE REIMBURSEMENT (FUND 802)		\$2,300,000.00	\$1,981,725.00	\$0.00	\$318,275.00	86.16%
IVRS LUMP SUM - GRANTS		\$0.00	\$0.00	\$0.00	\$0.00	0.00%
SUB-TOTAL (GRANTS)		\$3,099,500.00	\$2,781,225.00	\$0.00	\$318,275.00	89.73%
GRAND TOTAL	*	\$8,400,900.00	\$7,806,677.70	\$0.00	\$816,584.99	92.93%

* Personal Service amounts shown as "FY16 Original Appropriation" are FY16 Budget Submission amounts. SBE operated under court order for FY16 Payroll expenditures.

STATE BOARD OF ELECTIONS
FY16/17 MONTHLY FISCAL REPORT
Stop-Gap

AGENCY TOTALS MONTH ENDING: Sept. 30, 2016	<u>FY16/17 ORIGINAL</u> <u>STOP GAP APPROP</u>	<u>YEAR TO DATE</u> <u>EXPENDITURE</u>	<u>OBLIGATED</u> <u>MONEY</u>	<u>BALANCE</u>	<u>% OF</u> <u>EXPENDITURE</u>
<u>General Revenue Stop-Gap</u>					
CONTRACTUAL SERVICES		\$157,749.32	\$0.00		63.10%
TRAVEL		\$53,758.34	\$0.00		21.50%
PRINTING		\$5,163.72	\$0.00		2.07%
COMMODITIES		\$5,171.33	\$0.00		2.07%
EQUIPMENT		\$519.98	\$0.00		0.21%
TELECOMMUNICATIONS		\$0.00	\$0.00		0.00%
OPERATION OF AUTO EQUIPMENT		\$0.00	\$0.00		0.00%
ELECTION CODE BOOKS		\$0.00	\$0.00		0.00%
IVRS LUMP SUM - OPERATIONS		\$0.00	\$0.00		0.00%
INTEREST PAYMENTS		\$0.00	\$0.00		0.00%
*SUB-TOTAL GENERAL REVENUE STOP-GAP	\$250,000.00	\$222,362.69	\$0.00	\$27,637.31	88.95%
<u>Budget Stabilization Stop-Gap</u>					
CONTRACTUAL SERVICE		\$0.00	\$0.00		0.00%
TRAVEL		\$0.00	\$0.00		0.00%
PRINTING		\$0.00	\$0.00		0.00%
COMMODITIES		\$0.00	\$0.00		0.00%
EQUIPMENT		\$0.00	\$0.00		0.00%
TELECOMMUNICATIONS		\$0.00	\$0.00		0.00%
OPERATION OF AUTO EQUIPMENT		\$0.00	\$0.00		0.00%
ELECTION CODE BOOKS		\$0.00	\$0.00		0.00%
IVRS LUMP SUM - OPERATIONS		\$0.00	\$0.00		0.00%
INTEREST PAYMENTS		\$0.00	\$0.00		0.00%
*SUB-TOTAL BUDGET STABILIZATION STOP-GAP	\$80,000.00	\$0.00	\$0.00	\$80,000.00	0.00%
*GRAND TOTAL STOP-GAP	\$330,000.00	\$222,362.69	\$0.00	\$107,637.31	67.38%

*The FY16/17 Stop-Gap Appropriation was given as lump sum amounts, it was not broken down by expenditure line item (ie, Contractual Services, Travel, ect).

STATE BOARD OF ELECTIONS
FY16 MONTHLY FISCAL REPORT
 Help Illinois Vote Fund

AGENCY TOTALS	SECTION 101 Discretionary Funds	SECTION 102 Entitlement Payments	SECTION 261 EAID Disbursements	SECTION 251 Requirements	SECTION 251 Additional Requirements	TOTAL Fund Activity
MONTH ENDING: Sept. 30, 2016 (FINAL)						
CASH BALANCE FROM FY15	\$536,898.42	\$0.00	-\$9,995.30	\$1,047,907.12	\$1,307,795.71	\$2,882,605.95
Program Revenues from Federal Govt			\$217,296.18			\$217,296.18
Miscellaneous Revenues	\$9,305.00			\$31,770.65		\$41,075.65
Interest Earned on IOC Balances	\$2,619.05			\$5,183.87	\$6,396.08	\$14,199.00
Interest Penalties Received						\$0.00
EAC-Mandated Transfers of Interest						\$0.00
State Match Receipts						\$0.00
TOTAL CASH REVENUES	\$11,924.05	\$0.00	\$217,296.18	\$36,954.52	\$6,396.08	\$272,570.83
YEAR TO DATE CASH EXPENDITURES						
PERSONAL SERVICE	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
STATE-PAID RETIREMENT	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
RETIREMENT	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
SOCIAL SECURITY	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
GROUP INSURANCE	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
CONTRACTUAL SERVICE	\$71,249.00	\$0.00	\$0.00	\$421,697.57	\$0.00	\$492,946.57
TRAVEL	\$4,195.54	\$0.00	\$0.00	\$0.00	\$0.00	\$4,195.54
PRINTING	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
COMMODITIES	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
EQUIPMENT	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
TELECOMMUNICATIONS	\$652.25	\$0.00	\$0.00	\$0.00	\$0.00	\$652.25
OPERATION OF AUTO. EQUIPMENT	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
INDIRECT COST REFUNDS	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
SUB-TOTAL CASH EXPENDITURES (OPERATIONS)	\$76,096.79	\$0.00	\$0.00	\$421,697.57	\$0.00	\$497,794.36
AWARDS & GRANTS	\$0.00	\$0.00	\$207,300.88	\$0.00	\$0.00	\$207,300.88
TOTAL CASH EXPENDITURES	\$76,096.79	\$0.00	\$207,300.88	\$421,697.57	\$0.00	\$705,095.24
CASH BALANCE AT END OF MONTH	\$472,725.68	\$0.00	\$0.00	\$663,164.07	\$1,314,191.79	\$2,450,081.54

STATE BOARD OF ELECTIONS
FY16 MONTHLY FISCAL REPORT
Help Illinois Vote Fund

SECTION 101 - DISCRETIONARY FUNDS
MONTH ENDING: Sept. 30, 2016 (FINAL)

FY16
APPROPRIATION

YEAR TO DATE
EXPENDITURE

PERSONAL SERVICE

1129 State Paid Retirement
1161 Retirement
1170 Social Security
1180 Group Insurance

CONTRACTUAL SERVICE

1205 Freight Express/Drayage
1223 Repair & Maintenance Real Property
1225 Repair & Maintenance, EDP Equip.
1231 Rental, Office Equipment
1232 Rental, Motor Vehicles
1237 Rental, Film & Audio/Visual Aids
1239 Rental, NEC
1242 Auditing & Management Services
1243 Book Binding & Processing Services
1244 Legal Fees
1245 Professional & Technical Services, NEC
1261 Postage
1266 Court Reporting & Filing Services
1273 Advertising
1274 Registration Fees & Conf. Exp. (Vendor)
1276 Registration Fees & Conf. Exp. (Employee)
1277 Association Dues
1280 Copying, Photographic & Printing Serv.
1284 Computer Software
1286 Travel, Reimb. to Non-State Employees
1289 Contractual Services, NEC

\$13,643.00 \$13,643.00

\$25,000.00 \$25,000.00

\$32,606.00 \$32,606.00

TRAVEL

1291 In-State & 1293 In-State (to vendor)
1292 Out-of-State
1295 Mileage

\$716.49 \$716.49
\$2,672.09 \$2,672.09
\$806.96 \$806.96

PRINTING

COMMODITIES

1304 Office/Library Supplies
1308 Educational & Instructional Materials
1394 Office/Library Equip., Not Exceed. \$100
1398 Equipment, NEC
1399 Commodities, NEC

EQUIPMENT

1510 Office Furniture & Equipment
1515 EDP Equipment
1561 Training Equipment
6625 Leasehold Improvements

TELECOMMUNICATIONS

1721 Rental, Telephone Serv. & Equip.
1722 Rental, Data Comm. Serv. & Equip.
1728 Video Conferencing
1729 Rental, Other Comm. Serv. & Equip.
1730 Parts & Supplies for Telephone
1740 Answering & Pag. Comm. Serv. Equip.
1750 Telephone, Data, Radio & Other Equip.
1799 Telecommunication Services, NEC

\$598.06 \$598.06

OPERATION OF AUTO EQUIPMENT

1893 Repair & Maint., Automotive Equipment
1894 Parts & Fittings, Automotive Equipment
1896 Gasoline, Oil & Antifreeze
1898 Automotive Services, NEC
1899 Automotive Expenses, NEC

INDIRECT COST REFUNDS

1993 Indirect Cost Allocation Refund

GRANTS

4453 Reimbursement to Governmental Units
4458 Services, NEC
4470 Grants to Local Governments (AAG)
4470 Grants to Local Governments
4479 Payments to Other State Agencies

SECTION 101 GRAND TOTAL

FY16
APPROPRIATION

YEAR TO DATE
EXPENDITURE

OBLIGATED
MONEY

PERSONAL SERVICE \$0.00 \$0.00
STATE PAID RETIREMENT \$0.00 \$0.00
RETIREMENT \$0.00 \$0.00
SOCIAL SECURITY \$0.00 \$0.00
GROUP INSURANCE \$0.00 \$0.00
CONTRACTUAL SERVICE \$71,249.00 \$71,249.00
TRAVEL \$4,195.54 \$4,195.54
PRINTING \$0.00 \$0.00
COMMODITIES \$0.00 \$0.00
EQUIPMENT \$0.00 \$0.00
TELECOMMUNICATIONS \$598.06 \$598.06
OPERATION OF AUTO EQUIPMENT \$0.00 \$0.00
INDIRECT COST REFUNDS \$0.00 \$0.00
GRANTS \$0.00 \$0.00

TOTAL \$76,042.60 \$76,042.60 \$0.00

STATE BOARD OF ELECTIONS
FY16 MONTHLY FISCAL REPORT
Help Illinois Vote Fund

SECTION 102 - ENTITLEMENT PAYMENTS
MONTH ENDING: Sept. 30, 2016 (FINAL)

FY16
APPROPRIATION

YEAR TO DATE
EXPENDITURE

PERSONAL SERVICE

1129 State Paid Retirement
1161 Retirement
1170 Social Security

CONTRACTUAL SERVICE

1205 Freight Express/Drayage
1225 Repair & Maintenance, EDP Equip.
1231 Rental, Office Equipment
1237 Rental, Film & Audio/Visual Aids
1239 Rental, NEC
1242 Auditing & Management Services
1243 Book Binding & Processing Services
1244 Legal Fees
1245 Professional & Technical Services, NEC
1261 Postage
1266 Court Reporting & Filing Services
1274 Registration Fees & Conf. Exp. (Vendor)
1276 Registration Fees & Conf. Exp. (Employee)
1280 Copying, Photographic & Printing Serv.
1284 Computer Software
1289 Contractual Services, NEC

TRAVEL

1291 In-State
1292 Out-of-State

PRINTING

COMMODITIES

1304 Office/Library Supplies
1394 Office/Library Equip., Not Exceed. \$100
1398 Equipment, NEC
1399 Commodities, NEC

EQUIPMENT

1510 Office Furniture & Equipment
1515 EDP Equipment
1599 Equipment, NEC

TELECOMMUNICATIONS

1721 Rental, Telephone Serv. & Equip.
1722 Rental, Data Comm. Serv. & Equip.
1728 Video Conferencing
1729 Rental, Other Comm. Serv. & Equip.
1730 Parts & Supplies for Telephone
1740 Answering & Pag. Comm. Serv. Equip.
1750 Telephone, Data, Radio & Other Equip.
1799 Telecommunication Services, NEC

OPERATION OF AUTO EQUIPMENT

1893 Repair & Maint., Automotive Equipment
1894 Parts & Fittings, Automotive Equipment
1896 Gasoline, Oil & Antifreeze

GRANTS

4453 Reimbursement to Governmental Units
4458 Services, NEC
4470 Grants to Local Governments, NEC

<u>SECTION 102 GRAND TOTAL</u>	<u>FY16 APPROPRIATION</u>	<u>YEAR TO DATE EXPENDITURE</u>	<u>OBLIGATED MONEY</u>
PERSONAL SERVICE	\$0.00	\$0.00	
STATE PAID RETIREMENT	\$0.00	\$0.00	
RETIREMENT	\$0.00	\$0.00	
SOCIAL SECURITY	\$0.00	\$0.00	
CONTRACTUAL SERVICE	\$0.00	\$0.00	
TRAVEL	\$0.00	\$0.00	
PRINTING	\$0.00	\$0.00	
COMMODITIES	\$0.00	\$0.00	
EQUIPMENT	\$0.00	\$0.00	
TELECOMMUNICATIONS	\$0.00	\$0.00	
OPERATION OF AUTO EQUIPMENT	\$0.00	\$0.00	
GRANTS	\$0.00	\$0.00	
TOTAL	\$0.00	\$0.00	\$0.00

STATE BOARD OF ELECTIONS
FY16 MONTHLY FISCAL REPORT
Help Illinois Vote Fund

SECTION 261 - EAID DISBURSEMENTS
MONTH ENDING: Sept. 30, 2016 (FINAL)

FY16
APPROPRIATION

YEAR TO DATE
EXPENDITURE

PERSONAL SERVICE

1129 State Paid Retirement
1161 Retirement
1170 Social Security

CONTRACTUAL SERVICE

1205 Freight Express/Drayage
1225 Repair & Maintenance, EDP Equip.
1231 Rental, Office Equipment
1237 Rental, Film & Audio/Visual Aids
1239 Rental, NEC
1242 Auditing & Management Services
1243 Book Binding & Processing Services
1244 Legal Fees
1245 Professional & Technical Services, NEC
1261 Postage
1266 Court Reporting & Filing Services
1274 Registration Fees & Conf. Exp. (Vendor)
1276 Registration Fees & Conf. Exp. (Employee)
1280 Copying, Photographic & Printing Serv.
1284 Computer Software
1289 Contractual Services, NEC

TRAVEL

1291 In-State
1292 Out-of-State

PRINTING

COMMODITIES

1304 Office/Library Supplies
1394 Office/Library Equip., Not exc. \$100
1398 Equipment, NEC
1399 Commodities, NEC

EQUIPMENT

1510 Office Furniture & Equipment
1515 EDP Equipment
1561 Training Materials, Exhibits & Educ. Equip.
1599 Equipment, NEC

TELECOMMUNICATIONS

1721 Rental, Telephone Serv. & Equip.
1722 Rental, Data Comm. Serv. & Equip.
1728 Video Conferencing
1729 Rental, Other Comm. Serv. & Equip.
1730 Parts & Supplies for Telephone
1740 Answering & Pag. Comm. Serv. Equip.

OPERATION OF AUTO EQUIPMENT

1893 Repair & Maint., Automotive Equipment
1894 Parts & Fittings, Automotive Equipment
1896 Gasoline, Oil & Antifreeze
1898 Automotive Services, NEC
1899 Automotive Expenses, NEC

INDIRECT COST REFUNDS

1993 Indirect Cost Allocation Refund

GRANTS

4453 Reimbursement to Governmental Units
4470 Grants to Local Governments (VAID III)
4470 Grants to Local Governments (VAID IV)
4470 Grants to Local Governments (VAID V)
4470 Grants to Local Governments (VAID VI)

\$207,300.88

\$207,300.88

SECTION 261 GRAND TOTAL

FY16
APPROPRIATION

YEAR TO DATE
EXPENDITURE

OBLIGATED
MONEY

PERSONAL SERVICE

\$0.00

\$0.00

STATE PAID RETIREMENT

\$0.00

\$0.00

RETIREMENT

\$0.00

\$0.00

SOCIAL SECURITY

\$0.00

\$0.00

CONTRACTUAL SERVICE

\$0.00

\$0.00

TRAVEL

\$0.00

\$0.00

PRINTING

\$0.00

\$0.00

COMMODITIES

\$0.00

\$0.00

EQUIPMENT

\$0.00

\$0.00

TELECOMMUNICATIONS

\$0.00

\$0.00

OPERATION OF AUTO EQUIPMENT

\$0.00

\$0.00

INDIRECT COST REFUNDS

\$0.00

\$0.00

GRANTS

\$207,300.88

\$207,300.88

TOTAL

\$207,300.88

\$207,300.88

\$0.00

STATE BOARD OF ELECTIONS
FY16 MONTHLY FISCAL REPORT
 Help Illinois Vote Fund

SECTION 251 - REQUIREMENTS
MONTH ENDING: Sept. 30, 2016 (FINAL)

FY16
APPROPRIATION **YEAR TO DATE**
EXPENDITURE

PERSONAL SERVICE

1129 State Paid Retirement
 1161 Retirement
 1170 Social Security
 1180 Group Insurance

CONTRACTUAL SERVICE

1205 Freight Express/Drayage
 1223 Repair & Maintenance Real Property
 1225 Repair & Maintenance, EDP Equip.
 1231 Rental, Office Equipment
 1232 Rental, Motor Vehicles
 1237 Rental, Film & Audio/Visual Aids
 1239 Rental, NEC
 1242 Auditing & Management Services
 1243 Book Binding & Processing Services
 1244 Legal Fees
 1245 Professional & Technical Services, NEC
 1261 Postage
 1266 Court Reporting & Filing Services
 1273 Advertising
 1274 Registration Fees & Conf. Exp. (Vendor)
 1276 Registration Fees & Conf. Exp. (Employee)
 1280 Copying, Photographic & Printing Serv.
 1284 Computer Software
 1289 Contractual Services, NEC

\$330,150.00	\$330,150.00
\$11,280.36	\$11,280.36
\$71,622.71	\$71,622.71

TRAVEL

1291 In-State
 1292 Out-of-State

PRINTING

COMMODITIES

1304 Office/Library Supplies
 1308 Educational & Instructional Materials
 1394 Office/Library Equip., Not Exceed. \$100
 1398 Equipment, NEC
 1399 Commodities, NEC

EQUIPMENT

1510 Office Furniture & Equipment
 1515 EDP Equipment
 1561 Training Equipment
 6625 Leasehold Improvements

TELECOMMUNICATIONS

1721 Rental, Telephone Serv. & Equip.
 1722 Rental, Data Comm. Serv. & Equip.
 1728 Video Conferencing
 1729 Rental, Other Comm. Serv. & Equip.
 1730 Parts & Supplies for Telephone
 1740 Answering & Pag. Comm. Serv. Equip.

OPERATION OF AUTO EQUIPMENT

1893 Repair & Maint., Automotive Equipment
 1894 Parts & Fittings, Automotive Equipment
 1896 Gasoline, Oil & Antifreeze
 1898 Automotive Services, NEC
 1899 Automotive Expenses, NEC

INDIRECT COST REFUNDS

1993 Indirect Cost Allocation Refund

GRANTS

4453 Reimbursement to Govt Units (AVE)
 4453 Reimbursement to Govt Units (Phase III)
 4453 Reimbursement to Govt Units (ALA)
 4453 Reimbursement to Govt Units (EDG)
 4470 Grants to Local Governments (VRS)
 4479 Payments to Other State Agencies

SECTION 251 (OLD REQ) GRAND TOTAL

	FY16 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY
PERSONAL SERVICE	\$0.00	\$0.00	
STATE PAID RETIREMENT	\$0.00	\$0.00	
RETIREMENT	\$0.00	\$0.00	
SOCIAL SECURITY	\$0.00	\$0.00	
GROUP INSURANCE	\$0.00	\$0.00	
CONTRACTUAL SERVICE	\$413,053.07	\$413,053.07	
TRAVEL	\$0.00	\$0.00	
PRINTING	\$0.00	\$0.00	
COMMODITIES	\$0.00	\$0.00	
EQUIPMENT	\$0.00	\$0.00	
TELECOMMUNICATIONS	\$0.00	\$0.00	
OPERATION OF AUTO EQUIPMENT	\$0.00	\$0.00	
INDIRECT COST REFUNDS	\$0.00	\$0.00	
GRANTS	\$0.00	\$0.00	

TOTAL	\$413,053.07	\$413,053.07	\$0.00
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STATE BOARD OF ELECTIONS
FY16 MONTHLY FISCAL REPORT
Help Illinois Vote Fund

SECTION 251 - ADDITIONAL REQUIREMENTS
MONTH ENDING: Sept. 30, 2016 (FINAL)

FY16
APPROPRIATION

YEAR TO DATE
EXPENDITURE

PERSONAL SERVICE

1129 State Paid Retirement
1161 Retirement
1170 Social Security
1180 Group Insurance

CONTRACTUAL SERVICE

1205 Freight Express/Drayage
1223 Repair & Maintenance Real Property
1225 Repair & Maintenance, EDP Equip.
1231 Rental, Office Equipment
1232 Rental, Motor Vehicles
1237 Rental, Film & Audio/Visual Aids
1239 Rental, NEC
1242 Auditing & Management Services
1243 Book Binding & Processing Services
1244 Legal Fees
1245 Professional & Technical Services, NEC
1261 Postage
1266 Court Reporting & Filing Services
1273 Advertising
1274 Registration Fees & Conf. Exp. (Vendor)
1276 Registration Fees & Conf. Exp. (Employee)
1280 Copying, Photographic & Printing Serv.
1284 Computer Software
1289 Contractual Services, NEC

TRAVEL

1291 In-State
1292 Out-of-State

PRINTING

COMMODITIES

1304 Office/Library Supplies
1308 Educational & Instructional Materials
1394 Office/Library Equip., Not Exceed. \$100
1398 Equipment, NEC
1399 Commodities, NEC

EQUIPMENT

1510 Office Furniture & Equipment
1515 EDP Equipment
1561 Training Equipment
6625 Leasehold Improvements

TELECOMMUNICATIONS

1721 Rental, Telephone Serv. & Equip.
1722 Rental, Data Comm. Serv. & Equip.
1728 Video Conferencing
1729 Rental, Other Comm. Serv. & Equip.
1730 Parts & Supplies for Telephone
1740 Answering & Pag. Comm. Serv. Equip.
1750 Telephone, Data, Radio & Other Equip.
1799 Telecommunication Services, NEC

OPERATION OF AUTO EQUIPMENT

1893 Repair & Maint., Automotive Equipment
1894 Parts & Fittings, Automotive Equipment
1896 Gasoline, Oil & Antifreeze
1898 Automotive Services, NEC
1899 Automotive Expenses, NEC

INDIRECT COST REFUNDS

1993 Indirect Cost Allocation Refund

GRANTS

4453 Reimbursement to Govt Units (AVE)
4453 Reimbursement to Govt Units (Phase II)
4453 Reimbursement to Govt Units (ALA)
4453 Reimbursement to Govt Units (EDG)
4470 Grants to Local Governments (Phase III)
4479 Payments to Other State Agencies

SECTION 251 (NEW REQ) GRAND TOTAL

FY16
APPROPRIATION

YEAR TO DATE
EXPENDITURE

OBLIGATED
MONEY

PERSONAL SERVICE	\$0.00	\$0.00
STATE PAID RETIREMENT	\$0.00	\$0.00
RETIREMENT	\$0.00	\$0.00
SOCIAL SECURITY	\$0.00	\$0.00
GROUP INSURANCE	\$0.00	\$0.00
CONTRACTUAL SERVICE	\$0.00	\$0.00
TRAVEL	\$0.00	\$0.00
PRINTING	\$0.00	\$0.00
COMMODITIES	\$0.00	\$0.00
EQUIPMENT	\$0.00	\$0.00
TELECOMMUNICATIONS	\$0.00	\$0.00
OPERATION OF AUTO EQUIPMENT	\$0.00	\$0.00
INDIRECT COST REFUNDS	\$0.00	\$0.00
GRANTS	\$0.00	\$0.00

TOTAL	\$0.00	\$0.00	\$0.00
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STATE BOARD OF ELECTIONS
FY17 MONTHLY FISCAL REPORT
General Revenue Fund

AGENCY TOTALS MONTH ENDING: Sept. 30, 2016		FY17 ORIGINAL APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	*	\$4,612,500.00	\$905,957.41	\$0.00	\$3,706,542.59	19.64%
STATE PAID RETIREMENT	*	\$184,800.00	\$35,620.05	\$0.00	\$149,179.95	19.27%
RETIREMENT (inc. supplemental)	*	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
SOCIAL SECURITY	*	\$353,200.00	\$66,388.42	\$0.00	\$286,811.58	18.80%
IVRS LUMP SUM - OPERATIONS	*	\$836,500	\$131,184.40	\$0.00	\$705,315.60	15.68%
SUB-TOTAL (OPERATIONS)		\$5,987,000.00	\$1,139,150.28	\$0.00	\$4,847,849.72	19.03%
HAVA MAINTENANCE OF EFFORT		\$0.00	\$0.00	\$0.00	\$0.00	0.00%
CO CLERK & RECORDER STIPENDS (FUND 802)		\$799,500.00	\$0.00	\$0.00	\$799,500.00	0.00%
ELECTION JUDGE REIMBURSEMENT (FUND 802)		\$5,000,000.00	\$0.00	\$0.00	\$5,000,000.00	0.00%
IVRS LUMP SUM - GRANTS		\$0.00	\$0.00	\$0.00	\$0.00	0.00%
SUB-TOTAL (GRANTS)		\$5,799,500.00	\$0.00	\$0.00	\$5,799,500.00	0.00%
GRAND TOTAL		\$11,786,500.00	\$1,139,150.28	\$0.00	\$10,647,349.72	9.66%

*- Personal Service amounts shown as "FY17 Original Appropriation" are FY17 Budget Submission amounts. SBE is operating under court order for FY17 Payroll expenditures.

NOTE: ALL FY17 APPROPRIATIONS AND EXPENDITURE AUTHORITY END 12/31/16.

STATE BOARD OF ELECTIONS
FY17 MONTHLY FISCAL REPORT
 Help Illinois Vote Fund

AGENCY TOTALS	SECTION 101 <u>Discretionary</u> <u>Funds</u>	SECTION 102 <u>Entitlement</u> <u>Payments</u>	SECTION 261 <u>EAID</u> <u>Disbursements</u>	SECTION 251 <u>Requirements</u>	SECTION 251 <u>Additional</u> <u>Requirements</u>	TOTAL <u>Fund Activity</u>
<u>MONTH ENDING: Sept. 30, 2016</u>						
CASH BALANCE FROM FY16	\$472,725.68	\$0.00	\$0.00	\$663,164.07	\$1,314,191.79	\$2,450,081.54
Program Revenues from Federal Govt						\$0.00
Miscellaneous Revenues				\$529.55		\$529.55
Interest Earned on IOC Balances	\$473.79			\$669.14	\$1,317.07	\$2,460.00
Interest Penalties Received						\$0.00
EAC-Mandated Transfers of Interest						\$0.00
State Match Receipts						\$0.00
TOTAL CASH REVENUES	\$473.79	\$0.00	\$0.00	\$1,198.69	\$1,317.07	\$2,989.55
YEAR TO DATE CASH EXPENDITURES						
PERSONAL SERVICE	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
STATE-PAID RETIREMENT	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
RETIREMENT	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
SOCIAL SECURITY	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
GROUP INSURANCE	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
CONTRACTUAL SERVICE	\$63,861.00	\$0.00	\$0.00	\$90,212.25	\$0.00	\$154,073.25
TRAVEL	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
PRINTING	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
COMMODITIES	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
EQUIPMENT	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
TELECOMMUNICATIONS	\$54.12	\$0.00	\$0.00	\$0.00	\$0.00	\$54.12
OPERATION OF AUTO. EQUIPMENT	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
INDIRECT COST REFUNDS	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
SUB-TOTAL CASH EXPENDITURES (OPERATIONS)	\$63,915.12	\$0.00	\$0.00	\$90,212.25	\$0.00	\$154,127.37
AWARDS & GRANTS	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
TOTAL CASH EXPENDITURES	\$63,915.12	\$0.00	\$0.00	\$90,212.25	\$0.00	\$154,127.37
CASH BALANCE AT END OF MONTH	\$409,284.35	\$0.00	\$0.00	\$574,150.51	\$1,315,508.86	\$2,298,943.72

STATE BOARD OF ELECTIONS
FY17 MONTHLY FISCAL REPORT
Help Illinois Vote Fund

SECTION 101 - DISCRETIONARY FUNDS
MONTH ENDING: Sept. 30, 2016

	FY17 APPROPRIATION	YEAR TO DATE EXPENDITURE
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PERSONAL SERVICE

1129 State Paid Retirement
1161 Retirement
1170 Social Security
1180 Group Insurance

CONTRACTUAL SERVICE

1205 Freight Express/Drayage
1223 Repair & Maintenance Real Property
1225 Repair & Maintenance, EDP Equip.
1231 Rental, Office Equipment
1232 Rental, Motor Vehicles
1237 Rental, Film & Audio/Visual Aids
1239 Rental, NEC
1242 Auditing & Management Services
1243 Book Binding & Processing Services
1244 Legal Fees
1245 Professional & Technical Services, NEC
1261 Postage
1266 Court Reporting & Filing Services
1273 Advertising
1274 Registration Fees & Conf. Exp. (Vendor)
1276 Registration Fees & Conf. Exp. (Employee)
1277 Association Dues
1280 Copying, Photographic & Printing Serv.
1284 Computer Software
1286 Travel, Reimb. to Non-State Employees
1289 Contractual Services, NEC

	\$2,850.00	\$2,850.00
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	\$61,011.00	\$61,011.00
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TRAVEL

1291 In-State & 1293 In-State (to vendor)
1292 Out-of-State
1295 Mileage

PRINTING

COMMODITIES

1304 Office/Library Supplies
1308 Educational & Instructional Materials
1394 Office/Library Equip., Not Exceed. \$100
1398 Equipment, NEC
1399 Commodities, NEC

EQUIPMENT

1510 Office Furniture & Equipment
1515 EDP Equipment
1561 Training Equipment
6625 Leasehold Improvements

TELECOMMUNICATIONS

1721 Rental, Telephone Serv. & Equip.
1722 Rental, Data Comm. Serv. & Equip.
1728 Video Conferencing
1729 Rental, Other Comm. Serv. & Equip.
1730 Parts & Supplies for Telephone
1740 Answering & Pag. Comm. Serv. Equip.
1750 Telephone, Data, Radio & Other Equip.
1799 Telecommunication Services, NEC

	\$54.12	\$54.12
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OPERATION OF AUTO EQUIPMENT

1893 Repair & Maint., Automotive Equipment
1894 Parts & Fittings, Automotive Equipment
1896 Gasoline, Oil & Antifreeze
1898 Automotive Services, NEC
1899 Automotive Expenses, NEC

INDIRECT COST REFUNDS

1993 Indirect Cost Allocation Refund

GRANTS

4453 Reimbursement to Governmental Units
4458 Services, NEC
4470 Grants to Local Governments (PPA)
4470 Grants to Local Governments (EAI)
4470 Grants to Local Governments (AAG)
4470 Grants to Local Governments
4479 Payments to Other State Agencies

	FY17 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY
SECTION 101 GRAND TOTAL			
PERSONAL SERVICE	\$0.00	\$0.00	
STATE PAID RETIREMENT	\$0.00	\$0.00	
RETIREMENT	\$0.00	\$0.00	
SOCIAL SECURITY	\$0.00	\$0.00	
GROUP INSURANCE	\$0.00	\$0.00	
CONTRACTUAL SERVICE	\$63,861.00	\$63,861.00	
TRAVEL	\$0.00	\$0.00	
PRINTING	\$0.00	\$0.00	
COMMODITIES	\$0.00	\$0.00	
EQUIPMENT	\$0.00	\$0.00	
TELECOMMUNICATIONS	\$54.12	\$54.12	
OPERATION OF AUTO EQUIPMENT	\$0.00	\$0.00	
INDIRECT COST REFUNDS	\$0.00	\$0.00	
GRANTS	\$0.00	\$0.00	
TOTAL	\$63,915.12	\$63,915.12	\$0.00

STATE BOARD OF ELECTIONS
FY17 MONTHLY FISCAL REPORT
Help Illinois Vote Fund

SECTION 102 - ENTITLEMENT PAYMENTS
MONTH ENDING: Sept. 30, 2016

FY17
APPROPRIATION

YEAR TO DATE
EXPENDITURE

PERSONAL SERVICE

1129 State Paid Retirement
1161 Retirement
1170 Social Security

CONTRACTUAL SERVICE

1205 Freight Express/Drayage
1225 Repair & Maintenance, EDP Equip.
1231 Rental, Office Equipment
1237 Rental, Film & Audio/Visual Aids
1239 Rental, NEC
1242 Auditing & Management Services
1243 Book Binding & Processing Services
1244 Legal Fees
1245 Professional & Technical Services, NEC
1261 Postage
1266 Court Reporting & Filing Services
1274 Registration Fees & Conf. Exp. (Vendor)
1276 Registration Fees & Conf. Exp. (Employee)
1280 Copying, Photographic & Printing Serv.
1284 Computer Software
1289 Contractual Services, NEC

TRAVEL

1291 In-State
1292 Out-of-State

PRINTING

COMMODITIES

1304 Office/Library Supplies
1394 Office/Library Equip., Not Exceed. \$100
1398 Equipment, NEC
1399 Commodities, NEC

EQUIPMENT

1510 Office Furniture & Equipment
1515 EDP Equipment
1599 Equipment, NEC

TELECOMMUNICATIONS

1721 Rental, Telephone Serv. & Equip.
1722 Rental, Data Comm. Serv. & Equip.
1728 Video Conferencing
1729 Rental, Other Comm. Serv. & Equip.
1730 Parts & Supplies for Telephone
1740 Answering & Pag. Comm. Serv. Equip.
1750 Telephone, Data, Radio & Other Equip.
1799 Telecommunication Services, NEC

OPERATION OF AUTO EQUIPMENT

1893 Repair & Maint., Automotive Equipment
1894 Parts & Fittings, Automotive Equipment
1896 Gasoline, Oil & Antifreeze
1898 Automotive Services, NEC
1899 Automotive Expenses, NEC

GRANTS

4453 Reimbursement to Governmental Units
4458 Services, NEC
4470 Grants to Local Governments, NEC

<u>SECTION 102 GRAND TOTAL</u>	<u>FY17 APPROPRIATION</u>	<u>YEAR TO DATE EXPENDITURE</u>	<u>OBLIGATED MONEY</u>
PERSONAL SERVICE	\$0.00	\$0.00	
STATE PAID RETIREMENT	\$0.00	\$0.00	
RETIREMENT	\$0.00	\$0.00	
SOCIAL SECURITY	\$0.00	\$0.00	
CONTRACTUAL SERVICE	\$0.00	\$0.00	
TRAVEL	\$0.00	\$0.00	
PRINTING	\$0.00	\$0.00	
COMMODITIES	\$0.00	\$0.00	
EQUIPMENT	\$0.00	\$0.00	
TELECOMMUNICATIONS	\$0.00	\$0.00	
OPERATION OF AUTO EQUIPMENT	\$0.00	\$0.00	
GRANTS	\$0.00	\$0.00	
TOTAL	\$0.00	\$0.00	\$0.00

STATE BOARD OF ELECTIONS
FY17 MONTHLY FISCAL REPORT
Help Illinois Vote Fund

SECTION 261 - EAID DISBURSEMENTS
MONTH ENDING: Sept. 30, 2016

FY17
APPROPRIATION

YEAR TO DATE
EXPENDITURE

PERSONAL SERVICE

1129 State Paid Retirement
1161 Retirement
1170 Social Security

CONTRACTUAL SERVICE

1205 Freight Express/Drayage
1225 Repair & Maintenance, EDP Equip.
1231 Rental, Office Equipment
1237 Rental, Film & Audio/Visual Aids
1239 Rental, NEC
1242 Auditing & Management Services
1243 Book Binding & Processing Services
1244 Legal Fees
1245 Professional & Technical Services, NEC
1261 Postage
1266 Court Reporting & Filing Services
1274 Registration Fees & Conf. Exp. (Vendor)
1276 Registration Fees & Conf. Exp. (Employee)
1280 Copying, Photographic & Printing Serv.
1284 Computer Software
1289 Contractual Services, NEC

TRAVEL

1291 In-State
1292 Out-of-State

PRINTING

COMMODITIES

1304 Office/Library Supplies
1394 Office/Library Equip., Not exc. \$100
1398 Equipment, NEC
1399 Commodities, NEC

EQUIPMENT

1510 Office Furniture & Equipment
1515 EDP Equipment
1561 Training Materials, Exhibits & Educ. Equip.
1599 Equipment, NEC

TELECOMMUNICATIONS

1721 Rental, Telephone Serv. & Equip.
1722 Rental, Data Comm. Serv. & Equip.
1728 Video Conferencing
1729 Rental, Other Comm. Serv. & Equip.
1730 Parts & Supplies for Telephone
1740 Answering & Pag. Comm. Serv. Equip.
1750 Telephone, Data, Radio & Other Equip.
1799 Telecommunication Services, NEC

OPERATION OF AUTO EQUIPMENT

1893 Repair & Maint., Automotive Equipment
1894 Parts & Fittings, Automotive Equipment
1896 Gasoline, Oil & Antifreeze
1898 Automotive Services, NEC
1899 Automotive Expenses, NEC

INDIRECT COST REFUNDS

1993 Indirect Cost Allocation Refund

GRANTS

4453 Reimbursement to Governmental Units
4470 Grants to Local Governments (VAID II)
4470 Grants to Local Governments (VAID III)
4470 Grants to Local Governments (VAID IV)
4470 Grants to Local Governments (VAID V)

SECTION 261 GRAND TOTAL	FY17 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY
PERSONAL SERVICE	\$0.00	\$0.00	
STATE PAID RETIREMENT	\$0.00	\$0.00	
RETIREMENT	\$0.00	\$0.00	
SOCIAL SECURITY	\$0.00	\$0.00	
CONTRACTUAL SERVICE	\$0.00	\$0.00	
TRAVEL	\$0.00	\$0.00	
PRINTING	\$0.00	\$0.00	
COMMODITIES	\$0.00	\$0.00	
EQUIPMENT	\$0.00	\$0.00	
TELECOMMUNICATIONS	\$0.00	\$0.00	
OPERATION OF AUTO EQUIPMENT	\$0.00	\$0.00	
INDIRECT COST REFUNDS	\$0.00	\$0.00	
GRANTS	\$0.00	\$0.00	
TOTAL	\$0.00	\$0.00	\$0.00

STATE BOARD OF ELECTIONS
FY17 MONTHLY FISCAL REPORT
Help Illinois Vote Fund

SECTION 251 - REQUIREMENTS
MONTH ENDING: Sept. 30, 2016

FY17
APPROPRIATION **YEAR TO DATE**
EXPENDITURE

PERSONAL SERVICE

1129 State Paid Retirement
1161 Retirement
1170 Social Security
1180 Group Insurance

CONTRACTUAL SERVICE

1205 Freight Express/Drayage		
1223 Repair & Maintenance Real Property		
1225 Repair & Maintenance, EDP Equip.	\$9,698.25	\$9,698.25
1231 Rental, Office Equipment		
1232 Rental, Motor Vehicles		
1237 Rental, Film & Audio/Visual Aids		
1239 Rental, NEC		
1242 Auditing & Management Services	\$80,514.00	\$80,514.00
1243 Book Binding & Processing Services		
1244 Legal Fees		
1245 Professional & Technical Services, NEC		
1261 Postage		
1266 Court Reporting & Filing Services		
1273 Advertising		
1274 Registration Fees & Conf. Exp. (Vendor)		
1276 Registration Fees & Conf. Exp. (Employee)		
1280 Copying, Photographic & Printing Serv.		
1284 Computer Software		
1289 Contractual Services, NEC		

TRAVEL

1291 In-State
1292 Out-of-State

PRINTING

COMMODITIES

1304 Office/Library Supplies
1308 Educational & Instructional Materials
1394 Office/Library Equip., Not Exceed. \$100
1398 Equipment, NEC
1399 Commodities, NEC

EQUIPMENT

1510 Office Furniture & Equipment
1515 EDP Equipment
1561 Training Equipment
6625 Leasehold Improvements

TELECOMMUNICATIONS

1721 Rental, Telephone Serv. & Equip.
1722 Rental, Data Comm. Serv. & Equip.
1728 Video Conferencing
1729 Rental, Other Comm. Serv. & Equip.
1730 Parts & Supplies for Telephone
1740 Answering & Pag. Comm. Serv. Equip.
1750 Telephone, Data, Radio & Other Equip.
1799 Telecommunication Services, NEC

OPERATION OF AUTO EQUIPMENT

1893 Repair & Maint., Automotive Equipment
1894 Parts & Fittings, Automotive Equipment
1896 Gasoline, Oil & Antifreeze
1898 Automotive Services, NEC
1899 Automotive Expenses, NEC

INDIRECT COST REFUNDS

1993 Indirect Cost Allocation Refund

GRANTS

4453 Reimbursement to Govt Units (AVE)
4453 Reimbursement to Govt Units (Phase III)
4453 Reimbursement to Govt Units (ALA)
4453 Reimbursement to Govt Units (EDG)
4470 Grants to Local Governments (VRS)
4479 Payments to Other State Agencies

SECTION 251 (OLD REQ) GRAND TOTAL

FY17
APPROPRIATION **YEAR TO DATE** **OBLIGATED**
EXPENDITURE **MONEY**

PERSONAL SERVICE	\$0.00	\$0.00
STATE PAID RETIREMENT	\$0.00	\$0.00
RETIREMENT	\$0.00	\$0.00
SOCIAL SECURITY	\$0.00	\$0.00
GROUP INSURANCE	\$0.00	\$0.00
CONTRACTUAL SERVICE	\$90,212.25	\$90,212.25
TRAVEL	\$0.00	\$0.00
PRINTING	\$0.00	\$0.00
COMMODITIES	\$0.00	\$0.00
EQUIPMENT	\$0.00	\$0.00
TELECOMMUNICATIONS	\$0.00	\$0.00
OPERATION OF AUTO EQUIPMENT	\$0.00	\$0.00
INDIRECT COST REFUNDS	\$0.00	\$0.00
GRANTS	\$0.00	\$0.00

TOTAL	\$90,212.25	\$90,212.25	\$0.00
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STATE BOARD OF ELECTIONS
FY17 MONTHLY FISCAL REPORT
Help Illinois Vote Fund

SECTION 251 - ADDITIONAL REQUIREMENTS
MONTH ENDING: Sept. 30, 2016

FY17
APPROPRIATION

YEAR TO DATE
EXPENDITURE

PERSONAL SERVICE

1129 State Paid Retirement
1161 Retirement
1170 Social Security
1180 Group Insurance

CONTRACTUAL SERVICE

1205 Freight Express/Drayage
1223 Repair & Maintenance Real Property
1225 Repair & Maintenance, EDP Equip.
1231 Rental, Office Equipment
1232 Rental, Motor Vehicles
1237 Rental, Film & Audio/Visual Aids
1239 Rental, NEC
1242 Auditing & Management Services
1243 Book Binding & Processing Services
1244 Legal Fees
1245 Professional & Technical Services, NEC
1261 Postage
1266 Court Reporting & Filing Services
1273 Advertising
1274 Registration Fees & Conf. Exp. (Vendor)
1276 Registration Fees & Conf. Exp. (Employee)
1280 Copying, Photographic & Printing Serv.
1284 Computer Software
1289 Contractual Services, NEC

TRAVEL

1291 In-State
1292 Out-of-State

PRINTING

COMMODITIES

1304 Office/Library Supplies
1308 Educational & Instructional Materials
1394 Office/Library Equip., Not Exceed. \$100
1398 Equipment, NEC
1399 Commodities, NEC

EQUIPMENT

1510 Office Furniture & Equipment
1515 EDP Equipment
1561 Training Equipment
6625 Leasehold Improvements

TELECOMMUNICATIONS

1721 Rental, Telephone Serv. & Equip.
1722 Rental, Data Comm. Serv. & Equip.
1728 Video Conferencing
1729 Rental, Other Comm. Serv. & Equip.
1730 Parts & Supplies for Telephone
1740 Answering & Pag. Comm. Serv. Equip.
1750 Telephone, Data, Radio & Other Equip.
1799 Telecommunication Services, NEC

OPERATION OF AUTO EQUIPMENT

1893 Repair & Maint., Automotive Equipment
1894 Parts & Fittings, Automotive Equipment
1896 Gasoline, Oil & Antifreeze
1898 Automotive Services, NEC
1899 Automotive Expenses, NEC

INDIRECT COST REFUNDS

1993 Indirect Cost Allocation Refund

GRANTS

4453 Reimbursement to Govt Units (AVE)
4453 Reimbursement to Govt Units (Phase II)
4453 Reimbursement to Govt Units (ALA)
4453 Reimbursement to Govt Units (EDG)
4470 Grants to Local Governments (Phase III)
4479 Payments to Other State Agencies

SECTION 251 (NEW REQ) GRAND TOTAL	FY17 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY
PERSONAL SERVICE	\$0.00	\$0.00	
STATE PAID RETIREMENT	\$0.00	\$0.00	
RETIREMENT	\$0.00	\$0.00	
SOCIAL SECURITY	\$0.00	\$0.00	
GROUP INSURANCE	\$0.00	\$0.00	
CONTRACTUAL SERVICE	\$0.00	\$0.00	
TRAVEL	\$0.00	\$0.00	
PRINTING	\$0.00	\$0.00	
COMMODITIES	\$0.00	\$0.00	
EQUIPMENT	\$0.00	\$0.00	
TELECOMMUNICATIONS	\$0.00	\$0.00	
OPERATION OF AUTO EQUIPMENT	\$0.00	\$0.00	
INDIRECT COST REFUNDS	\$0.00	\$0.00	
GRANTS	\$0.00	\$0.00	
TOTAL	\$0.00	\$0.00	\$0.00

Start Date	End Date	Division	Activity
9 /29/2016		VRS	Notify election authorities of their need to give public test notification for General Election.
10/1 /2016		ELEC OP	Complete the development and distribution to appropriate election authorities of the MANUAL OF INSTRUCTIONS FOR ELECTION JUDGES for 2017. (project s
10/3 /2016		CAMP DISC	First day that any political committee shall file its 2016 SEPTEMBER QUARTERLY CAMPAIGN CONTRIBUTIONS AND EXPENDITURES with the Board. 10 ILCS 5/9-10.
10/3 /2016		VRS	Notify election authorities of requirement to submit computerized voter registration for General Election 2016 submission in a format prescribed by the SBE
10/5 /2016		VRS	Begin testing of automatic tabulating equipment. 10 ILCS 5/24B-9, 24C-9
10/9 /2016		CAMP DISC	First day of period during which any receipt of a contribution of \$1000 or more by a political committee participating in the general election, must be reported with its receipt. 10 ILCS 5/9-10(c)
10/11/2016		ELEC OP	Last day for voter registration or transfer registration prior to November 8, 2016. 10 ILCS 4-6,4-6.2, 4-16, 5/5-5, 5-16.2, 5-23, 6-29,6-50.2
10/11/2016		VRS	First day for election authority to submit computerized voter registration for 2016 submission. 10 ILCS 5/4-8,5-7,6-36
10/11/2016		VRS	Notify election authorities to forward a copy of their computer operator information following the proclamation of election results. 10 ILCS 5/24B-9, 24C-9 and Regulations)
10/12/2016		ELEC OP	First day for grace period registration, change of address and voting by absentee ballot. 10 ILCS 5/4-50, 5-50, 6-100
10/14/2016		ELEC OP	Complete draft of Board's field and office plan for Election Day.
10/17/2016		CAMP DISC	Last day that a political committee shall file its 2016 SEPTEMBER QUARTERLY CAMPAIGN CONTRIBUTIONS AND EXPENDITURES with the Board. 10 ILCS 5/9-10.

Start Date	End Date	Division	Activity
10/17/2016		LEG	Begin to develop SBE LEGISLATIVE PROGRAM for the upcoming legislative session in 2017. Recommendations will be solicited from division staff. 10 ILCS 5/1A-8 (8)
10/17/2016		VRS	Complete profile of pre-election referenda. 10 ILCS 5/1A-8 (1, 2, 12)
10/17/2016		VRS	Send letter to those jurisdictions where in-precinct counting equipment is used to those precincts involved in election. 10 ILCS 24B-15, 24C-15
10/18/2016		EXEC DIR	BOARD MEETING. 10 ILCS 5/1A7
10/21/2016		VRS	Last day for election authority to notify public of time, date, and place of election. Election. 10 ILCS 5/24B-15
10/21/2016		VRS	Last day for election authorities to submit request for extension to file voter registration information for the General Election 2016 submission. Rules and Regulations
10/21/2016		VRS	Last day for election authority to submit computerized voter registration information for the 2016 submission. 10 ILCS 5/4-8,5-7,6-36
10/24/2016		ELEC OP	First day for early voting at the office of the Election Authority and local Election Authority. 10 ILCS 5/19A-15
10/25/2016		VRS	Date those jurisdictions utilizing in-precinct counting equipment must submit information that will be open for the election. 10 ILCS 5/24B-15, 24C-15
10/25/2016		VRS	Notify state central committee chairmen of the time and place of SBE's meeting for the election jurisdictions' 5% re-tabulation of precincts. 10 ILCS 5/24B-15 and 5/24C-15
10/25/2016		VRS	Notify state central committee chairmen of the time and place of SBE's meeting for the election jurisdiction's 5% re-tabulation of early voting equipment. 10 ILCS 5/24A-15, 5/24B-15, 5/24C-15

Start Date	End Date	Division	Activity
10/31/2016		CAMP DISC	Date upon which the State Board of Elections shall notify political committees of the 2016 SEPTEMBER QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS and the deadline that an assessment will be levied against the committee for failure to file in a timely fashion. Rules and Regulations 125.425.
10/31/2016		ELEC OP	Last day for the SBE to request Department of Public Health to provide information certified pursuant to the Illinois Nursing Home Care Act, the Specialized Care Act, or the ID/DD Community Care Act (not less than 120 days before election) shall indicate the approved bed capacity and the name of the chief administrator of the facility. This is to obtain a current list of facilities for the February 28th, 2017 Election, and for the April 4th, 2017 Consolidated Election 10 ILCS 5/19A-15
10/31/2016		VRS	Send verification letter to election authorities to confirm the precinct data for their 5% retabulation. 10 ILCS 5/24B-15, 24C-15
10/31/2016		VRS	Last day for election authority to notify public of time, date, and place of Election. 10 ILCS 5/24B-9
11/3 /2016		VRS	Last day to conduct SBE tests of automatic tabulating equipment. 10 ILCS 5/24B-15
11/7 /2016		CAMP DISC	Last day of period during which any receipt of a contribution of \$1000 or more by a committee participating in the general election, must be reported with its receipt. 10 ILCS 5/9-10(c).
11/7 /2016		CAMP DISC	Last day of period during which independent expenditures of \$1000 or more by a committee must be reported electronically within two business days after the expenditure. 10 ILCS 5/9-10(e).
11/7 /2016		ELEC OP	Last day for early voting at the office of the Election Authority and local Election Authority. 10 ILCS 5/19A-15
11/7 /2016		VRS	Last day for election authorities to send a sealed copy of each tested program for the election to the State Board of Elections. The SBE secures the programs for the election. 10 ILCS 5/24B-9
11/8 /2016		ELEC OP	Last day of grace period registration, change of address and voting. 10 ILCS 5/19A-15
11/8 /2016		ELEC OP	GENERAL ELECTION
11/9 /2016		VRS	Destroy the sealed program or programs from the previous election of the general election. 10 ILCS 5/24B-9

Start Date	End Date	Division	Activity
11/9 /2016		VRS	Provide the election authorities in those jurisdictions where in-precinct a list of the precincts selected on a random basis by the State Board of 10 ILCS 5/24B-15, 24C-15
11/14/2016		VRS	Begin review of computer operator's logs for the performance of autom used in the General Election. (Rules and Regulations)
11/15/2016	11/17/2016	LEG	(dates subject to change)Ⓜ Veto Session
11/16/2016		CAMP DISC	Begin preparation of packet materials for 2016 DECEMBER QUARTERLY CONTRIBUTIONS AND EXPENDITURES. Packets to be mailed or e-mailed 5/9-15
11/18/2016		ELEC OP	Last day for the SBE to send the list of facilities licensed or certified und Care Act, the Specialized Mental Health Rehabilitation Act, or the ID/DE proper election authorities. This list is for the February 28th, 2017 Con the April 4, 2017 Consolidated Election (not less than 100 days before e the 100th day before the election falls on Sunday, November 20th, the will be the last date to mail. 10 ICLS 5/19-12.2
11/21/2016	11/28/2016	ELEC OP	Candidate filing period. (For the Consolidated Primary Election in 2017.
11/21/2016		EXEC DIR	BOARD MEETING. 10 ILCS 5/1A7
11/21/2016		VRS	Send notice to election authorities who failed to submit a computerized General Election 2016 submission. Rules and Regulations
11/21/2016		VRS	Send to each election authority a current computerized voter registrati General Election submission
11/22/2016		LEG	Last day for local election authorities to count vote by mail, military & c postmarked by Election Day , November 8, 2016. 10 ILCS 5/19-8(c) & 20-8Ⓢ
11/24/2016		VRS	Send notification reminder to election officials who failed to submit the analogous information. 10 ILCS 5/24A-13, 24B-13, 24C-14 (Rules and Regulations)
11/29/2016		ELEC OP	Begin canvass as soon as abstracts are received from county clerks. (Co 10 ILCS 5/22-1

Start Date	End Date	Division	Activity
11/29/2016	12/1 /2016	LEG	(dates subject to change) Veto Session
11/29/2016		LEG	Link report due form LEO's to the SBE. 10 ILCS 5/19-20, 20-20.
11/30/2016		ELEC OP	Civic Organization certification cutoff date prior to the February 28th, 2017 (10 days before next election) Certification cutoff date continues through the Consolidated Election except for those jurisdictions not having a primary election (Board Rule 207.50)
12/1 /2016		ELEC OP	Begin preparing 2017 FEDERAL AND STATE OFFICERS booklet and update Assembly member room assignments and telephone number information to meet our schedule. Target completion date: 3/30/2017. Continual updates after publication is complete 10 ILCS 5/1A-8 (1,2,11)
12/1 /2016		ELEC OP	Send letters to the County Clerks to obtain information required for the publication and update SBE website. Not all the information may be available on schedule. Target completion date: 2/1/2017. Continual updates will be required until complete 10 ILCS 5/1A-8 (1,2,6,11)
12/5 /2016		ELEC OP	Objection deadline filing for candidates who filed November 21-28, 2016
12/5 /2016		VRS	Notify election authorities of requirement to submit computerized voter registration December 15, 2016 submission in a format prescribed by the SBE
12/5 /2016		VRS	The Board will refer to the Attorney General of Illinois for compliance e... whose computerized voter registration submission has not been received for the 2016 submission
12/9 /2016		ELEC OP	Complete proclamation for each office elected at the General Election Generate material for the "Official Vote" publication
12/9 /2016		ELEC OP	Complete CANVASS of November General Election. 10 ILCS 5/22-7
12/9 /2016		EXEC DIR	BOARD MEETING (Proclamation). 10 ILCS 5/22-7
12/9 /2016		LEG	Post Election Day Survey due from the LEO's to the SBE.
12/12/2016	12/19/2016	ELEC OP	Filing period for municipal candidates, educational service region trustees, library, fire and the Fox Waterway Agency trustees. 10 ILCS 5/10-6

- 6. Follow up.**
- 7. Comments from the general public.**
- 8. Next Board Meeting scheduled for Monday, November 21, 2016 at 10:30 a.m. in Springfield.**
- 9. Executive Session.**